## Extremely primitive groups

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### Introduction

Let  $G \leqslant \operatorname{Sym}(\Omega)$  be a finite transitive permutation group with nontrivial point stabilizer

$$G_{\alpha} = \{ x \in G : \alpha x = \alpha \}$$

- G primitive:  $G_{\alpha}$  is a maximal subgroup of G
- *G* 2-transitive:  $G_{\alpha}$  is transitive on  $\Omega \setminus \{\alpha\}$
- *G* 2-**primitive**:  $G_{\alpha}$  is primitive on  $\Omega \setminus \{\alpha\}$
- G extremely primitive: G is primitive, and  $G_{\alpha}$  is primitive on each of its orbits in  $\Omega \setminus \{\alpha\}$ 
  - i.e.  $G_{\alpha,\beta} < G_{\alpha}$  is maximal for all  $\beta \in \Omega \setminus \{\alpha\}$

### Introduction

### Examples

- $G = S_n$  on n points
- *G* 2-**primitive:** By CFSG, all 2-transitive groups are known, hence all 2-primitive groups are known
  - e.g.  $G = A_n$  or  $S_n$  on n points
  - e.g.  $G = PSL_2(q)$  on the projective line
- $G = J_2$ ,  $G_\alpha = PSU_3(3)$ :  $|\Omega| = 100 = 1 + 36 + 63$

### The problem

Classify the extremely primitive permutation groups

### The O'Nan-Scott Theorem

Let  $G \leq \operatorname{Sym}(\Omega)$  be a finite primitive permutation group with point stabilizer H and socle  $S = T^d$ , T simple.

• If  $T = Z_p$  is abelian then

$$G = Z_p^d \rtimes H \leqslant Z_p^d \rtimes \operatorname{GL}_d(p) = \operatorname{AGL}_d(p)$$

is **affine**, with  $H \leq GL_d(p)$  irreducible

- If T is nonabelian then one of the following holds:
  - G is of diagonal type or product type
  - G is a twisted wreath product
  - ▶ *G* is **almost simple**, i.e. S = T and  $T \leq G \leq Aut(T)$

### A reduction theorem

Extremely primitive groups are rather more restricted:

### Theorem (Mann-Praeger-Seress, 2007)

If G is extremely primitive then G is affine or almost simple.

Moreover, if  $G = Z_p^d \rtimes H \leqslant \mathsf{AGL}_d(p)$  is **affine** then one of the following holds:

- G is solvable: all examples are known
- p = 2, H is almost simple and either
  - G is 2-primitive (all examples known), or
  - G is simply primitive and either (d, H) is known, or (d, H) is one of finitely many additional possibilities

## Affine groups

If G is affine, non-solvable and simply primitive then the known extremely primitive examples (d, Soc(H)) are as follows (each with p = 2):

(b) 
$$(2k, A_{2k+1})$$
  $k \ge 2$ ,  $(2k, A_{2k+2})$   $k \ge 3$ 

(c) 
$$(2k, \Omega_{2k}^{\pm}(2)) \ k \geq 3$$

## Conjecture (Mann-Praeger-Seress, 2007)

There are no additional extremely primitive affine groups.

# Affine groups: A useful lemma

Suppose  $G = \mathbb{Z}_p^d \rtimes H \leqslant \mathsf{AGL}_d(p)$  is affine and extremely primitive.

$$H \leqslant \operatorname{GL}_d(p)$$
 irreducible  $\implies C_{\operatorname{GL}_d(p)}(H) = (\mathbb{F}_{p^a})^*$  with  $a|d$   
 $\implies H \leqslant \operatorname{GL}_{d/a}(p^a).a$ 

#### Lemma

Assume a < d. If  $h \in H$  has an eigenvalue  $\lambda \in \mathbb{F}_{p^a}$  then  $\lambda = 1$ , so H contains no nontrivial element of order dividing  $p^a - 1$ .

Suppose 
$$0 \neq u \in Z_p^d$$
 and  $u^h = \lambda u$  with  $\lambda \in \mathbb{F}_{p^a}$ . Set  $U = \langle u \rangle_{\mathbb{F}_{p^a}}$ . Then 
$$C_H(u) = H_u \leqslant \langle h, H_u \rangle \leqslant N_H(U) < H$$

and  $H_u < H$  is maximal, so  $h \in H_u$  and  $\lambda = 1$ .

### Corollary

If G is non-solvable then p=2.

# Affine groups: Another useful lemma

Suppose  $G = \mathbb{Z}_2^d \rtimes H \leqslant \mathsf{AGL}_d(2)$  is affine and extremely primitive.

Let  $\mathcal{M}$  be the set of maximal subgroups of  $H = G_0$ . For  $M \in \mathcal{M}$ , let fix(M) be the points in  $\Omega = Z_2^d$  fixed by M.

### Lemma

$$\sum_{M\in\mathcal{M}}(|\mathsf{fix}(M)|-1)=2^d-1, \text{ and } |\mathsf{fix}(M)|\leq 2^{d/2} \text{ for all } M\in\mathcal{M}.$$
 In particular,  $|\mathcal{M}|>2^{d/2}.$ 

The lemma quickly follows from two easy observations:

- Suppose  $M_1, M_2 \in \mathcal{M}$ ,  $M_1 \neq M_2$  and  $v \in \text{fix}(M_1) \cap \text{fix}(M_2)$ . Then v is fixed by  $\langle M_1, M_2 \rangle = H$ , so v = 0 since H is irreducible.
- $v \neq 0 \implies H_v \in \mathcal{M}$  (since G is extremely primitive).

# Wall's conjecture

Suppose  $G = \mathbb{Z}_2^d \rtimes H \leqslant \mathsf{AGL}_d(2)$  is a primitive affine group.

By the lemma, if  $|\mathcal{M}| \leq 2^{d/2}$  then G is **not** extremely primitive, so bounds on  $|\mathcal{M}|$  are important here.

### Conjecture (G.E. Wall, 1961)

 $|\mathcal{M}| \leq |H|$  for any finite group H

## Theorem (Liebeck-Martin-Shalev, 2005)

Wall's conjecture holds if H is a sufficiently large almost simple group

If  $|\mathcal{M}| \leq |H|$  and G is extremely primitive then  $2^{d/2} < |H|$  and there are only a small number of explicit H-modules over  $\mathbb{F}_2$  to consider.

For example, suppose  $H=A_n$  or  $S_n$  with  $n\geq 15$ . Let V be a nontrivial irreducible  $\mathbb{F}_2H$ -module.

### Theorem (G.D. James, 1983)

Either V is the fully deleted permutation module for H (of dimension n-2 or n-1), or dim  $V \ge n(n-5)/2$ .

## Theorem (Liebeck-Shalev, 1996)

If n is sufficiently large then  $|\mathcal{M}| \leq n!$ 

If  $n \ge 17$  then  $n! < 2^{n(n-5)/4}$ , so the following corollary holds:

### Corollary

There are only finitely many extremely primitive groups of the form  $G = Z_2^d \rtimes H$ , with  $Soc(H) = A_n$  and  $d \geq n$ .

# Almost simple groups

Let G be an almost simple group with socle T, so

$$T \leqslant G \leqslant Aut(T)$$

By CFSG, such a group belongs to one of four families:

- (i) G is a symmetric or alternating group (degree  $n \ge 5$ )
- (ii) G is a classical group, e.g.  $G = L_n(q)$ ,  $PGU_n(q)$ ,  $PSp_n(q)$
- (iii) G is an **exceptional group**, e.g.  $G = G_2(q), {}^2E_6(q), E_8(q)$
- (iv) G is a **sporadic group**, e.g.  $G = M_{22}:2, Co_1, M$

### Theorem (B-Praeger-Seress, 2011)

The almost simple extremely primitive groups of type (i), (ii) and (iv) have been classified.

# Symmetric and alternating groups

#### Theorem

Let G be an almost simple group with socle  $T = A_n$  and point stabilizer H. Then G is extremely primitive if and only if (G, H) is one of the following:

Rank	Conditions
(n+2)/4	$n \equiv 2 \pmod{4}$
2	$G \leqslant S_n$
2	n = 5

In the first example,  $\Omega$  is the set of partitions of  $\{1,\ldots,n\}$  into subsets of size n/2. If  $n\equiv 0\ (\text{mod }4)$  then

$$G_{lpha,eta} = (S_{n/4} \wr V_4) \cap G < (S_{n/4} \wr D_8) \cap G < G_lpha$$
 for

$$\alpha = \{1, \dots, n/2\} \cup \{n/2 + 1, \dots, n\}$$
  
$$\beta = \{1, \dots, n/4, 3n/4 + 1, \dots, n\} \cup \{n/4 + 1, \dots, 3n/4\}$$

# Sporadic groups

#### Theorem

If T is a sporadic group then G is extremely primitive, but not 2-primitive, if and only if (G, H) is one of the following  $(\alpha = 1 \text{ or } 2)$ :

$$(\mathsf{J}_2.\alpha,\mathsf{U}_3(3).\alpha),(\mathsf{HS}.\alpha,\mathsf{M}_{22}.\alpha),(\mathsf{Suz}.\alpha,\mathit{G}_2(4).\alpha)$$

$$(McL.\alpha, U_4(3).\alpha), (Ru, {}^2F_4(2)), (Co_2, U_6(2).2), (Co_2, McL)$$

The highest rank in this list is 6, for  $(G, H) = (Co_2, McL)$ :

$$|\Omega| = 47104 = 1 + 275 + 2025 + 7128 + 15400 + 22275$$

In addition, there are nine 2-primitive almost simple sporadic groups (in fact, every 2-transitive sporadic group is extremely primitive).

# Classical groups

### Theorem

If T is a classical group then G is extremely primitive if and only if (G, H) is one of the following:

T	Type of H	Conditions
$L_2(q)$	$P_1$	$q \ge 4$
$PSp_n(2)'$	$O_n^{\pm}(2)$	$n \ge 4$
$L_2(q)$	$D_{2(q+1)}$	G = T, $q > 2$ , $q + 1$ Fermat
$L_4(2)$	$A_7$	
$U_4(3)$	$L_3(4)$	$G = T.2^2$ or $G = T.2$
$L_{3}(4)$	$A_6$	$G = T.2^2$ or $G = T.2$
L <sub>2</sub> (11)	$A_5$	G = T

## Main ingredients

- Detailed information on the structure and conjugacy classes of maximal subgroups of almost simple groups:
  - ► Alternating groups: O'Nan-Scott
  - Classical groups: Aschbacher, Kleidman-Liebeck, ...
  - Sporadic groups: Wilson et al.
- By Manning (1927),  $H = G_{\alpha}$  acts faithfully on each orbit in  $\Omega \setminus \{\alpha\}$ , so we can apply the O'Nan-Scott theorem to H. In particular,
  - ► Soc(*H*) is a product of isomorphic simple groups
  - $\triangleright$  F(H) is either trivial or elementary abelian
  - ► Z(H) is trivial
- Direct calculation and computation (e.g. using MAGMA)
- Recent work on **bases** for primitive permutation groups

### Bases

A base of a permutation group  $G \leq \operatorname{Sym}(\Omega)$  is a subset S of  $\Omega$  such that the pointwise stabilizer of S in G is trivial.

The **base size**, denoted b(G), is the minimal size of a base for G.

### **Examples**

- $G = S_n$ ,  $\Omega = \{1, \ldots, n\} \implies b(G) = n 1$
- G = GL(V),  $\Omega = V \implies b(G) = \dim V$

Suppose G is almost simple and extremely primitive. If  $\alpha, \beta \in \Omega$ ,  $\alpha \neq \beta$ , then  $G_{\alpha,\beta} < G_{\alpha}$  is maximal, so  $G_{\alpha,\beta} \neq 1$  and hence b(G) > 2.

### The base-two project

Classify the primitive permutation groups G with b(G) = 2

# Symmetric and alternating groups

### Theorem (B-Guralnick-Saxl, 2010)

Let G be an almost simple primitive group with socle  $A_n$ . Assume  $H = G_{\alpha}$  acts primitively on  $\{1, \ldots, n\}$ . Then b(G) = 2 for all n > 12.

Consequently, for extreme primitivity, there are just two cases to deal with:

(i) 
$$H = (S_k \times S_{n-k}) \cap G$$
 for some  $1 \le k < n/2$ ;

(ii) 
$$H = (S_k \wr S_{n/k}) \cap G$$
 with  $2 \le k \le n/2$ .

Consider (i): G is 2-primitive if k = 1. If k > 1 and  $(G, k) \neq (A_n, 2)$  then Soc(H) is not a product of isomorphic simple groups.

If 
$$(G, k) = (A_n, 2)$$
 then  $H = G_{\{1,2\}} = S_{n-2}$  and

$$H_{\{2,3\}} = H_{1,2,3} < H_{1,2} < H$$

so G is not extremely primitive.

# Sporadic groups

### Theorem (B-O'Brien-Wilson, 2010)

The base size of every primitive almost simple sporadic group is known.

In most cases b(G)=2, e.g. if  $G=\mathbb{M}$  then the only exception is the case  $H=2.\mathbb{B}$  with b(G)=3. We inspect the list of exceptions.

From the structural constraints on H, we reduce to a list of cases with H almost simple. Using MAGMA and data in the Web Atlas, we reduce further to 15 specific cases (G, H).

Here G is **multiplicity free** – every irreducible constituent of  $1_H^G$  has multiplicity 1. All such actions of sporadic groups are known (Breuer-Lux, 1996), and all subdegrees have been computed.

In this situation, no extremely primitive examples arise.

# Classical groups

Let G be an almost simple classical group with socle T = Cl(V) and point stabilizer H. Roughly speaking, we say G is **standard** if  $H \cap T$  is reducible on V, otherwise G is **non-standard**.

Standard groups have large base sizes, in general.

### Example

If  $G = PGL_n(q)$  and  $H = P_1$  then b(G) = n + 1.

### Theorem (B, 2007)

If G is non-standard then  $b(G) \le 5$ , with equality if and only if  $G = U_6(2).2$  and  $H = U_4(3).2^2$ .

More recently, with Guralnick and Saxl, we have computed b(G) precisely for 'almost all' non-standard classical groups G.

# Classical groups

Roughly, we get  $b(G) \le 3$ , with equality only if  $H = C_G(x)$  for an involution  $x \in Aut(T)$ .

We have computed the exact value of b(G) when H belongs to Aschbacher's S collection of irreducible almost simple subgroups.

In the remaining cases:

- The structure of *H* rules out many cases
- We can often work directly with matrices to find an explicit  $x \in G \setminus H$  such that  $H \cap H^x < H$  is non-maximal
- For standard groups,  $\Omega$  is a set of subspaces of V and we can work with explicit subspaces to find a non-maximal two-point stabilizer  $G_{\alpha,\beta} < G_{\alpha}$

# Classical groups: An example

Suppose  $G = \mathsf{PSL}_n(q) = \mathsf{PSL}(V)$  and H is a subfield subgroup of type  $\mathsf{GL}_n(q_0)$ , where  $q = q_0^r$  with r prime. If r > 2 then b(G) = 2. Suppose r = 2.

Here  $H = C_G(\sigma)$ , with  $\sigma \in \operatorname{Aut}(G)$  a field aut of order 2. By fixing a basis  $\{v_1, \ldots, v_n\}$  for V, we may assume  $(a_{ij})^{\sigma} = (a_{ij}^{q_0})$ . Then

$$H \cap H^{\mathsf{x}} = C_H(x^{-1}x^{\sigma})$$

for all  $x \in G$ . Write  $\mathbb{F}_q^* = \langle \omega \rangle$  and set

$$x = \begin{pmatrix} 1 & \omega & \\ 0 & 1 & \\ \hline & & I_{n-2} \end{pmatrix} \in G, \ x^{-1}x^{\sigma} = \begin{pmatrix} 1 & \omega^{q_0} - \omega & \\ 0 & 1 & \\ \hline & & & I_{n-2} \end{pmatrix}$$

Then  $H \cap H^x = C_H(x^{-1}x^{\sigma}) < H_U < H$ , where  $U = \langle v_2 \rangle$ , hence G is not extremely primitive.

## Some open problems

• Determine the extremely primitive groups of exceptional Lie type.

Here  $b(G) \le 6$  (by B-Liebeck-Shalev, 2009), but we do not know all the base-two examples.

Current work with Guralnick and Saxl on bases for exceptional algebraic groups may be useful here.

- Prove the Mann-Praeger-Seress conjecture on affine groups (their list is complete) – a proof of Wall's conjecture for almost simple groups would be very helpful!
- Classify the base-two almost simple primitive permutation groups
- Calculate the base size of every primitive permutation group...