

Fixed point ratios in actions of finite classical groups, III

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Abstract

This is the third in a series of four papers on fixed point ratios in non-subspace actions of finite classical groups. Our main result states that if G is a finite almost simple classical group and Ω is a non-subspace G -set then either $\text{fpr}(x) \lesssim |x^G|^{-\frac{1}{2}}$ for all elements $x \in G$ of prime order, or (G, Ω) is one of a small number of known exceptions. In this paper we consider the case where G_ω is contained in one of the Aschbacher families \mathcal{C}_2 or \mathcal{C}_3 .

1 Introduction

Let G be a finite almost simple classical group over \mathbb{F}_q , with socle G_0 and natural module V . Recall from [3] that a subgroup H of G is said to be a *non-subspace subgroup* if $H \cap G_0$ is contained in a maximal subgroup of G_0 which acts irreducibly on V . A transitive action of G on a set Ω is a *non-subspace action* if the point stabilizer G_ω is a non-subspace subgroup of G . Our main result, which we shall refer to as Theorem 1, states that if Ω is a faithful, transitive, non-subspace G -set then

$$\text{fpr}(x) < |x^G|^{-\frac{1}{2} + \frac{1}{n} + \iota}$$

for all elements $x \in G$ of prime order, where either $\iota = 0$ or (G_0, Ω, ι) belongs to a short list of known exceptions (see [3, Table 1]). Here $\text{fpr}(x)$ denotes the *fixed point ratio* of x , i.e. the proportion of points in Ω which are fixed by x . In almost all cases $n = \dim V$ (see Remark 1.2).

In order to prove this theorem, we may assume G acts primitively on Ω and therefore apply Aschbacher's well-known result on the subgroup structure of finite classical groups. In [1], eight collections of subgroups of G are defined, labelled \mathcal{C}_i for $1 \leq i \leq 8$, and it is shown that if H is a maximal subgroup of G not containing G_0 then either H is contained in one of the \mathcal{C}_i collections, or it belongs to a family \mathcal{S} of almost simple groups which act irreducibly on V (a small additional collection \mathcal{N} arises when G_0 is $\text{Sp}_4(q)'$ (q even) or $\text{P}\Omega_8^+(q)$). A detailed description of these subgroup collections is given in [9] (also see [4, §3.1]).

This is the third in a series of four papers. In the introductory note [3] we provided some background and motivation, stated our main results and described two further applications of Theorem 1 to the study of primitive permutation groups. In [4] we established Theorem 1 when the stabilizer G_ω is a non-subspace subgroup contained in a member of one of the collections \mathcal{C}_i , where $4 \leq i \leq 8$. In this paper we assume G_ω belongs to \mathcal{C}_2 or \mathcal{C}_3 . Roughly speaking, the subgroups in \mathcal{C}_2 are the stabilizers of decompositions $V = \bigoplus_i V_i$, where $\dim V_i = m$, while the stabilizers of extension fields of \mathbb{F}_q of prime index comprise \mathcal{C}_3 . Again, we refer the reader to [9, §§4.2-3] for further details. We complete the proof of Theorem 1 in [5] when we consider the subgroups in the remaining collections \mathcal{S} and \mathcal{N} .

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G_0	type of H	ι
$\mathrm{PSp}_n(q)$	$\mathrm{Sp}_{n/2}(q) \wr S_2$	$1/n$
$\mathrm{PSp}_n(q)$	$\mathrm{Sp}_{n/2}(q^2)$	$1/(n+2)$
$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{GL}_{n/2}^\epsilon(q)$	$1/(n-2)$
$\mathrm{SU}_4(2)$	$\mathrm{GU}_1(2) \wr S_4$.010
$\Omega_8^+(2)$	$\mathrm{O}_4^-(2) \wr S_2$.001
$\mathrm{SL}_4(2)$	$\mathrm{GL}_2(4)$.020

Table 1.1: The exceptional cases with $\iota > 0$

Theorem 1.1. *Let G be a finite almost simple classical group acting transitively and faithfully on a set Ω with point stabilizer $G_\omega \leq H$, where H is a maximal non-subspace subgroup of G in one of the Aschbacher collections \mathcal{C}_2 or \mathcal{C}_3 . Then*

$$\mathrm{fpr}(x) < |x^G|^{-\frac{1}{2} + \frac{1}{n} + \iota}$$

for all elements $x \in G$ of prime order, where $\iota = 0$ or (G_0, H, ι) is listed in Table 1.1, where G_0 denotes the socle of G .

Remark 1.2. The integer $n = n(G)$ in the statement of Theorem 1.1 is defined as follows: if $G_0 \in \{\mathrm{Sp}_4(2)', \mathrm{SL}_3(2)\}$ then $n = 2$, otherwise n is defined to be the minimal degree of a non-trivial irreducible $K\hat{G}_0$ -module, where \hat{G}_0 is a covering group of G_0 and K is the algebraic closure of \mathbb{F}_q . The *type of H* referred to in Table 1.1 provides an approximate group-theoretic structure for $H \cap \mathrm{PGL}(V)$.

Notation. We follow [9] in our notation for classical groups. In particular, we write $\mathrm{PSL}_n^\epsilon(q)$ for $\mathrm{PSL}_n(q)$ and $\mathrm{PSU}_n(q)$ if $\epsilon = +$ and $-$ respectively. Other notation and terminology is consistent with the earlier papers [3] and [4]. In particular, if $H \leq G$ and $x \in G$ then we define

$$f(x, H) := \frac{\log |x^G \cap H|}{\log |x^G|}$$

and thus Theorem 1 states that $f(x, H) < 1/2 + 1/n + \iota$ when H is a non-subspace subgroup and x has prime order (see [4, (1)]). We label representatives for conjugacy classes of unipotent involutions in symplectic and orthogonal groups as in [2] and our terminology for graph automorphisms is explained in [4, 3.47]. The *associated partition* of an arbitrary unipotent element $x \in \mathrm{PGL}(V)$ is the partition of the integer $\dim V$ which corresponds to the Jordan normal form of x on V (see [4, §3.3]). Its semisimple analogue, the *associated σ -tuple*, is defined in [4, 3.27]. In addition, for $x \in \mathrm{PGL}(V)$ we define $\nu(x)$ to be the codimension of the largest eigenspace of x on V (see [4, 3.16]). For any $r \in \mathbb{N}$ and subset $S \subseteq X$ of a finite group X we write $i_r(S)$ for the number of elements of order r in S .

2 Proof of Theorem 1.1: $H \in \mathcal{C}_2$

The subgroups in \mathcal{C}_2 are the stabilizers of m -decompositions $V = V_1 \oplus \cdots \oplus V_t$ of the natural G_0 -module, where $t \geq 2$ and $\dim V_i = m$. The particular cases we shall consider in this section are listed in Table 2.1; in the last column we record some necessary conditions for the existence and maximality of H in G (see [9, p.100 and Tables 3.5.A-H]). For convenience, we postpone the analysis of totally singular $n/2$ -decompositions to the next section (see cases (ii) and (vii)-(ix) in Table 3.1).

	G_0	type of H	conditions
(i)	$\mathrm{PSL}_n^\epsilon(q)$	$\mathrm{GL}_{n/t}^\epsilon(q) \wr S_t$	$(n, q) \neq (2t, 2), q > 3$ if $(n, \epsilon) = (t, +)$
(ii)	$\mathrm{PSp}_n(q)$	$\mathrm{Sp}_{n/t}(q) \wr S_t$	$q > 2$ if $n = 2t$
(iii)	$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{O}_1(q) \wr S_n$	$q = p \geq 3, \epsilon = -$ if and only if $n \equiv 2 \pmod{4}$ and $q \equiv 3 \pmod{4}$
(iv)	$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{O}_{n/t}^{\epsilon'}(q) \wr S_t$	$n \geq 2t, q$ odd if n/t odd, $(n/t, q) \neq (3, 3)$; if $\epsilon = +$: $q > 4$ if $(\epsilon', n/t) = (+, 2), (\epsilon', n/t, q) \neq (+, 4, 2)$, $(\epsilon')^t = +$ if n/t even; if $\epsilon = -$: $\epsilon' \in \{\circ, -\}$, t is odd if n/t even
(v)	$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{O}_{n/2}(q) \wr S_2$	$n/2$ odd, $q \equiv 2 + \epsilon \pmod{4}$

Table 2.1: The collection \mathcal{C}_2

2.1 Preliminary results

Let \bar{G} be a simple classical algebraic group over an algebraically closed field K of characteristic $p \geq 0$, with natural module \bar{V} of dimension n . Let $\bar{H} \in \mathcal{C}_2$ be a maximal closed subgroup of \bar{G} , say \bar{H} is the stabilizer in \bar{G} of a decomposition $\bar{V} = V_1 \oplus \cdots \oplus V_t$ and assume that each V_i is non-degenerate if \bar{G} is a symplectic or orthogonal group. If $x \in \bar{G}$ has prime order then [6, Theorem 1] states that

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \left(\frac{1}{2} + \delta\right) \dim x^{\bar{G}},$$

where $\delta = 1/n$ if $\bar{G} = \mathrm{Sp}_n(K)$ and $t = 2$, otherwise $\delta = 0$ (note that the entry ‘ $1/(2n+2)$ ’ appearing in the final column of [6, Table 1] should be ‘ $1/2n$ ’). In fact, as the next proposition demonstrates, much better bounds hold when $t > 2$.

Proposition 2.1. *Let $\bar{H} \in \mathcal{C}_2$ be the stabilizer in \bar{G} of a decomposition $\bar{V} = V_1 \oplus \cdots \oplus V_t$ and assume that each V_i is non-degenerate if \bar{G} is a symplectic or orthogonal group. Then*

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \left(\frac{1}{t} + \zeta\right) \dim x^{\bar{G}}$$

for all elements $x \in \bar{G}$ of prime order, where $\zeta = 0$ unless \bar{G} is symplectic, when $\zeta = (1 + \alpha)/(n + 2\alpha)$ and $\alpha = 1 - \delta_{2,t}$.

Proof. Let us start by assuming $\bar{G} = \mathrm{PSp}_n(K)$, so $\bar{H} = (\mathrm{Sp}_m(K) \wr S_t) \cap \bar{G}$, where $m = n/t$. Let $x \in \bar{G}$ be an element of prime order r and note that $x^{\bar{G}} \cap \bar{H}$ is a finite union of \bar{B} -classes, where $\bar{B} = (\mathrm{Sp}_m(K)^t) \cap \bar{G} = \bar{H}^0$. In particular, replacing x by a suitable \bar{G} -conjugate, we may assume that $\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}}$.

First suppose x is semisimple and r is odd, in which case x is the image (modulo scalars) of an element $\hat{x} = (x_1, \dots, x_t)\pi \in \mathrm{Sp}_m(K)^t\pi$, where $\pi \in S_t$ has cycle-shape $(r^h, 1^{t-hr})$ for some $h \geq 0$. We claim that

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \left(\frac{1}{t} + \frac{1 + \alpha}{n + 2}\right) \dim x^{\bar{G}}. \quad (1)$$

Now, if π induces the permutation $\prod_{i=1}^h ((i-1)r + 1 \dots ir)$ on coordinates then the proof of [10, 4.5] implies that \hat{x} is $\mathrm{Sp}_m(K)^t$ -conjugate to $(I_m, \dots, I_m, x_{hr+1}, \dots, x_t)\pi$, where $x_i^r = 1$ for all $i > hr$, and thus

$$\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}} = h(r-1) \dim \mathrm{Sp}_m + \sum_{i=hr+1}^t \dim x_i^{\mathrm{Sp}_m}.$$

Let $\omega \in K$ be a primitive r^{th} root of unity and suppose \hat{x} admits the eigenvalue ω^i with multiplicity l_i on the natural $\mathrm{Sp}_n(K)$ -module, where $0 \leq i \leq r-1$. We claim that there exist

tr rational numbers $\{l_{ij} : 0 \leq i \leq r-1, 1 \leq j \leq t\}$ such that $\sum_j l_{ij} = l_i$ and

$$\dim(x^{\bar{G}} \cap \bar{H}) = \frac{1}{2}nm + \frac{1}{2}n - \frac{1}{2}l_0 - \frac{1}{2} \sum_{i=0}^{r-1} \left(\sum_{j=1}^t l_{ij}^2 \right). \quad (2)$$

If $t = hr$ then $l_i = mh$ for each i , whence

$$\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}} = h(r-1) \dim \mathrm{Sp}_m = \frac{1}{2}n(m+1) \left(1 - \frac{1}{r}\right)$$

and (2) holds if we set $l_{ij} = m/r$ for all i, j . If $t - hr = f > 0$ we may assume that x fixes each subspace in the set $\{V_j : 1 \leq j \leq f\}$. For $1 \leq j \leq f$, let y_{ij} be the multiplicity of ω^i as an eigenvalue of x in its action on V_j , so

$$\dim(x^{\bar{G}} \cap \bar{H}) = \frac{1}{2}(t-h)(m^2+m) - \frac{1}{2} \sum_{j=1}^f \left(y_{0j} + \sum_{i=0}^{r-1} y_{ij}^2 \right)$$

and (2) follows if we define $l_{ij} = y_{ij}$ for $1 \leq j \leq f$ and set $l_{ij} = m/r$ for $j > f$. Applying (2) we deduce that

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \frac{1}{2}nm + \frac{1}{2}n - \frac{1}{2}l_0 - \frac{1}{2t} \sum_{i=0}^{r-1} l_i^2 = \frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(n-l_0) \left(1 - \frac{1}{t}\right)$$

and (1) follows since

$$\dim x^{\bar{G}} \geq \frac{1}{4}(n+2)(n-l_0) \geq \frac{(n+2)(n-l_0)}{2(1+\alpha)} \left(1 - \frac{1}{t}\right).$$

Next assume x is a semisimple involution and suppose $C_{\bar{G}}(x)^0 = \mathrm{GL}_{n/2}$, in which case $\dim x^{\bar{G}} = \frac{1}{4}n(n+2)$. If $x \in \bar{B}\pi$ and π induces the permutation $(12)\dots(2h-1\ 2h)$ on the coordinates then x lifts to an element $\hat{x} = (x_1, \dots, x_t)\pi \in \mathrm{Sp}_m(K) \wr S_t$ of order 4 and the proof of [10, 4.5] implies that \hat{x} is $\mathrm{Sp}_m(K)^t$ -conjugate to $(-I_m, I_m, \dots, -I_m, I_m, x_{2h+1}, \dots, x_t)\pi$, where $x_j = z = [-iI_{m/2}, iI_{m/2}]$ for all $j > 2h$ (here $i \in K$ satisfies $i^2 = -1$). In particular, the hypothesis $\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}}$ implies that $x \in \bar{B}$ since $2 \dim z^{\mathrm{Sp}_m} > \dim \mathrm{Sp}_m$. Therefore

$$\dim(x^{\bar{G}} \cap \bar{H}) = \frac{1}{4}nm + \frac{1}{2}n = \left(\frac{1}{t} + \frac{1+\alpha}{n+2}\right) \dim x^{\bar{G}} - n \left(\frac{\alpha}{4} + \frac{1}{2t} - \frac{1}{4}\right)$$

and (1) follows. Next suppose x is \bar{G} -conjugate to $[-I_l, I_{n-l}]$, where $2 \leq l \leq n/2$ is even. Then $\dim x^{\bar{G}} = l(n-l)$ and the hypothesis $\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}}$ implies that $x \in \bar{B}\pi$, where $\pi \in S_t$ has cycle-shape $(2^a, 1^f)$ for $a = \lfloor l/m \rfloor$. If $f = 0$ then x is \bar{G} -conjugate to $[-I_{n/2}, I_{n/2}]$ and thus

$$\dim(x^{\bar{G}} \cap \bar{H}) = \frac{t}{2} \dim \mathrm{Sp}_m = \left(\frac{1}{t} + \frac{1}{n}\right) \dim x^{\bar{G}}.$$

On the other hand, if $f > 0$ then we may assume that x fixes the subspaces $\{V_1, \dots, V_f\}$ and that the restriction of x to such a subspace V_j is Sp_m -conjugate to $[-I_{l_j}, I_{n-l_j}]$ for some even integer $l_j \geq 0$. Then

$$\dim(x^{\bar{G}} \cap \bar{H}) = a \dim \mathrm{Sp}_m + \sum_{j=1}^f l_j(m-l_j) \leq \frac{1}{2}am(m+1) + m(l-ma) - \frac{1}{f}(l-ma)^2$$

and we conclude that

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq ml - \frac{l^2}{t} + \frac{1}{2}ma \leq ml - \frac{l^2}{t} + \frac{1}{2}l \leq \left(\frac{1}{t} + \frac{1}{n}\right) \dim x^{\bar{G}}.$$

Now assume x has order $r = p > 2$ and associated partition $(r^{a_r}, \dots, 1^{a_1}) \vdash n$. (Recall that λ is the partition of $\dim V$ corresponding to the Jordan normal form of x on V - see [4, §3.3]). In analogy with the semisimple case, we can find tr rational numbers $\{a_{ij}\}$ such that $\sum_j a_{ij} = a_i$ for each $1 \leq i \leq r$ and

$$\dim(x^{\bar{G}} \cap \bar{H}) = \frac{1}{2}nm + \frac{1}{2}n - \frac{1}{2} \sum_{j=1}^t \left(\sum_{i=1}^r \left(\sum_{k=i}^r a_{kj} \right)^2 \right) - \frac{1}{2} \sum_{i \text{ odd}} a_i$$

(see [6, 2.3]). This implies that

$$\begin{aligned} \dim(x^{\bar{G}} \cap \bar{H}) &\leq \frac{1}{2}nm + \frac{1}{2}n - \frac{1}{2t} \sum_{i=1}^m \left(\sum_{k=i}^r a_k \right)^2 - \frac{1}{2} \sum_{i \text{ odd}} a_i \\ &= \frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2} \left(1 - \frac{1}{t} \right) \left(n - \sum_{i \text{ odd}} a_i \right) \end{aligned}$$

and (1) follows since $n = \sum_{i=1}^r i a_i$ and thus

$$\dim x^{\bar{G}} \geq \frac{n+2}{2(1+\alpha)} \left(n - \sum_{i \text{ odd}} a_i \right) \left(1 - \frac{1}{t} \right).$$

Finally, let us assume $r = p = 2$ (we adopt the standard Aschbacher-Seitz [2] notation for representatives of the classes of unipotent involutions in \bar{G}). If x is \bar{G} -conjugate to b_l or c_l (according to the parity of l) then [6, 2.3(iv)] gives $\dim x^{\bar{G}} = l(n-l+1)$ and the hypothesis $\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}}$ implies that $x \in \bar{B}$. In particular, if x acts on V_j with associated partition $(2^{l_j}, 1^{m-2l_j})$ then

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \sum_{j=1}^t ((m+1)l_j - l_j^2) \leq (m+1)l - \frac{l^2}{t} = \frac{1}{t} \dim x^{\bar{G}} + l \left(1 - \frac{1}{t} \right)$$

and (1) quickly follows. On the other hand, if x is \bar{G} -conjugate to a_l then $\dim x^{\bar{G}} = l(n-l)$ and from the definition of an a -type involution (see [2]) it is clear that the restriction of each $y \in x^{\bar{G}} \cap \bar{H}$ to a fixed subspace V_j is Sp_m -conjugate to a_{l_j} for some even integer $l_j \geq 0$ (where we set $a_0 = I_m$). Therefore, if $y \in x^{\bar{G}} \cap \bar{B}$ then

$$\dim y^{\bar{B}} = \sum_j l_j \left(\frac{n}{t} - l_j \right) = \frac{nl}{t} - \sum_j l_j^2 \leq \frac{1}{t} \dim x^{\bar{G}}.$$

Now, if $x \in \text{Sp}_m \times \text{Sp}_m = \bar{J}$ is Sp_{2m} -conjugate to a_m then

$$\dim x^{\bar{J}} = 2 \dim a_{m/2}^{\text{Sp}_m} = \frac{1}{2}m^2 < \dim \text{Sp}_m$$

and so the hypothesis $\dim(x^{\bar{G}} \cap \bar{H}) = \dim x^{\bar{B}}$ implies that $x \in \bar{B}\pi$, where $\pi \in S_t$ has cycle-shape $(2^a, 1^f)$ and $a = \lfloor l/m \rfloor$. In the usual manner we conclude that

$$\dim(x^{\bar{G}} \cap \bar{H}) \leq \left(\frac{1}{t} + \frac{1}{n} \right) \dim x^{\bar{G}}.$$

The argument for linear and orthogonal groups is very similar and left to the reader. \square

Remark 2.2. The conclusion to Proposition 2.1 holds for arbitrary unipotent elements if $p = 0$.

Following [4, §3.2], if X is a subset of a finite group and $r \in \mathbb{N}$ then we write $i_r(X)$ for the number of elements of order r in X . The following result is an easy exercise.

Lemma 2.3. Let r be a prime and let $i_{r,k}(S_t)$ be the number of permutations in S_t with cycle shape $(r^k, 1^{t-rk})$, where S_t is the symmetric group on t letters. Then

$$i_{r,k}(S_t) = \frac{t!}{k!(t - kr)!r^k} \text{ and } i_r(S_t) = \sum_{k=1}^{\lfloor t/r \rfloor} i_{r,k}(S_t).$$

In §2.4 we will need the following technical result on orthogonal groups.

Lemma 2.4. If q is odd and $l \geq 1$ then the following hold for all m .

- (i) $|O_{2l}^+(q) : O_{2m}^+(q)O_{2l-2m}^+(q)| + |O_{2l}^+(q) : O_{2m}^-(q)O_{2l-2m}^-(q)| < 2q^{2m(2l-2m)};$
- (ii) $|O_{2l}^-(q) : O_{2m}^+(q)O_{2l-2m}^-(q)| + |O_{2l}^-(q) : O_{2m}^-(q)O_{2l-2m}^-(q)| < 2q^{2m(2l-2m)};$
- (iii) $|O_{2l+1}(q) : O_{2m}^+(q)O_{2l+1-2m}(q)| + |O_{2l+1}(q) : O_{2m}^-(q)O_{2l+1-2m}(q)| < 2q^{2m(2l+1-2m)};$
- (iv) $|O_{2l+1}(q) : O_{2l}^+(q)O_1(q)| + |O_{2l+1}(q) : O_{2l}^-(q)O_1(q)| = q^{2l};$
- (v) $|O_{2l}^+(q) : O_{2m+1}(q)O_{2l-2m-1}(q)| < |O_{2l}^-(q) : O_{2m+1}(q)O_{2l-2m-1}(q)| < q^{(2m+1)(2l-2m-1)}.$

Proof. First consider (i). Without loss we may assume $m \geq l/2$ and thus

$$|O_{2l}^+(q) : O_{2m}^+(q)O_{2l-2m}^+(q)| + |O_{2l}^+(q) : O_{2m}^-(q)O_{2l-2m}^-(q)| = \frac{q^{2m(l-m)} \prod_{i=1}^{l-m} (q^{2m+2i} - 1)}{\prod_{i=1}^{l-m} (q^{2i} - 1)}.$$

The result now follows from [4, 3.8] and the other statements are derived in a similar fashion. \square

Recall that in order to prove Theorem 1.1 it suffices to show that

$$f(x, H) := \frac{\log |x^G \cap H|}{\log |x^G|} < \frac{1}{2} + \frac{1}{n} + \iota$$

for all elements $x \in G$ of prime order, where ι is defined as in the statement of Theorem 1.1. We start with the case $G_0 = \text{PSL}_n^\epsilon(q)$.

2.2 Proof of Theorem 1.1: Case (i) of Table 2.1

Let σ be a Frobenius morphism of $\bar{G} = \text{PSL}_n(K)$ such that \bar{G}_σ has socle $G_0 = \text{PSL}_n^\epsilon(q)$ and natural module V , where K is the algebraic closure of \mathbb{F}_q and $q = p^f$ for a prime p . Let \bar{B} denote the image of $\text{GL}_{n/t}(K)^t$ in $\text{PSL}_n(K)$ and observe that

$$H \cap \text{PGL}(V) \leq \left([(q - \epsilon)^{t-1}] \cdot \text{PGL}_{\frac{n}{t}}^\epsilon(q)^t \right) \cdot S_t = B \cdot S_t = \tilde{H},$$

where B is the image of $\text{GL}_{n/t}^\epsilon(q)^t$ in $\text{PGL}_n^\epsilon(q)$ and $[(q - \epsilon)^{t-1}]$ is a group of order $(q - \epsilon)^{t-1}$. For the reader's convenience we partition the proof into three parts: in Proposition 2.5 we assume $x \in H \cap \text{PGL}(V)$ is semisimple, in Proposition 2.6 we consider unipotent elements in $H \cap \text{PGL}(V)$ and then in Proposition 2.7 we deal with the outer automorphisms in $H - \text{PGL}(V)$.

Proposition 2.5. The conclusion to Theorem 1.1 holds in case (i) of Table 2.1 for semisimple elements of prime order in $H \cap \text{PGL}(V)$.

Proof. Let $x \in H \cap \text{PGL}(V)$ be a semisimple element of prime order r . We partition the proof into several cases, where Case i.j.k is a subcase of Case i.j which itself is a subcase of Case i.

Case 1. $x^G \cap H \subseteq B$

Let $E = C_{\bar{G}}(x)$ and let $H^1(\sigma, E/E^0)$ denote the set of σ -equivalence classes with respect to the induced action of σ on the finite group E/E^0 (see [4, 3.5]).

Case 1.1. $r > 2$, $|H^1(\sigma, E/E^0)| = 1$

Let $i \geq 1$ be minimal such that r divides $q^i - 1$ and define the integer $c = c(i, \epsilon)$ as in the statement of [4, 3.33] (so $c = i$ if $\epsilon = +$). According to [4, 3.35], the hypothesis $|H^1(\sigma, E/E^0)| = 1$ is equivalent to assuming that E is connected if $c = 1$. Furthermore, [4, 3.11] implies that each $y \in x^G \cap H$ lifts to an element $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t) \in \hat{B}$ of order r , where $\hat{B} = \text{GL}_{n/t}^\epsilon(q)^t$ and

$$|y^B| = |\hat{y}^{\hat{B}}| = \prod_j |\hat{y}_j^{\text{GL}_{n/t}^\epsilon(q)}|.$$

Define the integers l and d as in [4, 3.32] and note that the hypothesis $x^G \cap H \subseteq B$ implies that $n \geq \max(tc, l + dc)$. Since $|x^{\bar{G}}| = |x^{G_0}|$ (see [8, 4.2.2(j)]) we deduce that

$$|x^G| \geq |x^{\bar{G}}| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{d\alpha} q^{\dim x^{\bar{G}}} \quad (3)$$

(see [4, 3.30]) where

$$\alpha = \begin{cases} 1 & \text{if } \epsilon = - \text{ and } i \equiv 2 \pmod{4} \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

and [4, 3.33] gives

$$\dim x^{\bar{G}} \geq n^2 - l^2 - \frac{1}{c}(n - l - c(d - 1))^2 - c(d - 1). \quad (5)$$

Case 1.1.1. $c > 1$

Let $\mu = (l, a_1, \dots, a_k)$ denote the associated σ -tuple of x (see [4, 3.27]) and note that d is the number of terms a_j in μ which are non-zero. Write \mathcal{E}_x for the multiset of eigenvalues of $\hat{x} \in \text{GL}_n^\epsilon(q)$, where \hat{x} is the unique lift of x of order r . We claim that

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{td(1-\alpha)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} (d+1)^{\frac{n}{c}} q^{\frac{1}{t} \dim x^{\bar{G}}}. \quad (6)$$

To see this, first observe that Proposition 2.1 and [4, 3.30] imply that

$$|y^B| = |\hat{y}^{\hat{B}}| < 2^{td(1-\alpha)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}}$$

for all $y \in x^G \cap H$ and so it remains to show that the number of B -classes in $x^G \cap H$ is at most $2 \log_2 q \cdot (d+1)^{n/c}$. The term $2 \log_2 q$ accounts for the effect of field and graph automorphisms of G_0 on \mathcal{E}_x and so we need to show that $M \leq (d+1)^{n/c}$, where M is the number of distinct ways the non-trivial σ -orbits in \mathcal{E}_x can be distributed among the t direct factors in \hat{B} . Now, if $\hat{x} = (\hat{x}_1, \dots, \hat{x}_t) \in \hat{B}$ and $n/t \equiv j \pmod{c}$ then it follows that $l_u \equiv j \pmod{c}$ for each $1 \leq u \leq t$, where l_u denotes the multiplicity of 1 in the eigenvalue set $\mathcal{E}_{\hat{x}_u}$. Therefore

$$M \leq \binom{\frac{n-tj}{c}}{\frac{l-tj}{c} a_{k_1} \dots a_{k_d}},$$

where $a_{k_v} > 0$ for all $1 \leq v \leq d$, and the multinomial theorem implies that

$$M \leq (d+1)^{\frac{n-tj}{c}} \leq (d+1)^{\frac{n}{c}} \quad (7)$$

as required.

If we assume $t \geq 3$ then one can check that the bounds (3), (5) and (6) are sufficient to imply that $f(x, H) < 1/2 + 1/n$ unless $\epsilon = +$ and $(t, i, q) = (3, 2, 2)$. Here $(r, d) = (3, 1)$ and it remains to deal with the cases $(n, l) \in \{(12, 10), (9, 7), (6, 4), (6, 0)\}$. If $(n, l) = (6, 4)$ then x is

\bar{G} -conjugate to $[I_4, \omega, \omega^2]$, where $\omega \in K$ is a primitive cube root of unity, and we calculate that $f(x, H) < .141$ since

$$|x^G \cap H| \leq 3|\mathrm{GL}_2(2) : \mathrm{GL}_1(4)| = 6, \quad |x^G| \geq |\mathrm{GL}_6(2) : \mathrm{GL}_4(2)\mathrm{GL}_1(4)| = 333312.$$

The other cases are similar. For $t = 2$ we require greater accuracy. We claim that

$$|x^G \cap H| < 2 \log_2 q \cdot \left(\frac{n-l}{cd} + 1 \right)^{d(t-1)} 2^{td(1-\alpha)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}} \quad (8)$$

for all $t \geq 2$, where α is defined as in (4). Arguing as before, it suffices to show that

$$M \leq \left(\frac{n-l}{cd} + 1 \right)^{d(t-1)},$$

where M is defined as above. If we assume a_{k_1}, \dots, a_{k_d} are non-zero then it is clear that $\mathcal{E}_{\hat{x}_1}$ is determined by a choice of d -tuple (b_1, \dots, b_d) , where $0 \leq b_j \leq a_{k_j}$ for each $1 \leq j \leq d$. If N denotes the number of such d -tuples then $M \leq N^{t-1}$ since $\mathcal{E}_{\hat{x}_t}$ is uniquely determined once $\mathcal{E}_{\hat{x}_1}, \dots, \mathcal{E}_{\hat{x}_{t-1}}$ have been chosen. Now

$$N = \prod_{j=1}^d (a_{k_j} + 1) \leq \left(\frac{\sum_j a_{k_j}}{d} + 1 \right)^d = \left(\frac{n-l}{cd} + 1 \right)^d \quad (9)$$

and (8) follows. If we assume $t = 2$ then the bounds (3), (5) and (8) are always sufficient if $d \geq 3$; if $d = 2$ then we are left to deal with a handful of cases with $\epsilon = +$ and the desired result quickly follows through direct calculation. For example, if $(n, l, i, q) = (8, 2, 3, 2)$ then $r = 7$, $\nu(x) = 6$ (i.e. 6 is the codimension of the largest eigenspace of x on the natural \bar{G} -module; see [4, 3.16] for the formal definition of $\nu(x)$) and we conclude that $f(x, H) < .445$ since

$$|x^G \cap H| \leq 2|\mathrm{GL}_4(2) : \mathrm{GL}_1(2)\mathrm{GL}_1(2^3)|^2, \quad |x^G| \geq |\mathrm{GL}_8(2) : \mathrm{GL}_2(2)\mathrm{GL}_1(2^3)^2|.$$

Now assume $(t, d, \epsilon) = (2, 1, +)$. We claim that

$$|x^G \cap H| < 2 \log_2 q \cdot 2^2 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2} \dim x^{\bar{G}}}. \quad (10)$$

Without loss of generality, suppose $a_1 > 0$. If $l = 0$ then $a_1 = n/i$ and [4, 3.30] implies that

$$|x^G \cap H| \leq 2 \log_2 q \cdot |\mathrm{GL}_{n/2}(q) : \mathrm{GL}_{n/2i}(q^i)|^2 < 2 \log_2 q \cdot q^{\frac{1}{2} \dim x^{\bar{G}}}$$

so let us assume $l > 0$. For all possible integers j in the range $0 \leq j \leq a_1$, choose $z_j = (y_1, y_2) \in x^G \cap H$ so that \mathcal{E}_{y_1} contains precisely j copies of the non-trivial σ -orbit Ω_1 (recall that a_1 is defined to be the multiplicity of Ω_1 in \mathcal{E}_x ; see [4, §3.4] for the definition of a σ -orbit). Then $|x^G \cap H| \leq 2 \log_2 q \cdot \sum_j |z_j^B|$, where

$$|z_j^B| = \frac{|\mathrm{GL}_{n/2}(q)|}{|\mathrm{GL}_{n/2-j}(q)| |\mathrm{GL}_j(q^i)|} \cdot \frac{|\mathrm{GL}_{n/2}(q)|}{|\mathrm{GL}_{l+ji-n/2}(q)| |\mathrm{GL}_{a_1-j}(q^i)|}$$

and thus

$$|x^G \cap H| < 2 \log_2 q \cdot 2^2 \sum_j q^{\dim z_j^{\bar{B}}},$$

where

$$\dim z_j^{\bar{B}} = -2i(i+1)j^2 + 2(n-l)(i+1)j + nl - l^2 - \frac{1}{i}(n-l)^2.$$

If $(n-l)/i$ is even then $\dim z_j^{\bar{B}} \leq \dim z_{(n-l)/2i}^{\bar{B}} = \frac{1}{2} \dim x^{\bar{G}}$ and (10) follows since

$$\sum_j q^{\dim z_j^{\bar{B}}} \leq 2 \left(1 + q^2 + \dots + q^{\frac{1}{2} \dim x^{\bar{G}} - 2} \right) + q^{\frac{1}{2} \dim x^{\bar{G}}} \leq \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2} \dim x^{\bar{G}}}.$$

Similarly, if $(n-l)/i$ is odd then

$$\sum_j q^{\dim z_j^{\bar{B}}} \leq 2 \left(1 + q^2 + \dots + q^{\frac{1}{2} \dim x^{\bar{G}} - \frac{1}{2} i(i+1)} \right)$$

and again the claim follows. With minor adjustments, the same argument applies when $(t, d, \epsilon) = (2, 1, -)$ and it is easy to see that (10) holds. Furthermore, if $\epsilon = -$ then the bounds (3), (5) and (10) are sufficient unless $(n, l, i, q) = (4, 0, 1, 4)$, where direct calculation yields $f(x, H) < .529$. If $(\epsilon, l) = (+, 0)$ then the same bounds are almost always sufficient and the few cases which remain are easily dealt with. Finally, if $\epsilon = +$ and $l > 0$ then we quickly reduce to the case $(n, i, q) = (l+2, 2, 2)$, where x is \bar{G} -conjugate to $[I_{n-2}, \omega, \omega^2]$ and $\omega \in K$ is a primitive cube root of unity. Here the reader can check that the bounds

$$|x^G \cap H| \leq 2 \left(\frac{|\mathrm{GL}_{n/2}(2)|}{|\mathrm{GL}_{n/2-2}(2)||\mathrm{GL}_1(2^2)|} \right) = \frac{1}{3} 2^{n-2} (2^{\frac{n}{2}-1} - 1) (2^{\frac{n}{2}} - 1)$$

and

$$|x^G| \geq \frac{|\mathrm{GL}_n(2)|}{|\mathrm{GL}_{n-2}(2)||\mathrm{GL}_1(2^2)|} = \frac{1}{3} 2^{2n-3} (2^{n-1} - 1) (2^n - 1)$$

are always sufficient.

Case 1.1.2. $c = 1$

Here $l > 0$ and $d + l \leq n \leq (d+1)l$ (see [4, 3.32(i)]). If $n = t \geq 3$ then $|x^B| = 1$ and arguing as before (see (6)) we deduce that $|x^G \cap H| \leq 2 \log_2 q \cdot (d+1)^n$. Then (3) and (5) are sufficient unless $(\epsilon, q) = (-, 2)$. Here $r = 3$, $d \in \{1, 2\}$ and the desired result quickly follows through direct calculation. For the remainder we will assume $n \geq 2t$.

First consider the case $n = l + d$. If $d = 1$ then one can check that the bounds

$$|x^G \cap H| \leq 2 \log_2 q \cdot t \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{GL}_{n/t-1}^\epsilon(q)||\mathrm{GL}_1^\epsilon(q)|} \right) = 2t \log_2 q \cdot \left(\frac{q^{n/t-1}(q^{n/t} - \epsilon^{n/t})}{q - \epsilon} \right),$$

$$|x^G| \geq \frac{|\mathrm{GL}_n^\epsilon(q)|}{|\mathrm{GL}_{n-1}^\epsilon(q)||\mathrm{GL}_1^\epsilon(q)|} = \frac{q^{n-1}(q^n - \epsilon^n)}{q - \epsilon}$$

are always sufficient (note that $\epsilon = -$ if $q = 2$ since $r|(q - \epsilon)$). For $d \geq 2$ we claim that

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{\frac{d}{2}(1+\epsilon)} t^d q^{\frac{1}{t} \dim x^{\bar{G}} - d(1-\frac{1}{t})}. \quad (11)$$

To see this, let $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t) \in \hat{B}$ be a lift of $y \in x^G \cap H$ of order r so that the 1-eigenspace of \hat{y} has dimension l . Let l_k denote the multiplicity of 1 in $\mathcal{E}_{\hat{y}_k}$, so $\sum_k l_k = l$ and $|y^B| < 2^{\frac{d}{2}(1+\epsilon)} q^{\dim y^{\bar{B}}}$, where

$$\dim y^{\bar{B}} = \frac{n^2}{t} - \sum_{k=1}^t l_k^2 - n + l \leq \frac{n^2}{t} - \frac{l^2}{t} - n + l = \frac{1}{t} \dim x^{\bar{G}} - d \left(1 - \frac{1}{t} \right).$$

Then (11) follows since there at most t^d distinct ways to distribute the d distinct eigenvalues $\lambda_i \neq 1$ among the t direct factors. Now, $\dim x^{\bar{G}} = 2ld + d^2 - d$ and applying (3) we find that (11) is sufficient unless $(\epsilon, t, d, q) = (-, 2, 2, 2)$. Here $n \geq 6$ (see Table 2.1) and x is \bar{G} -conjugate to $[I_{n-2}, \omega, \omega^2]$, where $\omega \in K$ is a primitive cube root of unity. Moreover,

$$|x^G \cap H| \leq 2 \frac{|\mathrm{GU}_{n/2}(2)|}{|\mathrm{GU}_{n/2-2}(2)||\mathrm{GU}_1(2)|^2} + 2 \left(\frac{|\mathrm{GU}_{n/2}(2)|}{|\mathrm{GU}_{n/2-1}(2)||\mathrm{GU}_1(2)|} \right)^2 < 10 \cdot 2^{2n-6}$$

and the desired result follows since $|x^G| > \frac{1}{9} 2^{4n-5}$.

Now suppose $n > l + d$ and $t \geq 3$. Then (6) gives

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{\frac{1}{2}td(1+\epsilon)} (d+1)^n q^{\frac{1}{t} \dim x^{\bar{G}}}$$

and we find that (3) and (5) are sufficient if $\epsilon = +$ unless (n, l, d) is one of a handful of cases with $(t, q) = (3, 4)$. These are all easily dealt with. Similarly, if $\epsilon = -$, $t \geq 3$ and $q \geq 4$ then the same bounds are always sufficient. Now assume $(\epsilon, q) = (-, 2)$ and $t \geq 3$. If $d = 1$ then $x = [I_l, \lambda I_{n-l}]$ for some λ and we observe that

$$|x^G \cap H| < 2 \binom{t+n-l-1}{n-l} 2^{\frac{1}{t} \dim x^{\bar{G}}},$$

where $\dim x^{\bar{G}} = 2l(n-l)$ (the binomial coefficient can be interpreted combinatorially as the number of ways the $n-l$ eigenvalues λ can be distributed among the t direct factors). Since $l+1 < n \leq 2l$, it is easy to check that this bound with (3) is always sufficient whenever $t \geq 3$. Finally, if $d = 2$ then (8) gives

$$|x^G \cap H| < 2 \left(\frac{n-l}{2} + 1 \right)^{2(t-1)} 2^{\frac{1}{t} \dim x^{\bar{G}}}$$

and (3) and (5) are sufficient unless $(n, t, l) = (6, 3, 3)$, where more accurate bounds yield $f(x, H) < .312$.

Next assume $n > l + d$ and $t = 2$. Here we require a greater degree of accuracy. If $d = 1$ then $\dim x^{\bar{G}} = 2l(n-l)$ and an earlier argument (see (10)) implies that

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{1+\epsilon} \left(\frac{q^2+1}{q^2-1} \right) q^{l(n-l)}.$$

If $\epsilon = +$ then this bound with (3) is sufficient unless $(n, l, q) = (4, 2, 4)$; if $\epsilon = -$ then we are left to deal with the case $(n, l, q) = (6, 4, 2)$. In both cases, the desired result is easily obtained through direct calculation. Next assume $d = 2$, so x is \bar{G} -conjugate to $[I_l, \alpha I_a, \beta I_{n-l-a}]$ for distinct $\alpha, \beta \in K$. We claim that

$$|x^G \cap H| < 2 \log_2 q \cdot \left(\frac{q^2+1}{q^2-1} \right)^2 2^{2(1+\epsilon)} q^{\frac{1}{2} \dim x^{\bar{G}}}. \quad (12)$$

To see this, first observe that $|x^G \cap H| \leq 2 \log_2 q \cdot \sum_{j,k} |\hat{x}_{jk}^{\bar{B}}|$, where $\hat{x}_{jk} = (\hat{y}_1, \hat{y}_2) \in \hat{B}$ and $\hat{y}_1 = [I_j, \alpha I_k, \beta I_{n/2-j-k}]$ up to $\text{GL}_{n/2}(K)$ -conjugacy. Next fix j and note that

$$|\hat{x}_{jk}^{\bar{B}}| = \frac{|\text{GL}_{n/2}^\epsilon(q)|}{|\text{GL}_j^\epsilon(q)| |\text{GL}_k^\epsilon(q)| |\text{GL}_{n/2-j-k}^\epsilon(q)|} \cdot \frac{|\text{GL}_{n/2}^\epsilon(q)|}{|\text{GL}_{l-j}^\epsilon(q)| |\text{GL}_{a-k}^\epsilon(q)| |\text{GL}_{n/2-l+j-a+k}^\epsilon(q)|}.$$

Then $\sum_k |\hat{x}_{jk}^{\bar{B}}| < 2^{2(1+\epsilon)} \sum_k q^{f(j,k)}$, where $f(j, k) := \dim x_{jk}^{\bar{B}}$, and (12) quickly follows. If $\epsilon = +$ then the bounds (3), (5) and (12) are sufficient unless $(n, l, q) = (6, 3, 4)$, where direct calculation yields $f(x, H) < .499$. If $\epsilon = -$ then the same bounds are sufficient unless $q = 2$ and $n = l + 3$; here $\dim x^{\bar{G}} = 6n - 14$ and the desired result follows via (3) since $|x^G \cap H| < 2 \log_2 q \cdot 2q^{3n-14}(1+q^4+q^6)$. Finally, let us assume $n > l + d$, $t = 2$ and $d \geq 3$, in which case $q \geq 8$ if $\epsilon = +$ and $q \geq 4$ if $\epsilon = -$. Arguing as before (see (8)) we deduce that

$$|x^G \cap H| < 2 \log_2 q \cdot \left(\frac{n-l}{d} + 1 \right)^d 2^{d(1+\epsilon)} q^{\frac{1}{2} \dim x^{\bar{G}}}$$

and the desired conclusion follows via (3) and (5).

Case 1.2. $r > 2$, $|H^1(\sigma, E/E^0)| = r$

According to [4, 3.34, 3.35], the hypotheses imply that $c = 1$, r divides n and $E = C_{\bar{G}}(x)$ is non-connected. Furthermore, $\dim x^{\bar{G}} = n^2(1 - 1/r)$ and the hypothesis $x^G \cap H \subseteq B$ implies that r does not divide $t!$, whence $r \geq 5$ if $t \geq 3$. In addition, the proof of [4, 3.35] implies that x lifts to an element $\hat{x} \in \text{GL}_n^\epsilon(q)$ which is $\text{GL}_n^\epsilon(q)$ -conjugate to

$$\begin{pmatrix} & \lambda^j I_{n/r} \\ I_{n-n/r} & \end{pmatrix} \quad (13)$$

for some unique integer $0 \leq j \leq r-1$, where $Z(\text{GL}_n^\epsilon(q)) = \langle \lambda I_n \rangle$. If $j = 0$ then \hat{x} is $\text{GL}_n^\epsilon(q)$ -conjugate to the diagonal matrix $[I_{\frac{n}{r}}, \omega I_{\frac{n}{r}}, \dots, \omega^{r-1} I_{\frac{n}{r}}] \in \text{GL}_n^\epsilon(q)$, where ω is a primitive r^{th} root of unity. Further, [4, 3.35] gives

$$|x^G| \geq \frac{1}{r} |\text{GL}_n^\epsilon(q) : \text{GL}_{n/r}^\epsilon(q)^r| > \frac{1}{2r} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(r-1)(1-\epsilon)} q^{n^2(1-\frac{1}{r})} \quad (14)$$

and we claim that

$$|x^G \cap H| < \left(\frac{n}{r} + 1 \right)^{(r-1)(t-1)} 2^{\frac{t}{2}(r-1)(1+\epsilon)} q^{\frac{1}{t}n^2(1-\frac{1}{r})}.$$

To see this, first observe that each $y \in x^G \cap H$ lifts to an element $\hat{y} \in \hat{B}$ of order r . Then appealing to Proposition 2.1 we deduce that

$$|y^B| \leq |\hat{y}^{\hat{B}}| < 2^{\frac{t}{2}(r-1)(1+\epsilon)} q^{\frac{1}{t}n^2(1-\frac{1}{r})}$$

and the claim follows since there are at most $(n/r + 1)^{(r-1)(t-1)}$ distinct ways to partition the eigenvalue set $\mathcal{E}_{\hat{x}}$ into t subsets (see (9) for example). These bounds are sufficient unless $(n, t, r) = (6, 2, 3)$ and $q = 3 + \epsilon$, where direct calculation yields $f(x, H) < .537$.

Finally, if $1 \leq j \leq r-1$ then [4, 3.35] implies that

$$|x^G| \geq \frac{|\text{GL}_n^\epsilon(q)|}{|\text{GL}_{n/r}^\epsilon(q^r)|^r} > \frac{1}{2r} q^{n^2(1-\frac{1}{r})} \quad (15)$$

and applying [4, 3.51] we deduce that

$$|x^G \cap H| \leq \sum_{j=1}^{r-1} |\hat{z}_j^{\text{GL}_{\frac{n}{t}}^\epsilon(q)}|^t = (r-1) \left(\frac{|\text{GL}_{n/t}^\epsilon(q)|}{|\text{GL}_{n/tr}^\epsilon(q^r)|} \right)^t < (r-1) \cdot 2^{\frac{t}{2}(1+\epsilon)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t}n^2(1-\frac{1}{r})},$$

where

$$\hat{z}_j = \begin{pmatrix} & \lambda^j I_{n/tr} \\ I_{n/t-n/tr} & \end{pmatrix} \in \text{GL}_{n/tr}^\epsilon(q). \quad (16)$$

These bounds are always sufficient.

Case 1.3. $r = 2$

Write $s = \nu(x)$ for the codimension of the largest eigenspace of x on the natural \bar{G} -module (see [4, 3.16]) and note that the hypothesis $x^G \cap H \subseteq B$ implies that $s < n/t$. In particular, $C_{\bar{G}}(x)$ is connected and each $y \in x^G \cap H$ lifts to an involution $\hat{y} \in \hat{B}$. Now, $\dim x^{\bar{G}} = 2s(n-s)$ and applying Proposition 2.1 we deduce that

$$|x^G \cap H| < \binom{t+s-1}{s} 2^t q^{\frac{2s}{t}(n-s)}, \quad |x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(1-\epsilon)} q^{2s(n-s)}.$$

If $t = 2$ then one can check that these bounds are sufficient unless $(s, q) = (1, 3)$ or $(n, s, q) \in \{(6, 2, 3), (4, 1, 5)\}$. If $(s, q) = (1, 3)$ then the bounds

$$|x^G \cap H| \leq 2 \left(\frac{|\text{GL}_{n/2}^\epsilon(3)|}{|\text{GL}_{n/2-1}^\epsilon(3)| |\text{GL}_1^\epsilon(3)|} \right) = 2 \left(\frac{3^{n/2-1}(3^{n/2} - \epsilon^{n/2})}{3 - \epsilon} \right),$$

$$|x^G| \geq \frac{|\text{GL}_n^\epsilon(3)|}{|\text{GL}_{n-1}^\epsilon(3)| |\text{GL}_1^\epsilon(3)|} = \frac{3^{n-1}(3^n - \epsilon^n)}{3 - \epsilon}$$

are always sufficient; the remaining two cases are easily dealt with through direct calculation. If $t \geq 3$ and $n \geq 2t$ then it remains to deal with the case $(n, t, s, q) = (6, 3, 1, 3)$ where more accurate bounds yield $f(x, H) < .315$.

Case 2. $x^G \cap (H - B) \neq \emptyset$

Here $x^G \cap B\pi \neq \emptyset$ for a non-trivial permutation $\pi \in S_t$, where π has order r and cycle-shape $(r^{h(\pi)}, 1^{t-h(\pi)r})$. Define

$$h = \max\{h(\pi) : \pi \in S_t \text{ and } x^G \cap B\pi \neq \emptyset\} \quad (17)$$

and fix $\pi \in S_t$ such that $h(\pi) = h$. Without loss, we may assume that π fixes V_j for all $j \geq hr + 1$ in the decomposition $V = V_1 \oplus \cdots \oplus V_t$. If $|H^1(\sigma, E/E^0)| = 1$, where $E = C_{\bar{G}}(x)$, and $y \in B\rho$ is G -conjugate to x , where $\rho \in S_t$ has cycle-shape $(r^k, 1^{t-kr})$, then [4, 3.11] implies that y lifts to an element $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t)$ in $\text{GL}_n^\epsilon(q)$ of order r and the proof of [10, 4.5] reveals that \hat{y} is \hat{B} -conjugate to $(I_{n/t}, \dots, I_{n/t}, \hat{y}_{kr+1}, \dots, \hat{y}_t)\rho$. Therefore

$$|y^B| \leq |\hat{y}^{\hat{B}}| = |\text{GL}_{n/t}^\epsilon(q)|^{k(r-1)} \prod_{j > kr} |\hat{y}_j^{\text{GL}_{n/t}^\epsilon(q)}| \quad (18)$$

and we deduce that

$$\dim x^{\bar{G}} \geq \dim \pi^{\bar{G}} = n^2 h(r-1) \frac{1}{t} \left(2 - \frac{hr}{t}\right). \quad (19)$$

Case 2.1. $r > 2$, $|H^1(\sigma, E/E^0)| = 1$, $c > 1$

Define the integers i, c and α as in Case 1 and observe that

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1}\right)^{\alpha(r-1)} q^{\dim x^{\bar{G}}}. \quad (20)$$

If $n = t$ then the hypothesis $c > 1$ implies that $x^G \cap B\rho \neq \emptyset$ if and only if $\rho \in S_t$ has cycle-shape $(r^h, 1^{t-hr})$, whence $\dim x^{\bar{G}} = nh(r-1)(2 - hr/n)$ and applying (18) and Lemma 2.3 we deduce that

$$|x^G \cap H| = |x^G \cap (H - B)| < 2 \log_2 q \cdot \left(\frac{n!}{h!(n-hr)!r^h}\right) (q - \epsilon)^{h(r-1)}. \quad (21)$$

This bound with (20) is sufficient unless $(n, h, r, q, \epsilon) = (5, 1, 5, 2, -)$, where direct calculation yields $f(x, H) < .552$.

Now assume $n \geq 2t$. We claim that

$$|x^G \cap H| < 2 \log_2 q \cdot 2 \left(\frac{t^r}{r}\right)^h \left(\frac{r-1}{c} + 1\right)^{\frac{n}{c}} \left(\frac{q+1}{q}\right)^{\frac{t}{2}(1-\epsilon)} 2^{\frac{t}{c}(r-1)(1-\alpha)} q^{\frac{1}{t} \dim x^{\bar{G}}}. \quad (22)$$

To see this, first observe that $|x^G \cap H| \leq \sum_{k=0}^h |\rho_k^{S_t}| M_k N_k$, where $\rho_k \in S_t$ has cycle-shape $(r^k, 1^{t-rk})$, M_k is the size of the largest B -class in $x^G \cap B\rho_k$ and N_k is the number of distinct B -classes in $x^G \cap B\rho_k$. Applying Proposition 2.1 and [4, 3.9] we deduce that

$$M_k < M'_k = \left(\frac{q+1}{q}\right)^{\frac{1}{2}(t-rk)(1-\epsilon)} 2^{\frac{1}{c}(t-rk)(r-1)(1-\alpha)} q^{\frac{1}{t} \dim x^{\bar{G}}}$$

and arguing as before (see (7)) we have

$$N_k \leq N'_k = 2 \log_2 q \cdot \left(\frac{r-1}{c} + 1\right)^{\frac{1}{c}(n - \frac{nrk}{t})}.$$

Therefore

$$|x^G \cap H| < \sum_{k=0}^h \frac{t!}{k!(t-rk)!r^k} M'_0 N'_0 < \sum_{k=0}^h \left(\frac{t^r}{r}\right)^k M'_0 N'_0 < 2 \left(\frac{t^r}{r}\right)^h M'_0 N'_0$$

and (22) follows. It is easy to check that the bounds (19), (20) and (22) are always sufficient (note that we may assume $n \geq 3t$ if $(\epsilon, q) = (+, 2)$ - see Table 2.1).

Case 2.2. $r > 2$, $|H^1(\sigma, E/E^0)| = 1$, $c = 1$

Here $d = r - 1$ and $r \leq n \leq rl$ since the σ -orbit of each r^{th} root of unity in K is a singleton set. Also note that $t > hr$ (if $t = hr$ then $C_{\bar{G}}(x)$ is non-connected and [4, 3.35] implies that $|H^1(\sigma, E/E^0)| = r$). First suppose $n = t$. Then (19) and (20) hold and appealing to (21) and (22) we deduce that

$$|x^G \cap H| < 2 \log_2 q \cdot 2 \left(\frac{t^r}{r} \right)^h (q - \epsilon)^{h(r-1)} r^t.$$

If $(\epsilon, q) \neq (-, 2)$ then these bounds are almost always sufficient; the remaining cases are easily dealt with through direct calculation. If $(\epsilon, q) = (-, 2)$ then $r = 3$,

$$|x^G \cap H| \leq 2 \sum_{k=0}^h \left[\left(\frac{n!}{k!(n-3k)!3^k} \right) 3^{2k} \cdot 3^{n-3k} \right] < 4 \cdot 3^{n-2h} n^{3h}$$

and we deduce that (19) and (20) are sufficient for all $h \geq 3$. If $h = 2$ then we are left to deal with the cases $n \in \{7, 8, 9\}$ with which we can calculate directly. Finally, if $h = 1$ then the maximality of h implies that x is \bar{G} -conjugate to $[I_l, \omega I_{n-l-1}, \omega^2]$ and thus

$$|x^G \cap H| \leq 2 \left(\frac{n!}{l!(n-l-1)!} + \frac{n!}{(n-3)!3} 3^2 \binom{n-3}{l-1} \right), \quad |x^G| > \frac{2}{9} 2^{2nl+4n-2l^2-6l-6}.$$

These bounds are always sufficient if $n \geq 6$; if $n = 5$ then direct calculation gives $f(x, H) < .599$; we get $f(x, H) < .718$ if $n = 4$.

Finally, if $n \geq 2t$ then (19) and (20) hold, and (22) is valid with $c = 1$. The reader can check that these bounds are sufficient.

Case 2.3. $r > 2$, $|H^1(\sigma, E/E^0)| = r$

First assume x lifts to an element $\hat{x} \in \text{GL}_n^\epsilon(q)$ of order r . Then (14) holds and appealing to Proposition 2.1 and the proof of [10, 4.5] we deduce that

$$\begin{aligned} |x^G \cap H| &< \sum_{k=0}^{\lfloor t/r \rfloor} \left[\frac{t!}{k!(t-rk)!r^k} \left(\frac{q+1}{q} \right)^{\frac{1}{2}k(r-1)(1-\epsilon)} r^{n(1-\frac{rk}{t})} 2^{\frac{1}{2}(r-1)(t-rk)(1+\epsilon)} \right] q^{\frac{1}{t}n^2(1-\frac{1}{r})} \\ &< 2 \left(\frac{t^r}{r} \right)^{\frac{t}{r}} \left(\frac{q+1}{q} \right)^{\frac{t}{2r}(r-1)(1-\epsilon)} r^{n \frac{t}{2}(r-1)(1+\epsilon)} q^{\frac{1}{t}n^2(1-\frac{1}{r})}. \end{aligned}$$

If $\epsilon = +$ and $n > t$ then these bounds are sufficient unless $(n, t, r, q) = (6, 3, 3, 4)$, where direct calculation yields $f(x, H) < .356$. Similarly, if $\epsilon = -$ and $n > t$ then we are left to deal with the case $(t, r, q) = (3, 3, 2)$ for $n \leq 12$. Here the more accurate bounds

$$|x^G \cap H| \leq \frac{n!}{(n/3)!3} 2^{\frac{2}{3}n^2} + 2|\text{GU}_{n/3}(2)|^2, \quad |x^G| \geq \frac{|\text{GU}_n(2)|}{|\text{GU}_{n/3}(2)|^3}$$

are always sufficient. If $n = t$ then it remains to deal with a handful of cases (n, r) . In these cases the desired result is easily obtained by evaluating the bounds

$$|x^G \cap H| \leq \sum_{k=0}^{\lfloor t/r \rfloor} \left[\frac{t!}{k!(t-rk)!r^k} (q - \epsilon)^{k(r-1)} \frac{(n-rk)!}{(n/r-k)!r} \right], \quad |x^G| \geq \frac{1}{r} |\text{GL}_n^\epsilon(q) : \text{GL}_{\frac{n}{r}}^\epsilon(q)^r|.$$

Now assume x lifts to an element $\hat{x} \in \text{GL}_n^\epsilon(q)$ as in (13), with $j \geq 1$. Write $\hat{x} = (\hat{x}_1, \dots, \hat{x}_t)\rho$, where $\hat{x}_i \in \text{GL}_{n/t}^\epsilon(q)$ and $\rho \in S_t$ has cycle-shape $(r^k, 1^{t-rk})$. Assume for now that tr divides n , so if ρ induces the permutation $\prod_{i=1}^k ((i-1)r + 1 \dots ir)$ on the coordinates and $i > kr$ then \hat{x}_i is $\text{GL}_{n/t}^\epsilon(q)$ -conjugate to \hat{z}_j , where \hat{z}_j is given in (16). Since $\hat{x}^r = \lambda^j I_n$ it follows that

$$\hat{x}_1 \dots \hat{x}_r = \hat{x}_{r+1} \dots \hat{x}_{2r} = \dots = \hat{x}_{(k-1)r+1} \dots \hat{x}_{kr} = \lambda^j I_{n/t}$$

and using the proof of [10, 4.5] we deduce that \hat{x} is \widehat{B} -conjugate to $b\rho$, where

$$b = (I_{n/t}, \dots, I_{n/t}, \lambda^j I_{n/t}, \dots, I_{n/t}, \dots, I_{n/t}, \lambda^j I_{n/t}, \hat{x}_{kr+1}, \dots, \hat{x}_t) \in \widehat{B}.$$

Therefore

$$\begin{aligned} |x^G \cap H| &\leq (r-1) \sum_{k=0}^{\lfloor t/r \rfloor} \left[\frac{t!}{k!(t-kr)!r^k} |\mathrm{GL}_{n/t}^\epsilon(q)|^{k(r-1)} \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{GL}_{n/tr}^\epsilon(q^r)|} \right)^{t-kr} \right] \\ &< (r-1) \cdot 2 \left(\frac{t^r}{r} \right)^{\frac{t}{r}} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} 2^{\frac{t}{2}(1+\epsilon)} q^{\frac{1}{t}n^2(1-\frac{1}{r})} \end{aligned} \quad (23)$$

and one can check that (15) is always sufficient. Finally, let us assume n is not divisible by tr . Then r divides t and $x^G \cap B\rho$ is non-empty if and only if ρ has cycle-shape $(r^{t/r})$. Therefore

$$\begin{aligned} |x^G \cap H| &\leq (r-1) \frac{t!}{(t/r)!r^{t/r}} |\mathrm{GL}_{n/t}^\epsilon(q)|^{\frac{t}{r}(r-1)} \\ &< (r-1) \frac{t!}{(t/r)!r^{t/r}} \left(\frac{q+1}{q} \right)^{\frac{t}{2r}(r-1)(1-\epsilon)} q^{\frac{n^2}{tr}(r-1)} \end{aligned} \quad (24)$$

and the result now follows by applying (15).

Case 2.4. $r = 2$

Write $s = \nu(x)$ and observe that $s = nh/t + j$ for some integer $0 \leq j < n/t$. Let us first assume $s < n/2$. Then $C_{\bar{G}}(x)$ is connected, $t > 2h$ and

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(1-\epsilon)} q^{\dim x^{\bar{G}}}, \quad (25)$$

where $\dim x^{\bar{G}} = 2s(n-s)$ (see [4, Table 3.8]). Arguing as before we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^h 2^{\frac{t}{2}(1+\epsilon)} 2^n q^{\frac{1}{t} \dim x^{\bar{G}}} \quad (26)$$

and if we assume $n \geq 2t$ and $h \geq 2$ then the bounds (19), (25) and (26) are always sufficient. If $h = 1$ and $s = n/t + j$ then

$$|x^G \cap H| < \left(2^{\frac{1}{2}(1+\epsilon)} \binom{t}{2} \binom{t-3+j}{j} + \binom{n/t+j+t-1}{n/t+j} \right) 2^{\frac{1}{2}(t-2)(1+\epsilon)} q^{\frac{2s}{t}(n-s)}$$

and (25) is sufficient if $n \geq 2t$. If $n = t$ then the maximality of h implies that $s = h$, whence

$$|x^G \cap H| \leq \sum_{k=0}^h \left[\frac{n!}{k!(n-2k)!2^k} \binom{n-2k}{h-k} (q-\epsilon)^k \right] < \binom{n}{h} + \frac{n!}{(n-2h)!} (q-\epsilon)^h \quad (27)$$

and the desired result follows via (25).

For the remainder, let us assume $s = n/2$. Here $C_{\bar{G}}(x)$ is non-connected and

$$|x^G| > \frac{1}{4} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(1-\epsilon)} q^{\frac{1}{2}n^2}. \quad (28)$$

Let us first assume $C_G(x)$ is of type $\mathrm{GL}_{n/2}^\epsilon(q)^2$, so x lifts to an involution $\hat{x} \in \mathrm{GL}_n^\epsilon(q)$. If $n = t$ then (27) holds (with $h = n/2$) and this bound with (28) is always sufficient. If $n \geq 2t$ then (26) holds (with $h = \lfloor t/2 \rfloor$) and if we assume $t \geq 3$ then it remains to deal with four cases with which we can calculate directly. If $t = 2$ then

$$|x^G \cap (H - B)| \leq |\mathrm{GL}_{n/2}^\epsilon(q)| \leq (q+1)q^{\frac{1}{4}n^2-1},$$

$$|x^G \cap B| \leq \sum_{l=0}^{n/2} \left[\left(\frac{|\text{GL}_{n/2}^\epsilon(q)|}{|\text{GL}_l^\epsilon(q)||\text{GL}_{n/2-l}^\epsilon(q)|} \right)^2 \right] < 4 \sum_{l=0}^{n/2} q^{2l(n-2l)} < 4 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{4}n^2}$$

and (28) is sufficient unless $(n, q) = (4, 3)$, where direct calculation yields $f(x, H) < .617$. Finally, let us assume $C_G(x)$ is of type $\text{GL}_{n/2}(q^2)$. If n/t is even then arguing as before (see (23)) we deduce that

$$\begin{aligned} |x^G \cap H| &\leq \sum_{k=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{k!(t-2k)!2^k} |\text{GL}_{n/t}^\epsilon(q)|^k \left(\frac{|\text{GL}_{n/t}^\epsilon(q)|}{|\text{GL}_{n/2t}(q^2)|} \right)^{t-2k} \right] \\ &< 2 \left(\frac{t^2}{2} \right)^{\frac{t}{2}} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} 2^t q^{\frac{n^2}{2t}} \end{aligned}$$

and (28) is sufficient unless $(n, t) = (4, 2)$ or $(\epsilon, n, t) = (-, 6, 3)$. If $(n, t) = (4, 2)$ then

$$\begin{aligned} |x^G \cap H| &\leq |\text{GL}_2^\epsilon(q) : \text{GL}_1(q^2)|^2 + |\text{GL}_2^\epsilon(q)| = q^2(q-\epsilon)^2 + q(q-\epsilon)(q^2-1), \\ |x^G| &\geq \frac{1}{2} |\text{GL}_4^\epsilon(q) : \text{GL}_2(q^2)| = \frac{1}{2} q^4(q-\epsilon)(q^3-\epsilon) \end{aligned}$$

and we conclude that $f(x, H) < .651$ for all $q \geq 3$. In the same way we calculate that $f(x, H) < .550$ if $(\epsilon, n, t) = (-, 6, 3)$. Finally, if n/t is odd then t is even, (24) holds (setting $r = 2$) and one can check that (28) is always sufficient. \square

Proposition 2.6. *The conclusion to Theorem 1.1 holds in case (i) of Table 2.1 for unipotent elements of prime order in $H \cap \text{PGL}(V)$.*

Proof. Let $x \in H \cap \text{PGL}(V)$ be an element of order p , with associated partition $\lambda \vdash n$ (see [4, §3.3]). Write $\hat{B} = \text{GL}_{n/t}^\epsilon(q)^t$ and define h as in (17) (setting $r = p$), so $h = 0$ if and only if $x^G \cap H \subseteq B$. Fix $\pi \in S_t$ with cycle-shape $(r^h, 1^{t-hr})$ and assume π induces the permutation $\prod_{i=1}^h ((i-1)p+1, \dots, ip)$ on coordinates. If $y \in B\pi$ is G -conjugate to x then [4, 3.11] implies that y lifts to a unique element $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t)\pi \in \hat{B}\pi$ of order p which is \hat{B} -conjugate to $(I_{n/t}, \dots, I_{n/t}, \hat{y}_{hp+1}, \dots, \hat{y}_t)\pi$. Furthermore, (18) holds and

$$\lambda = (p^{\frac{nh}{t}+b_p}, (p-1)^{b_{p-1}}, \dots, 1^{b_1}), \quad (29)$$

where the restriction of y to $V_{hp+1} \oplus \dots \oplus V_t$ has associated partition $\lambda' = (p^{b_p}, \dots, 1^{b_1}) \vdash n(t-hp)/t$. We follow the approach of Proposition 2.5, partitioning the proof into a number of cases, where Case i.j is a subcase of Case i.

Case 1. $x^G \cap H \subseteq B$

We begin by considering two special cases; the general case is handled in Case 1.3.

Case 1.1. $\lambda = (k^{n/k})$

Here $2 \leq k \leq p$ and k divides n/t since $x^G \cap H \subseteq B$. Furthermore, the hypotheses imply that p does not divide t if $k = p$. Applying Proposition 2.1 and [4, 3.18, 3.20(i)] we deduce that

$$|x^G \cap H| < \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}}, \quad |x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(1-\epsilon)} q^{\dim x^{\bar{G}}-1}$$

and [6, 2.4] implies that $\dim x^{\bar{G}} \geq \frac{1}{2}n^2$ (minimal if $k = 2$). The result follows.

Case 1.2. $\lambda = (2^j, 1^{n-2j})$

We may assume that $j < n/2$ and thus [4, 3.20(i)] implies that

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(1-\epsilon)} q^{\dim x^{\bar{G}}}, \quad (30)$$

where $\dim x^{\bar{G}} = 2j(n-j)$. We also note that the prime order hypothesis on x implies that λ must have this form if $p = 2$, in which case $j < n/t$ since $x^G \cap H \subseteq B$. If $j = 1$ then

$$|x^G \cap H| < t \cdot 2^{\frac{1}{2}(1+\delta_{2,q})(1+\epsilon)} q^{2(\frac{n}{t}-1)}$$

and (30) is always sufficient if $t \geq 3$. If $(t, j) = (2, 1)$ then the bounds

$$|x^G \cap H| \leq 2(q-\epsilon)^{-1}(q^{n/2-1}-\epsilon)(q^{n/2}-1), \quad |x^G| \geq (q-\epsilon)^{-1}(q^{n-1}-1)(q^n-\epsilon)$$

are sufficient. Now assume $j \geq 2$. Applying (18), Proposition 2.1 and [4, 3.18] we deduce that

$$|x^G \cap H| < \binom{t+j-1}{j} 2^{\frac{t}{2}(1+\delta_{2,q})(1+\epsilon)} \left(\frac{q+1}{q}\right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{2j}{t}(n-j)}$$

since each B -class in $x^G \cap B$ is determined by a distribution of the j J_2 -blocks among the t direct factors in B . For $t \geq 3$, this bound with (30) is sufficient unless $(n, t, j, q) = (9, 3, 2, 2)$, where direct calculation yields $f(x, H) < .354$. Now assume $t = 2$. Arguing as in the proof of Proposition 2.5 (see (10)) we deduce that

$$|x^G \cap H| < 2^{(1+\delta_{2,q})(1+\epsilon)} \left(\frac{q+1}{q}\right)^{1-\epsilon} \left(\frac{q^2+1}{q^2-1}\right) q^{j(n-j)}. \quad (31)$$

First assume $(q, \epsilon) = (2, +)$. Then $|x^G| > 2^{2j(n-j)-1}$ and if we assume $j \geq 4$ then (31) is sufficient unless $(n, j) = (10, 4)$, where direct calculation yields $f(x, H) < .514$. If $j = 3$ then there are at most two essentially distinct ways to write $(2^3, 1^{n-6})$ as a sum of two partitions of $n/2$ and we deduce that $|x^G \cap H| < 16 \cdot 2^{3n-10} + 8a \cdot 2^{3n-18}$, where $a = 1$ if $n \geq 12$, otherwise $a = 0$. The result now follows since $|x^G| > 2^{6n-19}$; the case $j = 2$ is very similar. Finally, if $t = 2$ and $(q, \epsilon) \neq (2, +)$ then the bounds (30) and (31) are sufficient unless $(\epsilon, n, j, q) = (-, 6, 2, 2)$. Here direct calculation yields $f(x, H) < .399$.

Case 1.3. General λ

Write $\lambda = (m^{a_m}, \dots, 2^{a_2}, 1^l) \vdash n$, where $m = n/t$. In view of Case 1.2, we may assume $p > 2$. Let $d \geq 1$ be the number of non-zero terms a_j in λ and observe that

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1}\right)^{\frac{1}{2}(1-\epsilon)(d+1)} q^{\dim x^{\bar{G}}-1}. \quad (32)$$

If $d = 1$ and $a_k > 0$ then we may assume $k > 2$ and $l > 0$. Then Proposition 2.1 implies that

$$|x^G \cap H| < \binom{t-1+(n-l)/k}{(n-l)/k} 2^t q^{\frac{1}{t} \dim x^{\bar{G}}},$$

where $n \geq \max(l+k, tk)$ and $\dim x^{\bar{G}} = (n^2 - l^2)(1 - 1/k)$, and we find that (32) is sufficient unless $(n, t, l, k, q) = (6, 2, 3, 3, 3)$, where direct calculation yields $f(x, H) < .370$. Now assume $d \geq 2$. We claim that

$$n \geq \max(t(d+1), \frac{1}{2}d^2 + \frac{3}{2}d + l) \quad (33)$$

and

$$\dim x^{\bar{G}} \geq \frac{1}{2}n^2 + \frac{1}{2}(d^2 - d)n - \frac{1}{8}d^4 - \frac{1}{12}d^3 + \frac{3}{8}d^2 - \frac{1}{6}d - \frac{1}{2}l^2. \quad (34)$$

To see this, suppose $\{r_1, \dots, r_d\}$ is the set of indices with $a_{r_k} > 0$, where $r_i > r_{i+1}$ for each i . Since $x^G \cap H \subseteq B$ we have $n/t \geq r_1 \geq d+1$ and (33) follows since

$$n = l + \sum_{j=1}^d r_j a_{r_j} \geq l + \sum_{j=2}^{d+1} j = l + \frac{1}{2}(d+1)(d+2) - 1.$$

The lower bound on $\dim x^{\bar{G}}$ follows from [6, 2.3, 2.4]. For example, if $\alpha = \frac{1}{2}(2n - 2l - d^2 - 3d + 4)$ is even and l and d are fixed then $(d+1, d, \dots, 3, 2^{\alpha/2}, 1^l) \vdash n$ is the least possible partition of n with respect to the familiar dominance ordering on partitions and the result follows via [6, 2.3, 2.4]. Next we claim that

$$|x^G \cap H| < 2^{\frac{1}{2}td(1+\epsilon)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} \left(\frac{n/2 - d^2/4 + d/4 - l/2 - 1}{d} + 1 \right)^{d(t-1)} q^{\frac{1}{t} \dim x^{\bar{G}}}. \quad (35)$$

In view of (18), Proposition 2.1 and [4, 3.18] it is sufficient to show that the number N of B -classes in $x^G \cap B$ satisfies $N \leq Y^{d(t-1)}$, where

$$Y = \frac{n/2 - d^2/4 + d/4 - l/2 - 1}{d} + 1.$$

Such a B -class is determined by a choice of t partitions $\lambda_i \vdash n/t$ with $\lambda = \lambda_1 \oplus \dots \oplus \lambda_t$ and clearly λ_t is uniquely determined once $\lambda_1, \dots, \lambda_{t-1}$ have been chosen, whence $N \leq M^{t-1}$, where M is the number of choices for λ_1 . If $r_1 > \dots > r_d \geq 2$ are the indices with $a_{r_k} > 0$ then λ_1 is uniquely determined by a choice of d -tuple (x_1, \dots, x_d) , where $0 \leq x_j \leq a_{r_j}$ for each j . Of course, if M' denotes the number of all such d -tuples then

$$M \leq M' = \prod_{j=1}^d (a_{r_j} + 1) \leq \left(\frac{\sum_j a_j}{d} + 1 \right)^d$$

and thus $M \leq Y^d$ since $\sum_j a_j$ is maximal when a_2 is as large as possible.

Calculating, we find that the bounds (32), (34) and (35) are sufficient unless $(\epsilon, t, d, q) = (+, 2, 2, 3)$ and $(n, l) \in \{(8, 3), (8, 1), (6, 1)\}$. These cases are easily settled via direct calculation.

Case 2. $x^G \cap (H - B) \neq \emptyset$

Define $h > 0$ as in (17). Referring to (29), we observe that $\dim x^{\bar{G}}$ is minimal if $b_j = 0$ for all $j > 0$ and thus (19) holds (with $r = p$). Also note that [4, 3.20(i)] implies that $|x^{\bar{G}_\sigma}| = |x^{G_0}|$.

Case 2.1. $n = t$

Here $\lambda = (p^h, 1^{n-hp})$ and we deduce that

$$|x^G \cap H| = |x^G \cap (H - B)| \leq \frac{n!}{h!(n-hp)!p^h} (q - \epsilon)^{h(p-1)}$$

and (30) holds since x^G meets $B\pi$ if and only if π has cycle-shape $(p^h, 1^{n-hp})$. If $\epsilon = +$ then we may assume $q \geq 4$ (see Table 2.1) and the above bounds with (19) are always sufficient, also if $\epsilon = -$ and $q > 2$. Finally, if $(\epsilon, q) = (-, 2)$ then we are left to deal with the following cases:

(n, h)	$ x^G \cap H $	$ x^G $	$f(x, H) <$
$(5, 1)$	30	165	.667
$(4, 1)$	18	45	.760*

These results are obtained through direct calculation. The asterisk appearing in the last row indicates that the case $(n, t, h, q) = (4, 4, 1, 2)$ is an exception to the main statement of Theorem 1.1 and is therefore recorded in Table 1.1.

Case 2.2. $n \geq 2t, p = 2$

Let us begin by assuming $h = 1$. Here $\lambda = (2^{n/t+j}, 1^{n-2n/t-2j})$ for some $0 \leq j \leq n(1/2 - 1/t)$ and arguing as before we deduce that

$$|x^G \cap B| < \binom{n/t + j + t - 1}{n/t + j} 2^{\frac{t}{2}(1+\delta_{2,q})(1+\epsilon)} \left(\frac{q+1}{q} \right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}}$$

and

$$|x^G \cap (H - B)| < \binom{t}{2} \binom{t-3+j}{j} 2^{\frac{1}{2}(t-2)(1+\delta_{2,q})(1+\epsilon)} \left(\frac{q+1}{q}\right)^{\frac{1}{2}(t-1)(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}},$$

where $\dim x^{\bar{G}} = 2(n/t + j)(n - n/t - j)$. If $t \geq 3$ then the result follows via (30); if $t = 2$ then

$$|x^G \cap (H - B)| \leq |\mathrm{GL}_{n/2}^\epsilon(q)| < \left(\frac{q+1}{q}\right)^{\frac{1}{2}(1-\epsilon)} q^{\frac{1}{4}n^2}, \quad |x^G| > \frac{1}{2} \left(\frac{q}{q+1}\right)^{\frac{1}{2}(1-\epsilon)} q^{\frac{1}{2}n^2}$$

and either $x^G \cap B$ is empty or $n \equiv 0 \pmod{4}$ and

$$|x^G \cap B| < \left(\frac{|\mathrm{GL}_{n/2}^\epsilon(q)|}{|\mathrm{GL}_{n/4}^\epsilon(q)|q^{n^2/16}}\right)^2 < \left(\frac{q+1}{q}\right)^{1-\epsilon} q^{\frac{1}{4}n^2}.$$

These bounds are always sufficient. Now assume $h > 1$. Arguing as in the proof of Proposition 2.5 (see (22)) we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2}\right)^h 2^{\frac{n}{2} 2^{\frac{t}{2}(1+\delta_{2,q})(1+\epsilon)}} \left(\frac{q+1}{q}\right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}}.$$

(Note that if $\rho \in S_t$ has cycle-shape $(2^{nk/t}, 1^{n-2nk/t})$ then the number of B -classes in $x^G \cap B\rho$ is at most N , where N is the number of distinct distributions of $n(h-k)/t$ J_2 -blocks among $t - 2k$ direct factors. This accounts for the $2^{n/2}$ factor since $N \leq 2^{n/2 - nk/t}$.) The reader can check that this bound with (30) and (19) is sufficient unless $(n, q) = (2t, 2)$ and (n, h, ϵ) is one of a handful of possibilities, each of which is easily dealt with.

Case 2.3. $n \geq 2t, p > 2$

Here

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1}\right)^{\frac{1}{2}(p-1)(1-\epsilon)} q^{\dim x^{\bar{G}}}$$

and in the usual manner we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^p}{p}\right)^h p^{n + \frac{n}{t}h(1-p)} 2^{\frac{t}{2}(p-1)(1+\epsilon)} \left(\frac{q+1}{q}\right)^{\frac{t}{2}(1-\epsilon)} q^{\frac{1}{t} \dim x^{\bar{G}}}. \quad (36)$$

Applying the lower bound on $\dim x^{\bar{G}}$ given in (19) we find that these bounds are sufficient unless $(n, t, h, q, \epsilon) = (6, 3, 1, 3, +)$. In this case direct calculation yields $f(x, H) < .321$. \square

Proposition 2.7. *The conclusion to Theorem 1.1 holds in case (i) of Table 2.1 for elements of prime order in $H - \mathrm{PGL}(V)$.*

Proof. Let us start by assuming $x \in G$ is a field automorphism of prime order r , in which case r is odd if $\epsilon = -$ (see [4, 3.42]). Then $q = q_0^r$ and

$$|x^G| \geq \frac{|\mathrm{PSL}_n^\epsilon(q)|}{|\mathrm{PGL}_n^\epsilon(q^{1/r})|} > \frac{1}{2}(q+1)^{-1} q^{(n^2-1)(1-\frac{1}{r})}. \quad (37)$$

Also, [4, 3.50] implies that $x^G \cap H \subseteq \tilde{H}x$, where $\tilde{H} = B.S_t$, and applying [4, 3.43] we deduce that

$$|x^G \cap H| \leq (q - \epsilon)^{-1} \sum_{j=0}^{\lfloor t/r \rfloor} \left[|\rho_j^{S_t}| |\mathrm{GL}_{n/t}^\epsilon(q)|^{j(r-1)} \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{PGL}_{n/t}^\epsilon(q^{1/r})|}\right)^{t-jr} \right], \quad (38)$$

where $\rho_j \in S_t$ has cycle-shape $(r^j, 1^{t-jr})$. In particular, if $n = t$ then

$$|x^G \cap H| \leq (q - \epsilon)^{t-1} (i_r(S_t) + 1) \leq (q - \epsilon)^{t-1} t!$$

(where $i_r(S_t)$ denotes the number of elements of order r in S_t) and one can check that (37) is sufficient unless $(r, \epsilon) = (2, +)$ and $t \leq 4$. If $(r, t) = (2, 4)$ then $|x^G \cap H| \leq 10(q - 1)^3$ since $i_2(S_4) = 9$ and the result follows via (37); if $(r, t) = (2, 3)$ then (38) gives $|x^G \cap H| \leq q^2 + q - 2$ and the bound $|x^G| > \frac{1}{6}q^4$ is always sufficient. Now assume $n \geq 2t$. Then (38) implies that

$$|x^G \cap H| < (q - \epsilon)^{t-1} t! 2^t q^{\left(\frac{n^2}{t} - t\right)\left(1 - \frac{1}{r}\right)}$$

and we are left to deal with the case $(n, t, r, \epsilon) = (4, 2, 2, +)$ for $q \in \{4, 9, 16\}$. Here the result is easily derived through direct calculation. For example, if $q = 4$ then $f(x, H) < .546$ since

$$|x^G \cap H| \leq \frac{1}{3} \left(\frac{|\mathrm{GL}_2(4)|}{|\mathrm{PGL}_2(2)|} \right)^2 + |\mathrm{PGL}_2(4)| = 360, \quad |x^G| \geq \frac{|\mathrm{SL}_4(4)|}{|\mathrm{PGL}_4(2)|} = 48960.$$

The argument for involutory graph-field automorphisms is very similar.

Finally, let us assume x is an involutory graph automorphism and assume for now that $n \geq 3t$. Then x permutes the t direct factors in B , inducing an involutory graph automorphism on any factor which is fixed. Recall from [4] that x is said to be a *symplectic type* graph automorphism if $C_{G_0}(x)$ has socle $\mathrm{PSp}_n(q)$, otherwise x is *non-symplectic* (see [4, 3.47]). Note that

$$|x^G| > \frac{1}{2} (q + 1)^{-1} q^{\frac{1}{2}(n^2 + \alpha n - 2)}, \quad (39)$$

where $\alpha = 1$ if x is non-symplectic, otherwise $\alpha = -1$ (see [4, 3.48]). We claim that the following two conditions hold:

- (I) If $C_{G_0}(x)$ is symplectic then x induces a symplectic-type graph automorphism on each factor in B which is fixed;
- (II) If $C_{G_0}(x)$ is non-symplectic and $p \neq 2$ then x induces a non-symplectic graph automorphism on each fixed factor in B ; if $p = 2$ then at least one factor must be fixed and acted on as a non-symplectic graph automorphism.

An easy way to see this is to view the algebraic group $\mathrm{GL}_n(K)$ (where K is the algebraic closure of \mathbb{F}_q) as the stabilizer in $\mathrm{Sp}_{2n}(K)$ of a maximal totally singular subspace of the natural $\mathrm{Sp}_{2n}(K)$ -module \bar{V} and calculate the action of x on \bar{V} . Then $\nu(x) = n$ (with respect to \bar{V}) and it is easy to see that $C_{G_0}(x)$ is symplectic if and only if n is even and x is $\mathrm{Sp}_{2n}(K)$ -conjugate to $[-I_n, I_n]$ or a_n according to the parity of p . Set $\delta = +$ if x is non-symplectic, otherwise $\delta = -$. Suppose x permutes the t factors in B with cycle-shape $(2^j, 1^{t-2j})$ and induces a non-symplectic graph automorphism on precisely $0 \leq k \leq t - 2j$ of the fixed factors. Then x is $\mathrm{Sp}_{2n}(K)$ -conjugate to the block-diagonal matrix $[X_\delta^j, Y^k, Z^{t-2j-k}] \in \mathrm{Sp}_{2n}(K)$, where the elements $X_\delta \in \mathrm{Sp}_{4n/t}(K)$ and $Y, Z \in \mathrm{Sp}_{2n/t}(K)$ are given as follows up to conjugacy (here $i \in K$ satisfies $i^2 = -1$ and we adopt the notation of [2] for unipotent involutions in symplectic groups):

	$p \neq 2$	$p = 2$
X_+	$[-iI_{2n/t}, iI_{2n/t}]$	$a_{2n/t}$
X_-	$[-I_{2n/t}, I_{2n/t}]$	$a_{2n/t}$
Y	$[-iI_{n/t}, iI_{n/t}]$	$b_{n/t}$ or $c_{n/t}$
Z	$[-I_{n/t}, I_{n/t}]$	$a_{n/t}$

The conditions (I) and (II) follow immediately.

If $n = 2t$ then x induces a non-trivial automorphism on each fixed direct factor $\mathrm{GL}_2^\epsilon(q)$ in $\hat{B} = \mathrm{GL}_2^\epsilon(q)^t$ which restricts to an inner automorphism i_x of $\mathrm{SL}_2(q)$. In analogy with the case $n \geq 3t$, we say that x induces a *symplectic-type* automorphism on a fixed factor if and only if

i_x centralizes $\mathrm{SL}_2(q)$, otherwise the action of x on the fixed factor is said to be *non-symplectic*. With this terminology, it is easy to see that conditions (I) and (II) are valid if we delete each occurrence of the term ‘graph’. Finally, if $n = t$ then x acts by inversion on each fixed factor and it is easy to see that x does not fix any factors if $C_{G_0}(x)$ is symplectic, while at least one factor is fixed if $C_{G_0}(x)$ is non-symplectic and $p = 2$.

Let us begin by assuming $C_{G_0}(x)$ is symplectic, so n is even. Now, if n/t is odd then t is even and our above comments imply that x permutes the t factors with cycle-shape $(2^{t/2})$. Therefore

$$|x^G \cap H| \leq (q - \epsilon)^{-1} \frac{t!}{(t/2)!2^{t/2}} |\mathrm{GL}_{n/t}^\epsilon(q)|^{\frac{t}{2}} \leq \frac{t!}{(t/2)!2^{t/2}} (q - \epsilon)^{\frac{t}{2}-1} q^{\frac{n^2}{2t}-\frac{t}{2}}$$

and (39) is sufficient unless $q = 2$ and $(n, t) \in \{(6, 2), (4, 4)\}$. These cases are easily settled through direct calculation. Next assume $C_{G_0}(x)$ is symplectic and n/t is even. In view of condition (I) we deduce that

$$|x^G \cap H| \leq (q - \epsilon)^{-1} \sum_{j=0}^{\lfloor t/2 \rfloor} \left[|\rho_j^{S_t}| |\mathrm{GL}_{n/t}^\epsilon(q)|^j \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{Sp}_{n/t}(q)|} \right)^{t-2j} \right] < (q - \epsilon)^{t-1} t! 2^t q^{\frac{n^2}{2t}-\frac{t}{2}}$$

and thus (39) is sufficient if $t \geq 3$. If $t = 2$ then

$$|x^G \cap H| \leq (q - \epsilon) \left(\frac{|\mathrm{PGL}_{n/2}^\epsilon(q)|}{|\mathrm{Sp}_{n/2}(q)|} \right)^2 + |\mathrm{PGL}_{n/2}^\epsilon(q)| < q^{\frac{1}{4}n^2-\frac{1}{2}n-2} \left(4(q - \epsilon) + q^{\frac{1}{2}n+1} \right)$$

and if we assume $n \geq 8$ then (39) is sufficient unless $(n, q, \epsilon) = (8, 2, -)$, where a direct calculation yields $f(x, H) < .439$. Finally, if $(n, t) = (4, 2)$ then $q > 2$ (see Table 2.1) and the bounds $|x^G \cap H| \leq (q - \epsilon) + |\mathrm{PGL}_2(q)|$ and $|x^G| \geq (2, q - \epsilon)^{-1} q^2 (q^3 - \epsilon)$ (see [9, 4.5.6, 4.8.2]) are always sufficient.

Now assume $C_{G_0}(x)$ is non-symplectic. If $n = t$ then

$$|x^G \cap H| \leq (q - \epsilon)^{-1} \sum_{j=0}^{\alpha} \left[|\rho_j^{S_t}| (q - \epsilon)^{t-j} \right] \leq (q - \epsilon)^{t-1} (i_2(S_t) + 1) \leq t! (q - \epsilon)^{t-1},$$

where $\alpha = t/2 - 1$ if $(t, p) = 2$, otherwise $\alpha = \lfloor t/2 \rfloor$. Now if $\epsilon = +$ then (39) is sufficient unless $t = 3$ and $q < 5$; if $\epsilon = -$ then the same bounds are sufficient if $t \geq 14$ or if $q \geq 8$. For the cases which remain, the desired result can be obtained by applying (39) with the more accurate upper bound $|x^G \cap H| \leq (q - \epsilon)^{t-1} (i_2(S_t) + 1)$. Now assume $n \geq 2t$. If $p = 2$ and n/t is even then (II) implies that

$$|x^G \cap H| \leq (q - \epsilon)^{-1} \sum_{j=0}^{\alpha} \left[|\rho_j^{S_t}| |\mathrm{GL}_{n/t}^\epsilon(q)|^j g(j) \right],$$

where

$$g(j) = \sum_{k=1}^{t-2j} \left[\binom{t-2j}{k} \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{Sp}_{n/t-2}(q)| q^{n/t-1}} \right)^k \left(\frac{|\mathrm{GL}_{n/t}^\epsilon(q)|}{|\mathrm{Sp}_{n/t}(q)|} \right)^{t-2j-k} \right]$$

and α is defined as before. Therefore

$$|x^G \cap H| < (q - \epsilon)^{t-1} t! 2^t q^{\frac{n^2}{2t} + \frac{n}{2} - t}$$

and it is easy to see that this bound also holds if $\mathrm{hcf}(n/t, p, 2) = 1$. If we assume $t \geq 3$ then the desired result follows via (39). Next assume $t = 2$ and $n \geq 6$. If p is odd then

$$|x^G \cap H| \leq (q - \epsilon) \left(\frac{|\mathrm{PGL}_{n/2}^\epsilon(q)|}{|\mathrm{SO}_{n/2}^\epsilon(q)|} \right)^2 + |\mathrm{PGL}_{n/2}^\epsilon(q)| < q^{\frac{1}{4}n^2-1} \left(4(q - \epsilon) q^{\frac{1}{2}n-1} + 1 \right)$$

and (39) is sufficient unless $(n, q, \epsilon) = (6, 3, -)$, where direct calculation yields $f(x, H) < .586$. Similarly, if $p = 2$ then

$$|x^G \cap H| < 4(q - \epsilon)q^{\frac{1}{4}n^2 - 2}(q^{\frac{1}{2}n} + 2\beta),$$

where $\beta = 1$ if $n/2$ is even, otherwise $\beta = 0$. Applying (39), we see that we are left to deal with a handful of cases which are easily dealt with by deriving more accurate bounds. Finally, let us assume $(n, t) = (4, 2)$. If $p \neq 2$ then

$$|x^G \cap H| \leq (q - \epsilon) \left(\frac{|\mathrm{PGL}_2(q)|}{|\mathrm{PGO}_2^+(q)|} + \frac{|\mathrm{PGL}_2(q)|}{|\mathrm{PGO}_2^-(q)|} \right)^2 + |\mathrm{PGL}_2(q)| = q^4(q - \epsilon) + q(q^2 - 1)$$

and the desired result follows since

$$|x^G| \geq \frac{|\mathrm{PSL}_4^\epsilon(q)|}{|\mathrm{SO}_4^-(q)|} = (4, q - \epsilon)^{-1} q^4(q^2 - 1)(q^3 - \epsilon).$$

Similarly, if $p = 2$ then $|x^G \cap H| \leq (q^4 - 1)(q - \epsilon)$, $|x^G| \geq q^2(q^3 - \epsilon)(q^4 - 1)$ and again the result follows. \square

2.3 Proof of Theorem 1.1: Case (ii) of Table 2.1

Let σ be a Frobenius morphism of $\bar{G} = \mathrm{PSp}_n(K)$ such that \bar{G}_σ has socle $G_0 = \mathrm{PSp}_n(q)$. Here $\iota = 1/n$ when $t = 2$ and so we may assume $n \geq 8$ if $t = 2$. Observe that

$$H \cap \mathrm{PGL}(V) \leq \left(\left((2, q - 1)^{t-1} \cdot \mathrm{PSp}_{\frac{n}{t}}(q)^t \right) \cdot (2, q - 1) \right) \cdot S_t = B \cdot S_t,$$

where B is the image of $\mathrm{GSp}_{n/t}(q)^t$ in $\mathrm{PGSp}_n(q) = \bar{G}_\sigma$. If q is odd then $B = \tilde{B} \cdot \langle \delta \rangle$, where \tilde{B} is the image of $\mathrm{Sp}_{n/t}(q)^t$ in $\mathrm{PSp}_n(q)$ and δ is an involutory diagonal automorphism of $\mathrm{PSp}_n(q)$.

Let $x \in H \cap \mathrm{PGL}(V)$ be an element of prime order r and suppose $y \in B\rho$ is G -conjugate to x , where $\rho \in S_t$ has cycle-shape $(r^k, 1^{t-kr})$ for some $k \geq 0$. Assume y fixes each subspace V_j with $j > kr$ in the decomposition $V = V_1 \oplus \cdots \oplus V_t$. If r is odd then [4, 3.11] implies that y lifts to a unique element $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t)\pi \in \mathrm{Sp}_n(q)$ of order r . Furthermore, the proof of [10, 4.5] implies that \hat{y} is \hat{B} -conjugate to $(I_{n/t}, \dots, I_{n/t}, \hat{y}_{kr+1}, \dots, \hat{y}_t)\pi$, where $\hat{B} = \mathrm{Sp}_{n/t}(q)^t$ and $\hat{y}_j \in \mathrm{Sp}_{n/t}(q)$ satisfies $\hat{y}_j^r = I_{n/t}$ for all $j > kr$. Therefore

$$|y^B| \leq |\hat{y}^{\hat{B}}| = |\mathrm{Sp}_{n/t}(q)|^{k(r-1)} \prod_{j>kr} |\hat{y}_j^{\mathrm{Sp}_{n/t}(q)}| \quad (40)$$

and it is easy to see that the same bound holds if $r = 2$ and $C_{\bar{G}}(x)$ is connected. We also note that if $p = 2$ then each involution $\rho \in S_t$ with cycle-shape $(2^k, 1^{t-2k})$ is G -conjugate to $a_{nk/t}$, where we label representatives of G -classes of involutions as in [2]. Throughout, we define the integer h as in (17).

The case $x \in H - \mathrm{PGL}(V)$ is straightforward. Here $x \in G$ is a field automorphism of prime order r , so $q = q_0^r$ and [4, 3.48] states that $|x^G| > \frac{1}{4}q^{(n^2+n)(1-1/r)/2}$. Furthermore, we have

$$|x^G \cap H| \leq \sum_{j=0}^{\lfloor t/r \rfloor} \left[|\rho_j^{S_t}| |\mathrm{Sp}_{n/t}(q)|^{j(r-1)} \left(\frac{|\mathrm{Sp}_{n/t}(q)|}{|\mathrm{Sp}_{n/t}(q^{1/r})|} \right)^{t-jr} \right] < 2^t t! q^{\frac{1}{2} \left(\frac{n^2}{t} + n \right) (1 - \frac{1}{r})},$$

where $\rho_j \in S_t$ has cycle-shape $(r^j, 1^{t-jr})$, and these bounds are sufficient unless $(n, t, r, q) = (6, 3, 2, 4)$, where direct calculation yields $f(x, H) < .535$.

Proposition 2.8. *The conclusion to Theorem 1.1 holds in case (ii) of Table 2.1 for semisimple elements of prime order in $H \cap \mathrm{PGL}(V)$.*

Proof. Let $x \in H \cap \mathrm{PGL}(V)$ be a semisimple element of prime order $r \neq p$. We prove the proposition in two parts, starting with the case $x^G \cap H \subseteq B$.

Case 1. $x^G \cap H \subseteq B$

Let us start by assuming $r > 2$. Let $i \geq 1$ be minimal such that $r | (q^i - 1)$ and let $\mu = (l, a_1, \dots, a_k)$ denote the associated σ -tuple of x , where $k = (r - 1)/i$ (see [4, 3.27]). Let d denote the number of non-zero terms a_j in μ and note that d is even if i is odd. From the proof of Proposition 2.1 we have

$$\dim x^{\bar{B}} \leq \frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(n - l) \left(1 - \frac{1}{t}\right)$$

and [4, 3.30] implies that

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{d(2-e)} q^{\dim x^{\bar{G}}}, \quad (41)$$

where $e = 2$ if i is odd, otherwise $e = 1$. Appealing to (40) and arguing as in the proof of Proposition 2.5 (see (8)) we deduce that

$$|x^G \cap H| < \log_2 q \cdot \left(\frac{n-l}{di} + 1 \right)^{\frac{d}{e}(t-1)} 2^{\frac{1}{2}(e-1)dt} q^{\frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(n-l)(1-\frac{1}{t})}.$$

Now $n \geq \max(l + di, eti)$ and [4, 3.33] gives a lower bound for $\dim x^{\bar{G}}$. If $i \geq 3$ then one can check that these bounds are always sufficient; if $i < 3$ then we are left to deal with a handful of cases and the desired result is easily obtained by computing more accurate bounds.

Now assume $r = 2$. Write $s = \nu(x)$ and observe that the hypothesis $x^G \cap H \subseteq B$ implies that $s < n/t$. In particular, s is even and x lifts to an involution $\hat{x} = (\hat{x}_1, \dots, \hat{x}_t) \in \hat{B}$. If $\nu(\hat{x}_i) = s_i$ (with respect to the natural $\mathrm{Sp}_{n/t}(q)$ -module) then

$$\dim x^{\bar{B}} = \sum_{i=1}^t s_i \left(\frac{n}{t} - s_i \right) = \frac{ns}{t} - \sum_{i=1}^t s_i^2 \leq \frac{1}{t} s(n-s) = \frac{1}{t} \dim x^{\bar{G}}$$

and thus

$$|x^G \cap H| < \binom{t + s/2 - 1}{s/2} 2^t q^{\frac{1}{t}s(n-s)}, \quad |x^G| > \frac{1}{2} q^{s(n-s)}.$$

It is easy to check that these bounds are sufficient for all $t \geq 3$. Finally, if $t = 2$ then

$$\begin{aligned} |x^G \cap H| &\leq \sum_{j=0}^{s/2} \left[\frac{|\mathrm{Sp}_{n/2}(q)|}{|\mathrm{Sp}_{2j}(q)||\mathrm{Sp}_{n/2-2j}(q)|} \cdot \frac{|\mathrm{Sp}_{n/2}(q)|}{|\mathrm{Sp}_{s-2j}(q)||\mathrm{Sp}_{n/2-s+2j}(q)|} \right] \\ &< 4 \sum_{j=0}^{s/2} q^{\frac{1}{2}(ns+8sj-2s^2-16j^2)} < 4 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2}s(n-s)} \end{aligned}$$

and the bound $|x^G| > \frac{1}{2} q^{s(n-s)}$ is always sufficient.

Case 2. $x^G \cap (H - B) \neq \emptyset$

Write $x = b\pi$, where $b \in B$ and $h(\pi) = h > 0$. If $C_{\bar{G}}(x)$ is connected then x lifts to an element $\hat{x} = (\hat{x}_1, \dots, \hat{x}_t)\pi \in \mathrm{Sp}_n(q)$ of order r which is \hat{B} -conjugate to $(I_{n/t}, \dots, I_{n/t}, \hat{x}_{hr+1}, \dots, \hat{x}_t)\pi$ and it is easy to see that $\dim x^{\bar{G}}$ is minimal if $\hat{x}_j = I_{n/t}$ for each j , i.e.

$$\dim x^{\bar{G}} \geq \dim \pi^{\bar{G}} = \begin{cases} \frac{nh}{t} \left(n - \frac{nh}{t} \right) & \text{if } r = 2 \\ \frac{nh}{2t} (r-1)(2n+1-nhr/t) & \text{otherwise.} \end{cases} \quad (42)$$

Case 2.1. $r > 2$

Let $i \geq 1$ be minimal such that $r|(q^i - 1)$ and define e as in Case 1. Then [4, 3.30] gives

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(2-e)(r-1)} q^{\dim x^{\bar{G}}} \quad (43)$$

and we claim that

$$|x^G \cap H| < \log_2 q \cdot 2 \left(\frac{t^r}{r} \right)^h 2^{\frac{1}{2}(e-1)(r-1)t} \left(\frac{1}{2}(r+1) \right)^{\frac{n}{2}} q^{\left(\frac{1}{t} + \frac{2}{n+2}\right) \dim x^{\bar{G}}}. \quad (44)$$

If $y \in x^G \cap H$ then using (40) and the proof of Proposition 2.1 we deduce that

$$|y^B| < 2^{\frac{1}{2}(e-1)(r-1)t} q^{\left(\frac{1}{t} + \frac{2}{n+2}\right) \dim x^{\bar{G}}}.$$

The claim now follows because the number of distinct B -classes in $x^G \cap H$ is at most

$$\log_2 q \cdot \sum_{k=0}^h \left[\frac{t!}{k!(t-kr)!r^k} \left(\frac{r-1}{ei} + 1 \right)^{\frac{1}{ei}(n - \frac{nrk}{t})} \right] < \log_2 q \cdot 2 \left(\frac{t^r}{r} \right)^h \left(\frac{1}{2}(r+1) \right)^{\frac{n}{2}}$$

(see (7) and (22) for example). The reader can check that the bounds (42), (43) and (44) are sufficient with the exception of a small number of cases when $h = 1$ and $r \in \{3, 5\}$. Here the desired result quickly follows through direct calculation.

Case 2.2. $r = 2$

We begin by assuming $C_{\bar{G}}(x)$ is connected, so $t > 2h$ since $\nu(x) < n/2$. Appealing to the proof of Proposition 2.1 we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^h 2^{\frac{n}{2}+t} q^{\left(\frac{1}{t} + \frac{1}{n}\right) \dim x^{\bar{G}}}$$

(see (44)) where $\dim x^{\bar{G}} \geq (nh/t)(n - nh/t)$. Now $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$ and the reader can check that these bounds are always sufficient if $n > 2t$ and $h \geq 2$; if $h = 1$ and $n > 2t$ then we are left to deal with the case $(n, t) = (12, 3)$ for $q \in \{3, 5\}$, where direct calculation yields $f(x, H) < .343$. If $n = 2t$ then the maximality of h implies that $\nu(x) = 2h$ and the result follows since $|x^G| > \frac{1}{2}q^{4h(t-h)}$ and

$$|x^G \cap H| \leq \sum_{k=0}^h \left[\frac{t!}{k!(t-2k)!2^k} \binom{t-2k}{h-k} |\mathrm{Sp}_2(q)|^k \right] < t^{2h} q^h (q^2 - 1)^h.$$

Now assume $C_{\bar{G}}(x)$ is non-connected. There are four cases to consider. If $C_G(x)$ is of type $\mathrm{Sp}_{n/2}(q)^2$ then $|x^G| > \frac{1}{4}q^{n^2/4}$ and our earlier arguments apply since each $y \in x^G \cap B$ lifts to an involution in \hat{B} . We leave the details to the reader. Next assume $C_G(x)$ is of type $\mathrm{Sp}_{n/2}(q^2)$. If $n/2t$ is odd then t is even and the intersection $x^G \cap B\rho$ is non-empty if and only if $\rho \in S_t$ has cycle-shape $(2^{t/2})$. Therefore

$$|x^G \cap H| \leq \frac{t!}{(t/2)!2^{t/2}} \frac{1}{2} |\mathrm{Sp}_{n/t}(q)|^{\frac{t}{2}} < \frac{t!}{(t/2)!2^{t/2+1}} q^{\frac{n^2}{4t} + \frac{n}{4}}$$

and the desired result follows since $|x^G| > \frac{1}{4}q^{n^2/4}$. Alternatively, if $n/2t$ is even then

$$|x^G \cap H| \leq \sum_{k=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{k!(t-2k)!2^k} |\mathrm{Sp}_{n/t}(q)|^k \left(\frac{|\mathrm{Sp}_{n/t}(q)|}{|\mathrm{Sp}_{n/2t}(q^2)|} \right)^{t-2k} \right] < 2 \left(\frac{t^2}{2} \right)^{\lfloor \frac{t}{2} \rfloor} q^{\frac{n^2}{4t} + \frac{n}{4}}$$

and one can check that the bound $|x^G| > \frac{1}{4}q^{n^2/4}$ is sufficient unless $(n, t, q) = (8, 2, 3)$, where direct calculation yields $f(x, H) < .618$. Finally, if $C_G(x)$ is of type $\text{GL}_{n/2}^\epsilon(q)$ then

$$|x^G \cap H| \leq \sum_{k=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{k!(t-2k)!2^k} |\text{Sp}_{n/t}(q)|^k \left(\frac{|\text{Sp}_{n/t}(q)|}{|\text{GL}_{n/2t}^\epsilon(q)|} \right)^{t-2k} \right] < 2 \left(\frac{t^2}{2} \right)^{\lfloor \frac{t}{2} \rfloor} 2^t q^{\frac{n^2}{4t} + \frac{n}{2}},$$

$$|x^G| = \frac{|\text{Sp}_n(q)|}{|\text{GL}_{n/2}^\epsilon(q)|2} > \frac{1}{4} \left(\frac{q}{q+1} \right) q^{\frac{1}{4}n(n+2)}$$

and we are left to deal with a handful of cases which are easily settled. For example, if $t = 3$ then the above bounds are sufficient unless $n = 6$, where we calculate that $f(x, H) < .609$ since

$$|x^G \cap H| \leq \left(\frac{|\text{Sp}_2(q)|}{|\text{GL}_1^\epsilon(q)|} \right)^3 + 3|\text{Sp}_2(q)| \frac{|\text{Sp}_2(q)|}{|\text{GL}_1^\epsilon(q)|}, \quad |x^G| = \frac{|\text{Sp}_6(q)|}{|\text{GL}_3^\epsilon(q)|2}.$$

□

Proposition 2.9. *The conclusion to Theorem 1.1 holds in case (ii) of Table 2.1 for unipotent elements of prime order in $H \cap \text{PGL}(V)$.*

Proof. Let $x \in H \cap \text{PGL}(V)$ be a unipotent element of order p , with associated partition $\lambda \vdash n$. Note that any odd parts in λ must occur with an even multiplicity (see [4, §3.3]).

Case 1. $x^G \cap H \subseteq B$, $p > 2$

According to the proof of Proposition 2.1 we have

$$\dim x^{\bar{B}} \leq \frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(n - e) \left(1 - \frac{1}{t} \right), \quad (45)$$

where e is the number of odd parts in λ . If $\lambda = (k^{n/k})$, for some $k \geq 2$, then the hypothesis $x^G \cap H \subseteq B$ implies that $k \leq n/t$ and applying (40) and (45) we deduce that

$$|x^G \cap H| < 2^t q^{\frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(1 - \frac{1}{t})n}, \quad |x^G| > \frac{1}{4}(q+1)^{-1} q^{\dim x^{\bar{G}} + 1},$$

where $\dim x^{\bar{G}} \geq \frac{1}{4}n(n+2)$. These bounds are sufficient unless $(n, t) = (6, 3)$, where direct calculation yields $f(x, H) < .501$. Next assume $\lambda = (2^j, 1^{n-2j})$ for some $1 \leq j < n/2$, so $\dim x^{\bar{G}} = j(n-j+1)$. If $j = 1$ then the desired result follows from the bounds $|x^G \cap H| < t \cdot q^{n/t}$ and $|x^G| > \frac{1}{4}q^n$. Now assume $j \geq 2$. If $n = 2t$ then $t \geq 3$ and the bounds $|x^G \cap H| < \binom{t}{j} q^{2j}$ and $|x^G| > \frac{1}{4}(q+1)^{-1} q^{j(2t-j+1)+1}$ are always sufficient so assume for the remainder that $n \geq 4t$. If $j = 2$ then $|x^G \cap H| < \binom{t}{2} q^{2n/t} + 2t q^{2n/t-2}$, $|x^G| > \frac{1}{4}(q+1)^{-1} q^{2n-1}$ and the result follows. If $j \geq 3$ then the bounds

$$|x^G \cap H| < \binom{t+j-1}{j} 2^t q^{\frac{1}{t} \dim x^{\bar{G}} + j(1 - \frac{1}{t})}, \quad |x^G| > \frac{1}{4} q^{\dim x^{\bar{G}}}$$

are sufficient unless $(n, t, j, q) = (8, 2, 3, 3)$, where more accurate bounds yield $f(x, H) < .423$.

Now assume $\lambda = (m^{a_m}, \dots, 2^{a_2}, 1^l) \vdash n$, where $m = n/t \geq 2$, and let $d \geq 1$ denote the number of non-zero terms a_j . (Note that the prime order hypothesis implies that $m \leq p$.) The case $d = 1$ is straightforward so let us assume $d \geq 2$. Then arguing as in the proof of Proposition 2.6 (see (34)) we deduce that

$$\dim x^{\bar{G}} \geq \frac{1}{4}n^2 + \frac{1}{4}(d^2 - d + 2)n - \frac{1}{16}d^4 - \frac{1}{24}d^3 + \frac{3}{16}d^2 - \frac{1}{3}d - \frac{1}{4}l^2 - \frac{1}{2}l$$

and $n \geq \max(t(4d+2)/3, l+2d+2d^2/3-2/3)$ since odd parts in λ must occur with an even multiplicity. Furthermore, using (45) we deduce that

$$|x^G \cap H| < 2^{td} \left(\frac{n/2 - d^2/4 + d/4 - l/2 - 1}{d} + 1 \right)^{d(t-1)} q^{\frac{1}{t} \dim x^{\bar{G}} + \frac{1}{2}(n-l)(1-\frac{1}{t})}$$

(see (35)). Now [4, 3.18] implies that

$$|x^G| > \left(\frac{1}{2} \right)^{d+1} \left(\frac{q}{q+1} \right)^d q^{\dim x^{\bar{G}}}$$

and one can check that these bounds are sufficient with the exception of a small number of cases when $(t, q) = (2, 3)$. These remaining cases are easily dealt with by computing more accurate bounds. For instance, if $(n, l) = (12, 0)$ then $\lambda = (3^2, 2^3)$ and we deduce that $f(x, H) < .547$ since $|x^G \cap H| < 2.3^{26}$ and $|x^G| > \frac{1}{4}3^{50}$.

Case 2. $x^G \cap H \subseteq B$, $p = 2$

Let us begin by assuming x is G -conjugate to a_l for some even integer l . Then the hypothesis $x^G \cap H \subseteq B$ implies that $l < n/t$ since every element of order two in S_t is an a -type involution. If $(,)$ is a non-degenerate G -invariant symmetric bilinear form on V then $(vx, v) = 0$ for all $v \in V$ and thus if $y = (y_1, \dots, y_t) \in x^G \cap B$ then each non-trivial y_i must be an a -type involution, hence $n \geq 4t$. Now, if $l = 2$ then the bounds $|x^G \cap H| < 2tq^{2n/t-4}$ and $|x^G| > \frac{1}{2}q^{2n-4}$ are always sufficient. If $l \geq 4$ then using Proposition 2.1 we deduce that

$$|x^G \cap H| < \binom{t+l/2-1}{l/2} 2^t q^{\frac{1}{t}l(n-l)}, \quad |x^G| > \frac{1}{2}q^{l(n-l)}$$

and the reader can check that these bounds are always sufficient.

Assume for the remainder that x is G -conjugate to either b_l or c_l , the precise type depending on the parity of l . Then the hypothesis $x^G \cap H \subseteq B$ implies that $l \leq n/t$ and we note that if $y = (y_1, \dots, y_t) \in x^G \cap B$ then at least one y_j is a b - or c -type involution. Now, if $n = 2t$ then each non-trivial y_i must be $\text{Sp}_2(q)$ -conjugate to b_1 and the subsequent bounds $|x^G \cap H| < \binom{t}{l}q^{2l}$ and $|x^G| > \frac{1}{2}q^{l(2t-l+1)}$ are always sufficient. Assume for the remainder that $n \geq 4t$. If $l = 1$ then $|x^G \cap H| < tq^{n/t}$, $|x^G| > \frac{1}{2}q^n$ and the result follows. Similarly, if $l = 2$ then the bounds $|x^G \cap H| < \binom{t}{2}q^{2n/t} + 2tq^{2n/t-2}$ and $|x^G| > \frac{1}{2}q^{2n-2}$ are always sufficient. Now assume $l \geq 3$. Using the proof of Proposition 2.1 we deduce that

$$|x^G \cap H| < \binom{t+l-1}{l} 2^{2t} q^{\frac{1}{t} \dim x^{\bar{G}} + (1-\frac{1}{t})l}, \quad |x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}},$$

where $\dim x^{\bar{G}} = l(n-l+1)$. (Note that the number of B -classes in $x^G \cap B$ is determined by the number of ways l J_2 -blocks can be distributed among the t direct factors, together with one of two choices (either a - or c -type) for each factor which is assigned an even number of J_2 -blocks. This number is at most $\binom{t+l-1}{l}2^t$.) If we assume $t \geq 3$ then these bounds are sufficient with the exception of a handful of cases with which we can calculate directly. For $t = 2$ we require more accurate bounds. Let N_1 (resp. N_2) denote the number of elements $(y_1, y_2) \in x^G \cap B$ such that one (resp. neither) of the y_i is an a -type involution. Then $|x^G \cap H| = N_1 + N_2$ and we claim that

$$N_1 < 2^3 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2} \dim x^{\bar{G}}}, \quad N_2 < 2^2 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2}(\dim x^{\bar{G}}+l)}. \quad (46)$$

First consider N_1 . For all possible even integers $j \geq 0$, choose $x_j = (y_1, y_2) \in x^G \cap B$ such that y_1 is $\text{Sp}_{n/2}(q)$ -conjugate to a_j (set $a_0 = I_{n/2}$). Then using [4, 3.22] we calculate that

$$N_1 = 2 \sum_j |x_j^B| < 2^3 \sum_j q^{j(n/2-j)+(l-j)(n/2-l+j+1)} = 2^3 \sum_j q^{f(j)}.$$

Evidently $\max_{j \in \mathbb{Z}} f(j) \leq f(l/2) = \frac{1}{2} \dim x^{\bar{G}}$ and the claim for N_1 now follows since $f(j)$ is even and $|f(j+1) - f(j)| \geq 2$ for all j . Similar reasoning justifies the upper bound for N_2 . Now $|x^G| > \frac{1}{2} q^{\dim x^{\bar{G}}}$ and if we assume $l \geq 3$ then the reader can check that the upper bound on $|x^G \cap H|$ derived from (46) is always sufficient if $q \geq 4$. Similarly, if $q = 2$ and $l \geq 4$ then it remains to deal with the case $(n, l) = (8, 4)$, where direct calculation gives $f(x, H) < .590$. Finally, if $(l, q) = (3, 2)$ then using the proof of [4, 3.22] we calculate that

$$|x^G \cap H| \leq 2|b_1^{\text{Sp}_{n/2}(2)}| \left(|a_2^{\text{Sp}_{n/2}(2)}| + |c_2^{\text{Sp}_{n/2}(2)}| \right) = \frac{8}{3} (2^{\frac{n}{2}-2} - 1) (2^{\frac{n}{2}} - 1)^2,$$

$$|x^G| \geq \frac{4}{3} (2^{n-4} - 1) (2^{n-2} - 1) (2^n - 1)$$

and the reader can check that these bounds are always sufficient.

Case 3. $x^G \cap (H - B) \neq \emptyset$

Define $h > 0$ as in (17) and fix $\pi \in S_t$ such that $h(\pi) = h$. It is easy to see that (42) holds (on substituting $r = p$). First assume $p > 2$. Here $|x^G|$ is minimal if $\lambda = (p^{nh/t}, 1^{n-nhp/t}) \vdash n$ and thus

$$|x^G| > \frac{1}{2} q^{\frac{nh}{2t}(p-1)(2n+1-nhp/t)}. \quad (47)$$

If $n = 2t$ then the maximality of h implies that $\lambda = (p^{2h}, 1^{n-2hp})$, so

$$|x^G \cap H| = |x^G \cap (H - B)| \leq \frac{t!}{h!(t-hp)!p^h} (q(q^2 - 1))^{h(p-1)}$$

and the result follows via (47). Now assume $n \geq 4t$. Using Proposition 2.1 and arguing as in the proof of Proposition 2.6 (see (36)) we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^p}{p} \right)^h p^{n+\frac{n}{t}h(1-p)} 2^{pt} q^{\left(\frac{1}{t}+\frac{2}{n+2}\right) \dim x^{\bar{G}}}.$$

Applying the lower bound on $\dim x^{\bar{G}}$ given in (42), we calculate that this bound with (47) is sufficient unless $(h, p) = (1, 3)$ and (n, t) is one of a handful of cases. As usual, these exceptional cases are easily settled through direct calculation.

Next assume $p = 2$ and x is an a -type involution, i.e. x is G -conjugate to $a_{nh/t+j}$, where $0 \leq j < n/t$ is even. If $t = 2$ then $(h, j) = (1, 0)$ and

$$|x^G \cap (H - B)| \leq |\text{Sp}_{n/2}(q)| < q^{\frac{1}{8}n(n+2)}, \quad |x^G| > \frac{1}{2} q^{\frac{1}{4}n^2}.$$

Clearly, either $x^G \cap B$ is empty or $n \equiv 0 \pmod{8}$ and $|x^G \cap B| < 4q^{n^2/8}$ since each $y \in x^G \cap B$ must act on both V_1 and V_2 as an $a_{n/4}$ involution. We leave the reader to check that these bounds are always sufficient. Now assume $t \geq 3$. Evidently, each B -class in $x^G \cap B\pi$ is determined by a choice of elements x_{2h+1}, \dots, x_t in $\text{Sp}_{n/t}(q)$ (up to conjugacy) such that each non-trivial x_k is conjugate to a_{l_k} for some even integer l_k and $\sum_k l_k = j$. If $n = 2t$ then $q \geq 4$ (see Table 2.1), $j = 0$ and the result follows since

$$|x^G \cap H| = |x^G \cap (H - B)| < \left(\frac{t!}{h!(t-2h)!2^h} \right) q^{3h}, \quad |x^G| > \frac{1}{2} q^{4h(t-h)}.$$

Now assume $n \geq 4t$. Then [4, 3.22] gives $|x^G| > \frac{1}{2} q^{\dim x^{\bar{G}}}$ and using the proof of Proposition 2.1 we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^h 2^{\frac{n}{4}+t} q^{\left(\frac{1}{t}+\frac{1}{n}\right) \dim x^{\bar{G}}}.$$

(Note that if $x^G \cap B\rho$ is non-empty then the number of B -classes in $x^G \cap B\rho$ is at most $2^{n/4}$.) Applying (42) (with $r = p = 2$) we find that the above bounds are always sufficient if $h \geq 2$.

If $h = 1$ then $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$, where $\dim x^{\bar{G}} = (n/t + j)(n - n/t - j)$, and the desired result follows since the proof of Proposition 2.1 implies that

$$|x^G \cap B| < \binom{t + n/2t + j/2 - 1}{n/2t + j/2} 2^t q^{\frac{1}{t} \dim x^{\bar{G}}}$$

and

$$|x^G \cap (H - B)| < \binom{t}{2} \binom{t - 3 + j/2}{j/2} 2^{t-2} q^{(\frac{1}{t} + \frac{1}{n}) \dim x^{\bar{G}}}.$$

Finally, let us assume $p = 2$ and x is a b - or c -type involution. In this case, x is conjugate to b_l or c_l , where $l = nh/t + j$ and $1 \leq j \leq n/t$. In particular, we note that $t > 2h$. If $n = 2t$ then

$$|x^G \cap H| < \sum_{k=0}^h \left[|\rho_k^{S_t}| \binom{t - 2k}{2h + j - 2k} q^{4h+2j-k} \right] < \left(\binom{t}{2h + j} + \frac{t!}{(t - 2h - j)!j!} \right) q^{4h+2j},$$

where $\rho_k \in S_t$ has cycle-shape $(2^k, 1^{t-2k})$, and the result follows since $|x^G| > \frac{1}{2}q^{(2h+j)(2t-2h-j+1)}$. Now assume $n \geq 4t$. Arguing as before we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^h 2^{\frac{n}{2} + t} 2^t q^{(\frac{1}{t} + \frac{1}{n}) \dim x^{\bar{G}}}, \quad |x^G| > \frac{1}{2} q^{\dim x^{\bar{G}}},$$

where $\dim x^{\bar{G}} \geq (nh/t + 1)(n - nh/t)$, and the result follows if $h \geq 2$. If $h = 1$ then

$$|x^G \cap B| < 2^t \binom{t + n/t + j - 1}{n/t + j} 2^t q^{(\frac{1}{t} + \frac{2}{n+2}) \dim x^{\bar{G}}}$$

and

$$|x^G \cap (H - B)| < 2^{t-2} \binom{t}{2} \binom{t - 3 + j}{j} 2^{t-2} q^{(\frac{1}{t} + \frac{2}{n+2}) \dim x^{\bar{G}}},$$

where $\dim x^{\bar{G}} \geq (nh/t + j)(n - nh/t - j + 1)$. Now $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$ and we find that these bounds are sufficient with the exception of a handful of cases (n, t, j) with which we can calculate directly. For example, if $(n, t, j) = (12, 3, 2)$ then x is G -conjugate to c_6 , so $|x^G| > \frac{1}{2}q^{42}$. If $y = (y_1, y_2, y_3) \in x^G \cap B$ then at least one y_i is $\text{Sp}_4(q)$ -conjugate to c_2 and [4, 3.22] implies that $|x^G \cap B| < 2^3(q^{18} + 3q^{16} + 3q^{14})$. If $\pi = (12) \in S_3$ and $z \in B\pi$ then z is B -conjugate to $[I_4, I_4, c_2]\pi$ and $|x^G \cap (H - B)| < 3.2q^{16}$. We conclude that $f(x, H) < .539$ for all $q \geq 2$. \square

2.4 Proof of Theorem 1.1: Cases (iii), (iv) and (v) of Table 2.1

Fix a Frobenius morphism σ of $\bar{G} = \text{PSO}_n(K)$ such that \bar{G}_σ has socle $\text{P}\Omega_n^\epsilon(q)$. Let (Δ) denote the hypothesis “ $(n, \epsilon) = (8, +)$ and G contains triality automorphisms”, and note that if (Δ) holds then we may assume that H is of type $\text{O}_4^+(q) \wr S_2$ or $\text{O}_2'(q) \wr S_4$ (see [4, 3.3]).

Proposition 2.10. *The conclusion to Theorem 1.1 holds in case (iii) of Table 2.1.*

Proof. Here $q = p \geq 3$, $n \geq 7$ and $H \leq 2^{n-1}.S_n = B.S_n \leq \text{PGL}(V)$. Let $x \in H$ be an element of prime order r and note that $x^G \cap (H - B)$ is non-empty. If r is odd then $x^G \cap B\pi$ is non-empty if and only if $\pi \in S_t$ has cycle-shape $(r^h, 1^{n-hr})$ for a uniquely determined integer $h \geq 1$. Therefore

$$|x^G \cap H| = |x^G \cap (H - B)| \leq \left(\frac{n!}{h!(n - hr)!r^h} \right) 2^{h(r-1)}$$

and

$$|x^G| > \begin{cases} \frac{1}{2} \left(\frac{q}{q+1} \right)^{\frac{1}{2}(r-1)} q^{\dim x^{\bar{G}}} & \text{if } r \neq p \\ \frac{1}{8} \left(\frac{q}{q+1} \right)^2 q^{\dim x^{\bar{G}}} & \text{if } r = p, \end{cases}$$

where $\dim x^{\bar{G}} = \frac{1}{2}(r-1)(2nh - h^2r - h)$. These bounds are sufficient unless $(n, r, q) = (7, 3, 3)$, where direct calculation yields $f(x, H) < .590$.

Now assume $r = 2$. Define $h \geq 1$ as in (17) and observe that the maximality of h implies that $\nu(x) = h$. If $n = 2h$ and $C_G(x)$ is of type $\text{GL}_{n/2}^\epsilon(q)$ then the result follows since

$$|x^G \cap H| = |x^G \cap (H - B)| \leq \frac{n!}{(n/2)!}, \quad |x^G| > \frac{1}{4}(q+1)^{-1}q^{\frac{1}{4}n(n-2)+1}.$$

For the remainder, we may assume that x lifts to an involution in $\text{O}_1(q) \wr S_n$ and thus

$$|x^G \cap H| \leq \sum_{j=0}^h \left[\frac{n!}{j!(n-2j)!2^j} |\text{O}_1(q)|^j \binom{n-2j}{h-j} \right] \leq \frac{n!}{(n-2h)!}. \quad (48)$$

If $n = 2h$ then $|x^G| > \frac{1}{8}q^{n^2/4}$ and we are left to deal with the case $(n, q) = (8, 3)$. Here (48) gives $|x^G \cap H| \leq 14630$ and we conclude that $f(x, H) < .619 < 5/8$ since $|x^G| > \frac{1}{8}3^{16}$. On the other hand, if $n > 2h$ then $|x^G| > \frac{1}{4}(q+1)^{-1}q^{h(n-h)+1}$ and if $h \geq 2$ then (48) is sufficient with the exception of a handful of cases which we can deal with by computing more accurate bounds. For instance, if $(n, h, q) = (7, 2, 3)$ then $H \cap G_0 \cong 2^6.A_7$ (see [9, 4.2.15]) and therefore $f(x, H) < .609$ if $x \in G_0$ since

$$|x^G \cap H| \leq \binom{7}{2} + \frac{7!}{2!3!} = 441, \quad |x^G| = |\text{O}_7(3) : \text{O}_5(3)\text{O}_2^-(3)| = 22113.$$

Similarly, we calculate that $f(x, H) < .500$ if $x \notin G_0$. Finally, if $h = 1$ then $|x^G| > \frac{1}{4}q^{n-1}$, $|x^G \cap H| \leq n + \binom{n}{2}|\text{O}_1(q)| = n^2$ and we are left to deal with the cases $(n, q) = (8, 3)$ and $(7, 3)$. These cases are easily settled through direct calculation. \square

Proposition 2.11. *The conclusion to Theorem 1.1 holds for cases (iv) and (v) of Table 2.1.*

Proof. We deal with both cases simultaneously. Let $\bar{B} = \text{PSO}_{n/t}(K)^t$ and observe that

$$H \cap \text{PGL}(V) \leq \left(\left((2, q-1)^{t-1} \cdot \text{PO}_{n/t}^{\epsilon'}(q)^t \right) \cdot (2, n/t, q-1) \right) \cdot S_t = B \cdot S_t,$$

where B is the image of $\text{GO}_{n/t}^{\epsilon'}(q)^t$ in $\text{PGO}_n^{\epsilon}(q)$ and $(2, n/t, q-1)$ is a cyclic group of order $\text{hcf}(2, n/t, q-1)$. Let $x \in H \cap \text{PGL}(V)$ be an element of prime order r and suppose $y \in x^G \cap B\rho$, where $\rho \in S_t$ has cycle-shape $(r^k, 1^{t-kr})$ for some $k \geq 0$ and y fixes each subspace V_j with $j > kr$ in the decomposition $V = V_1 \oplus \cdots \oplus V_t$. If we assume $\nu(x) < n/2$ if $r = 2 < p$ then y lifts to an element $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t)\rho \in \hat{B}\rho$ of order r which is \hat{B} -conjugate to $(I_{n/t}, \dots, I_{n/t}, \hat{y}_{kr+1}, \dots, \hat{y}_t)\rho$, where $\hat{B} = \text{O}_{n/t}^{\epsilon'}(q)^t$ and

$$|y^B| \leq |\hat{y}^{\hat{B}}| = |\text{O}_{n/t}^{\epsilon'}(q)|^{k(r-1)} \prod_{j>kr} |\hat{y}_j^{\text{O}_{n/t}^{\epsilon'}(q)}|. \quad (49)$$

We note that if $p = 2$ then every element of order two in S_t acts on V as an a -type involution.

Case 1. $x \in H \cap \text{PGL}(V)$

Here we can argue as in the proof of Proposition 2.9 and for brevity we only provide details in the case where x is a semisimple involution.

Assume for now that (Δ) does not hold. Write $s = \nu(x)$, define h as in (17) and let us start by assuming $s < n/2$ and $h = 0$, so $x^G \cap H \subseteq B$ and $\dim x^{\bar{G}} = s(n-s)$. If $s = 1$ then applying Lemma 2.4 we deduce that $|x^G \cap H| < t(q+1)q^{n/t-2}$, $|x^G| > \frac{1}{4}q^{n-1}$ and we are left to deal with the case $(t, q) = (2, 3)$. Here $\epsilon = +$ (see Table 2.1) and if we assume $n \equiv 0 \pmod{4}$ then the bounds

$$|x^G \cap H| \leq 2|\text{O}_{\frac{n}{2}}^-(3) : \text{O}_{\frac{n}{2}-1}(3)|, \quad |x^G| \geq \frac{1}{2}|\text{O}_n^+(3) : \text{O}_{n-1}(3)|$$

are sufficient; the case $n \equiv 2(4)$ is similar. If $s = 2$ then the desired result follows since

$$|x^G \cap H| < \binom{t}{2} \left(\frac{q+1}{q} \right)^2 q^{2(\frac{n}{t}-1)} + t \cdot 2q^{2\frac{n}{t}-4}, \quad |x^G| > \frac{1}{4}(q+1)^{-1}q^{2n-3}.$$

Now assume $s \geq 3$. Applying Proposition 2.1 and Lemma 2.4 we see that

$$|x^G \cap H| < \binom{t+s-1}{s} 2^t q^{\frac{1}{t}s(n-s)}, \quad |x^G| > \frac{1}{4}q^{s(n-s)}$$

and it is easy to check that these bounds are always sufficient if $t \geq 3$. If $t = 2$ then

$$|x^G \cap H| < 2^2 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{2}s(n-s)} \quad (50)$$

and if we assume $s \geq 3$ then the bound $|x^G| > \frac{1}{4}q^{s(n-s)}$ is sufficient unless $s = q = 3$ and $n \in \{8, 10\}$. These cases are easily dealt with through direct calculation.

Now assume $s < n/2$ and $h > 0$. Then $t > 2h$,

$$|x^G| > \frac{1}{4}(q+1)^{-1}q^{s(n-s)+1} \quad (51)$$

and applying Proposition 2.1, Lemma 2.4 and (49) we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^h 2^{t+n} q^{\frac{1}{t}s(n-s)}. \quad (52)$$

If we assume $h \geq 3$ then these bounds are sufficient unless $(n, t, h, q) = (14, 7, 3, 3)$. Here the hypothesis $s < n/2$ implies that $s = 6$ and direct calculation yields $f(x, H) < .260$. If $h = 2$ then we are left to deal with a handful of cases with $n = 2t$; here the maximality of h implies that $s \in \{4, 5\}$ and the desired result follows by applying (51) and a more accurate upper bound for $|x^G \cap H|$. For instance, if $s = 4$ then

$$|x^G \cap H| \leq \binom{t}{4}(q+1)^4 + 12\binom{t}{4}(q+1)^3 + \left(12\binom{t}{4} + 3\binom{t}{3} \right)(q+1)^2 + 6\binom{t}{3}(q+1) + \binom{t}{2}$$

and the result follows via (51). Now assume $h = 1$. If $n = 2t$ then $s \in \{2, 3\}$. If $s = 2$ then $|x^G \cap H| \leq \binom{t}{2}(q+1)^2 + 2\binom{t}{2}(q+1) + t$ and (51) is good enough. The case $s = 3$ is similar. If $n > 2t$ then (51) is always sufficient since

$$|x^G \cap H| < \left(2\binom{t+s-1}{s} + \binom{t}{2}\binom{t+j-3}{j} \right) 2^{t-1} q^{\frac{1}{t}s(n-s)}.$$

Next assume $s = n/2$. If $C_G(x)$ is of type $O_{n/2}^{\epsilon''}(q) \times O_{n/2}^{\epsilon'''}(q)$ then our earlier work applies since each $y \in x^G \cap B\rho$ lifts to an involution $\hat{y} = (\hat{y}_1, \dots, \hat{y}_t)\rho \in \hat{B}\rho$. In particular, if $t = 2$ then appealing to (49) and (50) we deduce that

$$|x^G \cap B| < 2^2 \left(\frac{q^2+1}{q^2-1} \right) q^{\frac{1}{8}n^2}, \quad |x^G \cap (H - B)| \leq \frac{1}{2}|O_{n/2}^{\epsilon'}(q)| < q^{\frac{1}{8}n(n-2)}$$

and the bound $|x^G| > \frac{1}{8}q^{n^2/4}$ is sufficient unless $(n, q) \in \{(10, 3), (8, 3)\}$; these cases are easily resolved. Now assume $t \geq 3$. Applying Proposition 2.1, Lemma 2.4 and (49) we deduce that

$$|x^G \cap H| < 2 \left(\frac{t^2}{2} \right)^{\lfloor \frac{t}{2} \rfloor} 2^{t+n} q^{\frac{n^2}{4t}}$$

(see (52)) and if we assume $n > 2t$ then the bound $|x^G| > \frac{1}{8}q^{n^2/4}$ is sufficient unless $(n, t, q) = (12, 3, 3)$, where direct calculation yields $f(x, H) < .370$. Finally, suppose $n = 2t$. If $t \geq 5$ then the previous bounds are sufficient with the exception of the cases

$$(t, q) \in \{(8, 3), (7, 3), (6, 3), (5, 5), (5, 3)\}.$$

Here we apply the more accurate upper bound

$$|x^G \cap H| \leq \sum_{k=0}^{t'/2} \left[\frac{t!}{k!(t-2k)!} (q - \epsilon')^{k+\beta} \sum_{l=0}^{t'/2-k} \left(\binom{t'-2k}{2l} (q - \epsilon')^{2l} \binom{t'-2k-2l}{t'/2-k-l} \right) \right], \quad (53)$$

where $t' = t - \beta$, $t \equiv \beta(2)$ and the desired result quickly follows. For instance, if $(t, q) = (5, 3)$ then (53) gives $|x^G \cap H| \leq 11416$ and thus $f(x, H) < .348$ since $|x^G| \geq |\mathcal{O}_{10}^+(3) : \mathcal{O}_5(3)^2|$. Finally, if $(n, t) = (8, 4)$ then (53) implies that

$$|x^G \cap H| \leq (q - \epsilon')^4 + 12(q - \epsilon')^3 + 24(q - \epsilon')^2 + 24(q - \epsilon') + 6$$

and we conclude that $f(x, H) < .456$ since $|x^G| \geq \frac{1}{2}|\mathcal{O}_8^+(q) : \mathcal{O}_4^-(q)^2|$.

Next assume $C_G(x)$ is of type $\mathcal{O}_{n/2}^{\epsilon''}(q^2)$, where $\epsilon'' = \epsilon$ if $n/2$ is even. Then $|x^G| > \frac{1}{8}q^{n^2/4}$ and if we assume n/t is odd then

$$|x^G \cap H| \leq \frac{t!}{(t/2)!2^{t/2}} \frac{1}{2} |\mathcal{O}_{n/t}^{\epsilon'}(q)|^{\frac{t}{2}} < \frac{t!}{(t/2)!2} q^{\frac{n^2}{4t} - \frac{n}{4}}$$

since t is even and $x^G \cap B\rho$ is non-empty if and only if $\rho \in S_t$ has cycle-shape $(2^{t/2})$. These bounds are always sufficient. On the other hand, if n/t is even then

$$|x^G \cap H| \leq \sum_{k=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{k!(t-2k)!2^k} |\mathcal{O}_{n/t}^{\epsilon'}(q)|^k \left(\frac{|\mathcal{O}_{n/t}^{\epsilon'}(q)|}{|\mathcal{O}_{n/2t}^{\zeta}(q^2)|} \right)^{t-2k} \right] < 2 \left(\frac{t^2}{2} \right)^{\lfloor \frac{t}{2} \rfloor} \left(\frac{q+1}{q} \right)^t 2^t q^{\frac{n^2}{4t}},$$

where $\zeta = \epsilon'$ if $n/2t$ is even. If we assume $t \geq 3$ then this bound with $|x^G| > \frac{1}{8}q^{n^2/4}$ is sufficient unless $(n, t, q) \in \{(10, 5, 3), (8, 4, 3)\}$. In these cases the desired result is easily obtained through direct calculation. If $t = 2$ then the more accurate bounds

$$|x^G \cap H| \leq \left(\frac{|\mathcal{O}_{n/2}^{\epsilon'}(q)|}{|\mathcal{O}_{n/4}^{\zeta}(q^2)|} \right)^2 + \frac{1}{2} |\mathcal{O}_{n/2}^{\epsilon'}(q)| < (q^{\frac{n}{4}} + 1) q^{\frac{1}{8}n(n-2)}, \quad |x^G| > \frac{1}{8} q^{\frac{1}{4}n^2}$$

are sufficient unless $(n, q) = (8, 3)$, where direct calculation yields $f(x, H) < .541$.

Finally, suppose $C_G(x)$ is of type $\text{GL}_{n/2}^{\epsilon''}(q)$, in which case

$$|x^G| > \frac{1}{4}(q+1)^{-1} q^{\frac{1}{4}n(n-2)+1}. \quad (54)$$

Assume for now that n/t is even and let the symbol (\dagger) represent the following conditions on ϵ'' and n/t with respect to ϵ' :

ϵ'	conditions
+	$\epsilon'' = +$ if $n/t \equiv 2(4)$
-	$\epsilon'' = -$ and $n/t \equiv 2(4)$

If (\dagger) holds then using [8, Table 4.5.1] we deduce that

$$|x^G \cap H| \leq \sum_{k=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{k!(t-2k)!2^k} |\mathcal{O}_{n/t}^{\epsilon'}(q)|^k \left(\frac{|\mathcal{O}_{n/t}^{\epsilon'}(q)|}{|\text{GL}_{n/2t}^{\epsilon''}(q)|} \right)^{t-2k} \right] < 2 \left(\frac{t^2}{2} \right)^{\lfloor \frac{t}{2} \rfloor} 2^{2t} q^{\frac{n^2}{4t} - \frac{n}{4}} \quad (55)$$

and if we assume $t \geq 3$ then one can check that (54) is sufficient unless $(n, t) = (8, 4)$ or $(n, t, q) \in \{(12, 6, 3), (10, 5, 3)\}$. If $(n, t) = (8, 4)$ then the result follows via (54) since (55) gives

$$|x^G \cap H| \leq 2^4 + 2^3 \binom{4}{2} (q+1) + 3 \cdot 2^2 (q+1)^2;$$

the other two cases are similar. If $t = 2$ then $\epsilon = +$ (see Table 2.1), $n \equiv 0(4)$ and the bounds

$$|x^G \cap H| \leq \left(\frac{|\mathcal{O}_{n/2}^{\epsilon'}(q)|}{|\mathcal{GL}_{n/4}^{\epsilon''}(q)|} \right)^2 + \frac{1}{2} |\mathcal{O}_{n/2}^{\epsilon'}(q)| < (2^4 + q^{\frac{n}{4}}) q^{\frac{1}{8}n(n-4)}$$

and (54) are sufficient unless $n = 8$ and $q \in \{3, 5\}$. Here $x^G \cap B$ is empty if $\epsilon' = -$ (see [4, Table 3.8]) and direct calculation yields $f(x, H) < .546$.

Now assume that either n/t is odd or (\dagger) does not hold if n/t is even. Then t is even and $x^G \cap B\rho$ is non-empty if and only if $\rho \in S_t$ has cycle-shape $(2^{t/2})$. Therefore

$$|x^G \cap H| \leq \frac{t!}{(t/2)! 2^{t/2}} \frac{1}{2} |\mathcal{O}_{n/t}^{\epsilon'}(q)|^{\frac{t}{2}} < \frac{t!}{(t/2)!} \frac{1}{2} \left(\frac{q+1}{q} \right)^{\frac{t}{2}} q^{\frac{1}{4t}n(n-t)}$$

and the reader can check that this bound with (54) is always sufficient.

To complete the proof, let us assume (Δ) holds and recall that we may assume H is of type $\mathcal{O}_4^+(q) \wr S_2$ or $\mathcal{O}_2^{\epsilon'}(q) \wr S_4$. In view of [4, 3.55(iii)], we can also assume that $C_G(x)$ is not of type $\mathcal{O}_4^+(q)^2$. If $\nu(x) = 1$ then $x^G \cap H \subseteq B$, $|x^G| \geq \frac{3}{2}q^3(q^4 - 1)$ and the bounds

$$|x^G \cap H| \leq \begin{cases} 6q(q^2 - 1) & \text{if } t = 2 \\ 12(q + 1) & \text{if } t = 4 \end{cases}$$

are always sufficient. Similarly, if $\nu(x) = 3$ and $t = 2$ then $x^G \cap H \subseteq B$ and the bounds

$$|x^G \cap H| \leq 12 \frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_3(q)||\mathcal{O}_1(q)|} \left(1 + \frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^+(q)|^2} + \frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^-(q)|^2} \right) < 24q^7 + 12q^3$$

and $|x^G| > \frac{3}{4}q^{15}$ are sufficient unless $q = 3$, where direct calculation yields $f(x, H) < .559$. If $t = 4$ then $|x^G| > \frac{3}{4}q^{15}$ and the bounds

$$|x^G \cap B| \leq 12(q - \epsilon')^3 + 36(q - \epsilon'), \quad |x^G \cap (H - B)| \leq 3 \binom{4}{2} |\mathcal{O}_2^{\epsilon'}(q)| 2(q - \epsilon') = 72(q - \epsilon')^2$$

are always sufficient.

Next assume $C_G(x)$ is of type $\mathcal{GL}_4^{\epsilon''}(q)$. If $t = 2$ then the bounds

$$\begin{aligned} |x^G \cap H| &\leq 2 \left(\frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^+(q)|^2} + \frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^-(q)|^2} \right) + \left(\frac{2|\mathcal{O}_4^+(q)|}{|\mathcal{O}_3(q)||\mathcal{O}_1(q)|} \right)^2 + \left(\frac{|\mathcal{O}_4^+(q)|}{|\mathcal{GL}_2^{\epsilon''}(q)|} \right)^2 + \frac{1}{2} |\mathcal{O}_4^+(q)| \\ &= 2q^6 + 4q^2(q + \epsilon'')^2 - 2q^4 + 4q^2 \end{aligned}$$

(see [4, 3.55(iii)]) and

$$|x^G| \geq 3 \frac{|\mathcal{SO}_8^+(q)|}{|\mathcal{GL}_4^{\epsilon''}(q)| 2} = \frac{3}{2} q^6 (q + \epsilon'')(q^2 + 1)(q^3 + \epsilon'') \quad (56)$$

are always sufficient. Similarly, if $t = 4$ then

$$|x^G \cap B| \leq 4 + \binom{4}{2} (q - \epsilon')^2 + |\mathcal{O}_2^{\epsilon'}(q) : \mathcal{GL}_1^{\epsilon'}(q)|^4 = 6(q - \epsilon')^2 + 20,$$

$$|x^G \cap (H - B)| \leq \binom{4}{2} |\mathcal{O}_2^{\epsilon'}(q)| \left(1 + |\mathcal{O}_2^{\epsilon'}(q) : \mathcal{GL}_1^{\epsilon'}(q)|^2 \right) + \frac{3}{2} |\mathcal{O}_2^{\epsilon'}(q)|^2 = 60(q - \epsilon') + 6(q - \epsilon')^2$$

and the desired result follows via (56). Finally, let us assume x is conjugate to $[-I_4, I_4]$. If $t = 2$ then the bounds

$$\begin{aligned} |x^G \cap H| &\leq \left(\frac{2|\mathcal{O}_4^+(q)|}{|\mathcal{O}_3(q)||\mathcal{O}_1(q)|} \right)^2 + \left(\frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^+(q)|^2} + \frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^-(q)|^2} \right)^2 + 2 \left(\frac{|\mathcal{O}_4^+(q)|}{|\mathcal{O}_2^+(q^2)|} \right)^2 + 1 + \frac{3}{2} |\mathcal{O}_4^+(q)| \\ &= 4q^2(q^2 - 1)^2 + (q^4 + q^2)^2 + 2q^4(q^2 - 1)^2 + 1 \end{aligned}$$

and

$$|x^G| \geq 3 \frac{|\text{SO}_8^+(q)|}{|\text{SO}_4^-(q)|^2 4} = \frac{3}{4} q^8 (q^2 - 1)(q^6 - 1)$$

are always sufficient. The case $t = 4$ is very similar.

Case 2. $x \in H - \text{PGL}(V)$

If $x \in G$ is a field automorphism of prime order r then $q = q_0^r$ and [4, 3.48] gives

$$|x^G| > \frac{1}{4} q^{\frac{1}{2}(n^2 - n)(1 - \frac{1}{r})}. \quad (57)$$

Now, if r is odd then

$$|x^G \cap H| \leq \sum_{j=0}^{\lfloor t/r \rfloor} \left[\frac{t!}{j!(t - jr)! r^j} |O_{n/t}^{\epsilon'}(q)|^{j(r-1)} \left(\frac{|O_{n/t}^{\epsilon'}(q)|}{|O_{n/t}^{\epsilon'}(q^{1/r})|} \right)^{t-jr} \right] < 2^t t! q^{\frac{1}{2}(\frac{n^2}{t} - n)(1 - \frac{1}{r})} \quad (58)$$

and the desired result follows via (57). Next assume $q = q_0^2$ and x is an involutory field or graph-field automorphism, so $\epsilon' \neq -$ and (57) holds (with $r = 2$). If n/t is odd then (58) is valid (with $r = 2$) and we find that (57) is always sufficient. If $\epsilon' = +$ then

$$|x^G \cap H| \leq \sum_{j=0}^{\lfloor t/2 \rfloor} \left[\frac{t!}{j!(t - 2j)! 2^j} |O_{n/t}^+(q)|^j \left(\frac{|O_{n/t}^+(q)|}{|O_{n/t}^+(q^{1/2})|} + \frac{|O_{n/t}^-(q)|}{|O_{n/t}^-(q^{1/2})|} \right)^{t-2j} \right] < 2^{2t} t! q^{\frac{n^2}{4t} - \frac{n}{4}}$$

and (57) is sufficient unless $(n, t, q) = (8, 2, 4)$ (note that $(n, t, q) \neq (8, 4, 4)$ - see Table 2.1). Here direct calculation yields $f(x, H) < .530$. Finally, if $\epsilon' = -$ then t is even (see Table 2.1) and $x^G \cap B\rho$ is non-empty if and only if $\rho \in S_t$ has cycle-shape $(2^{t/2})$. Therefore

$$|x^G \cap H| \leq \frac{t!}{(t/2)! 2^{t/2}} |O_{n/t}^-(q)|^{\frac{t}{2}} < \frac{t!}{(t/2)!} \left(\frac{q+1}{q} \right)^{\frac{t}{2}} q^{\frac{n^2}{4t} - \frac{n}{4}}$$

and (57) is always sufficient.

Now assume (Δ) holds. If x is a triality graph-field automorphism then $q = q_0^3$ and [4, 3.48] gives $|x^G| > \frac{1}{4} q^{56/3}$. If $t = 4$ then the trivial bound

$$|x^G \cap H| < |H| \leq 3 \log_2 q \cdot 4! 2^4 (q+1)^4 \quad (59)$$

is always sufficient. On the other hand, if $t = 2$ then we may assume $\epsilon' = +$. Since $\Omega_4^+(q) \cong \text{SL}_2(q) \circ \text{SL}_2(q)$ (central product) we deduce that

$$|x^G \cap H| \leq \frac{4!}{3} |\text{SL}_2(q)|^2 \frac{|\text{SL}_2(q)|}{|\text{SL}_2(q^{1/3})|} < 16q^8$$

and the desired result follows since $|x^G| > \frac{1}{4} q^{56/3}$.

Finally, let us assume x is a triality graph automorphism, and assume for now that $t = 4$. If x is a non- G_2 triality (see [4, 3.47]) then $|x^G| > \frac{1}{8} q^{20}$ (see [4, Table 3.10]) and we find that (59) is sufficient for all $q \geq 4$. If $q = 3$ then a calculation using GAP [7] yields $f(x, H) < .405$. Similarly, if $q = 2$ then $\epsilon' = -$ (see Table 2.1) and using GAP we deduce that $f(x, H) < .555$. If x is a G_2 -type triality then $|x^G| > \frac{1}{8} q^{14}$ and (59) is sufficient for all $q \geq 9$. The cases $5 \leq q \leq 8$ are easily settled. For example, if $q = 5$ then $|H \cap G_0| \leq 62208$ (see [9, 4.2.11]), $|x^{G_0}| = 1521000000$ and thus

$$f(x, H) \leq \frac{\log(24.62208)}{\log(8.1521000000)} < .613.$$

Now assume $q < 5$, in which case $\epsilon' = -$ (see Table 2.1). Here we compute the following results using GAP [7, 11]:

q	$ x^G \cap H \leq$	$ x^G \geq$	$f(x, H) <$
4	800	266342400	.345
3	512	1166400	.447
2	288	14400	.592

For the remainder we may assume $t = 2$, so $\epsilon' = +$ and $q \geq 3$ (see Table 2.1). As previously remarked, there is an isomorphism $\Omega_4^+(q) \cong \mathrm{SL}_2(q) \circ \mathrm{SL}_2(q)$ and it is helpful to consider the corresponding situation for algebraic groups. Here $A_1^4.S_4 \leq D_4.S_3$ and a triality graph automorphism τ acts as a 3-cycle on the A_1 -factors and centralizes the remaining factor only if $C_{D_4}(\tau) = G_2$; either τ centralizes this factor or it acts on it as an inner automorphism of order three. Therefore, if x is a G_2 -type triality then

$$|x^G \cap H| \leq \frac{4!}{3} |\mathrm{SL}_2(q)|^2 < 8q^6, \quad |x^G| > \frac{1}{8}q^{14}$$

and we are left to deal with the case $q = 3$, where direct calculation yields $f(x, H) < .604$. Likewise, if x is a non- G_2 triality then

$$|x^G \cap H| \leq \frac{4!}{3} |\mathrm{SL}_2(q)|^2 \frac{|\mathrm{SL}_2(q)|}{q-1} < 16q^8, \quad |x^G| > \frac{1}{8}q^{20}$$

and we conclude that $f(x, H) < .582$ for all $q \geq 3$. \square

3 Proof of Theorem 1.1: $H \in \mathcal{C}_3$

The subgroups in \mathcal{C}_3 arise from field extensions of prime degree k , where k divides the dimension n of the natural G_0 -module V . As advertised in §2, we also deal with the \mathcal{C}_2 -subgroups of unitary, symplectic and orthogonal groups which stabilize a totally singular $n/2$ -decomposition of V . The cases we shall consider in this section are listed in Table 3.1 (see [9, Tables 4.2.A, 4.3.A]).

	G_0	type of H	conditions
(i)	$\mathrm{PSL}_n^\epsilon(q)$	$\mathrm{GL}_{n/k}^\epsilon(q^k)$	k odd if $\epsilon = -$
(ii)	$\mathrm{PSU}_n(q)$	$\mathrm{GL}_{n/2}(q^2)$	n even
(iii)	$\mathrm{PSp}_n(q)$	$\mathrm{Sp}_{n/k}(q^k)$	n/k even
(iv)	$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{O}_{n/k}^\epsilon(q^k)$	$n/k \geq 4$ even
(v)	$\Omega_n(q)$	$\mathrm{O}_{n/k}(q^k)$	nkq odd, $n/k \geq 3$
(vi)	$\mathrm{P}\Omega_n^\epsilon(q)$	$\mathrm{O}_{n/2}(q^2)$	$n/2$ odd, $q \equiv -\epsilon(4)$
(vii)	$\mathrm{PSp}_n(q)$	$\mathrm{GL}_{n/2}^\epsilon(q).2$	q odd
(viii)	$\mathrm{P}\Omega_n^+(q)$	$\mathrm{GL}_{n/2}^{\epsilon'}(q).2$	$n \equiv 0(4)$ if $\epsilon' = -$
(ix)	$\mathrm{P}\Omega_n^-(q)$	$\mathrm{GU}_{n/2}(q).2$	$n \equiv 2(4)$

Table 3.1: The collection \mathcal{C}_3

Proposition 3.1. *The conclusion to Theorem 1.1 holds in cases (i) and (ii) of Table 3.1.*

Proof. We may assume $n \geq 3$. Let $\bar{G} = \mathrm{PSL}_n(K)$, $\bar{B} = \mathrm{PSL}_{n/k}(K)$ and let σ be a Frobenius morphism of \bar{G} such that \bar{G}_σ has socle $\mathrm{PSL}_n^\epsilon(q)$. Let V denote the natural G_0 -module. We only give details for case (i) of Table 3.1; a very similar argument applies in case (ii) and the reader can easily make the necessary minor adjustments. We partition the proof into a number of separate cases, where Case i.j is a subcase of Case i.

Case 1. $x \in H \cap \mathrm{PGL}(V)$

According to [9, (4.3.10)] we have

$$H \cap \mathrm{PGL}(V) \leq \left(\left(\frac{q^k - \epsilon}{q - \epsilon} \right) . \mathrm{PGL}_{\frac{n}{k}}^\epsilon(q^k) \right) . \langle \phi \rangle = B.k,$$

where ϕ acts on $\mathrm{PGL}_{n/k}^\epsilon(q^k)$ as a field automorphism of order k and B is the image of $\mathrm{GL}_{n/k}^\epsilon(q^k)$ in $\mathrm{PGL}_n^\epsilon(q)$. Let $x \in H \cap \mathrm{PGL}(V)$ be an element of prime order r and write $B = \hat{B}/Z$, where

$\widehat{B} = \text{GL}_{n/k}^\epsilon(q^k)$ and $Z = q - \epsilon$ (i.e. Z is a cyclic group of order $q - \epsilon$). If $x \in B$ then the proof of [4, 3.11] implies that either there exists an element $\hat{x} \in \widehat{B}$ of order r such that $|x^B| = |\hat{x}^{\widehat{B}}|$, or $r|(q - \epsilon)$ and $C_{\widehat{G}}(x)$ is non-connected. Set $s = \nu(x)$ with respect to V and note that

$$|x^G \cap H| \leq |H \cap \text{PGL}(V)| < 2kq^{\frac{n^2}{k}-1}. \quad (60)$$

Case 1.1. $k \geq 5$

If $C_{\widehat{G}}(x)$ is non-connected then r divides n and the bounds (14) and (60) are sufficient for all $k \geq 5$. Now assume $C_{\widehat{G}}(x)$ is connected. If $s \geq n/2$ then [4, 3.38] implies that $|x^G| > \frac{1}{2}(q+1)^{-n}q^{(n^2+2n-2)/2}$ and (60) is sufficient unless $(n, k, q) = (5, 5, 2)$. Here r is odd and thus [4, 3.36] implies that $|x^G| > (1/2)(2/3)^{42^{15}}$ since we are assuming $s \geq 3$. We conclude that $f(x, H) < .625$ since $|x^G \cap H| \leq |H \cap \text{PGL}(V)| \leq 155$.

Next suppose $s < n/2$. If $x^G \cap (H - B) \neq \emptyset$ then $r = k$ and

$$x = \begin{cases} [I_{n/k}, \omega I_{n/k}, \dots, \omega^{k-1} I_{n/k}] & \text{if } p \neq k \\ [J_k^{n/k}] & \text{if } p = k \end{cases} \quad (61)$$

(up to \bar{G} -conjugacy) where $\omega \in K$ is a primitive k^{th} root of unity and J_k is a standard Jordan block of size k . Therefore $s = n(1 - 1/k) \geq n/2$, and so the hypothesis $s < n/2$ implies that $x^G \cap H \subseteq B$. Consequently, we may define $s_0 = \nu(x)$ with respect to the action of x on the natural B -module and we note that the hypothesis $s < n/2$ implies that $s_0 > 0$. Therefore $s \geq k$ and $n \geq 3k$ since $s \geq ks_0$ (see the proof of [10, 4.2]). If x is unipotent then $|x^G| > \frac{1}{2}(q+1)^{-1}q^{2s(n-s)+1}$ and appealing to [4, 3.15, 3.24, 3.38] we deduce that

$$\begin{aligned} |x^G \cap H| &< \left(\frac{q^k - \epsilon}{q - \epsilon} \right) \cdot 2 \left(\frac{q^k}{q^k - 1} \right)^{\frac{s}{k}} q^{\frac{1}{k}(2ns - s^2 - sk)} \cdot \sum_{s_0=1}^{\lfloor s/k \rfloor} k_{s_0, p, u}(\text{PGL}_{\frac{n}{k}}^\epsilon(q^k)) \\ &< 4 \left(\frac{q^k}{q^k - 1} \right)^{\frac{s}{k}+1} q^{\frac{1}{k}(2ns - s^2 + k^2 - k)}, \end{aligned}$$

where $k_{s_0, p, u}(\text{PGL}_{\frac{n}{k}}^\epsilon(q^k))$ denotes the number of distinct classes in $\text{PGL}_{\frac{n}{k}}^\epsilon(q^k)$ of elements y of order p such that $\nu(y) = s_0$. These bounds also hold if x is semisimple (see [4, 3.40]) and the desired result follows since $5 \leq k \leq s \leq \frac{1}{2}(n - 1)$.

Case 1.2. $k < 5$, $x^G \cap (H - B) \neq \emptyset$

Here $r = k$ and (61) holds (up to \bar{G} -conjugacy). If $k = 3$ then the desired result quickly follows via (60). Now assume $k = 2$, so $\epsilon = +$ (see Table 3.1). Applying [4, 3.43] we deduce that

$$|x^G \cap (H - B)| \leq (q+1)|\phi^{\text{PGL}_{n/2}(q^2)}| < 2(q+1)q^{\frac{1}{4}n^2-1}. \quad (62)$$

If $p = 2$ then $|x^G| > \frac{1}{2}q^{n^2/2}$ and the desired result follows via (62) if $n \equiv 2(4)$ since $x^G \cap B$ is empty; if $n \equiv 0(4)$ then any element in $x^G \cap B$ is \bar{B} -conjugate to $[J_2^{n/4}]$, whence $|x^G \cap B| < q^{n^2/4}$ and we are left to deal with the case $(n, q) = (4, 2)$, where direct calculation yields $f(x, H) < .712$. Now assume $p \neq 2$. If $n \equiv 2(4)$ then either $x^G \cap B$ is empty or $C_G(x)$ is of type $\text{GL}_{n/2}(q^2)$ and $x^G \cap B = \{z\}$, where z is the unique central involution in B . In this case, the desired result follows via (62) since $|x^G| > \frac{1}{4}q^{n^2/2}$. On the other hand, if $n \equiv 0(4)$ and $C_G(x)$ is of type $\text{GL}_{n/2}(q)^2$ then $x^G \cap B = y_1^B$; if $C_G(x)$ is of type $\text{GL}_{n/2}(q^2)$ then $x^G \cap B = \{z\} \cup y_2^B$, where

$$y_1 = \begin{pmatrix} & I_{n/4} \\ I_{n/4} & \end{pmatrix}, \quad y_2 = \begin{pmatrix} & \omega^{q+1} I_{n/4} \\ I_{n/4} & \end{pmatrix}$$

and $\mathbb{F}_{q^2}^* = \langle \omega \rangle$. In either case we deduce that

$$|x^G \cap B| \leq 1 + \frac{1}{2}|\text{GL}_{n/2}(q^2) : \text{GL}_{n/4}(q^2)^2| < q^{\frac{1}{4}n^2}, \quad |x^G| > \frac{1}{4}q^{\frac{1}{2}n^2}$$

and (62) is sufficient unless $(n, q) = (4, 3)$, where direct calculation gives $f(x, H) < .661$.

Case 1.3. $k < 5$, $x^G \cap H \subseteq B$, $r = p$

If $x \in B$ has associated partition $\lambda' = (m^{a_m}, \dots, 1^{a_1}) \vdash m$, where $m = n/k$, then it is clear that the Jordan form of x on V corresponds to the partition $\lambda = (m^{ka_m}, \dots, 1^{ka_1}) \vdash n$. In particular, the corresponding \bar{B} - and \bar{G} -classes are uniquely determined by λ and [6, 2.3] implies that $\dim x^{\bar{G}} = k^2 \dim x^{\bar{B}}$. Therefore

$$|x^G \cap H| \leq |x^{\text{PGL}_{n/k}^\epsilon(q^k)}| < 2^t q^{\frac{1}{k} \dim x^{\bar{G}}}, \quad |x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^t q^{\dim x^{\bar{G}}-1} \quad (63)$$

where t denotes the number of non-zero terms a_j in λ . If $t = 1$ then $n \geq 2k$, [6, 2.4] implies that $\dim x^{\bar{G}} \geq \frac{1}{2}n^2$ and one can check that the bounds in (63) are sufficient unless $(n, k, q) = (4, 2, 3)$, where direct calculation yields $f(x, H) < .545$ (note that $x^G \cap (H - B)$ is non-empty if $(n, k, q) = (4, 2, 2)$). Now assume $t \geq 2$. Here $n \geq \frac{1}{2}kt(t+1)$ and [4, 3.25] implies that

$$\dim x^{\bar{G}} \geq k^2 \left(\frac{n}{k} (t^2 - t) - \frac{1}{4}t^4 + \frac{1}{6}t^3 + \frac{1}{4}t^2 - \frac{1}{6}t \right).$$

Therefore (63) is sufficient unless $(k, t) = (2, 2)$ and $q \leq 3$; here the bounds $|x^G \cap H| < 2q^{\frac{1}{2} \dim x^{\bar{G}}}$ and $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$ are always sufficient since $\dim x^{\bar{G}} \geq 4n - 8$.

Case 1.4. $k < 5$, $x^G \cap H \subseteq B$, $r \neq p$

Suppose $r = 2$. If $C_{\bar{G}}(x)$ is connected then $|x^G \cap H| < 2q^{\frac{1}{k} \dim x^{\bar{G}}}$, $|x^G| > \frac{1}{2}(q+1)^{-1}q^{\dim x^{\bar{G}}+1}$ and the result follows since $\dim x^{\bar{G}} \geq 2k(n-k)$. If $C_{\bar{G}}(x)$ is non-connected then the hypotheses imply that $k = 3$ and $n \equiv 0 \pmod{6}$ and the subsequent bounds $|x^G \cap H| < 2q^{n^2/6}$ and $|x^G| > \frac{1}{4}(q+1)^{-1}q^{n^2/2+1}$ are always sufficient.

Now assume $r > 2$ and suppose $C_{\bar{G}}(x)$ is connected. Let $i \geq 1$ be minimal such that $r|(q^i - 1)$ and $i_0 \geq 1$ minimal such that $r|(q^{ki_0} - 1)$. Observe that

$$i_0 = \begin{cases} i/k & \text{if } k \text{ divides } i \\ i & \text{otherwise.} \end{cases} \quad (64)$$

Define the integers l and d as in [4, 3.32] and define $c = c(i, \epsilon)$ as in the statement of [4, 3.33].

Suppose k does not divide i . Then $i = i_0$ and σ - and σ^k -orbits coincide (see [4, 3.26]). In particular, if $c > 1$ and $x \in G$ has associated σ -tuple $\mu = (l, a_1, \dots, a_t)$ then each non-zero term in μ must be a multiple of k . Indeed, x acts on the natural B -module with associated σ^k -tuple $\mu' = (l/k, a_1/k, \dots, a_t/k)$ and thus $\dim x^{\bar{G}} = k^2 \dim x^{\bar{B}}$. Now

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{\alpha d} q^{\dim x^{\bar{G}}} \quad (65)$$

and we deduce that $|x^G \cap H| < 2 \log_2 q \cdot 2^{d(1-\alpha)+\alpha} q^{\frac{1}{k} \dim x^{\bar{G}}}$ where α is defined in (4) and

$$\dim x^{\bar{G}} \geq n^2 - l^2 - \frac{1}{c}(n-l-kc(d-1))^2 - ck^2(d-1).$$

The same bounds hold if $c = 1$ and the result follows since $n \geq l + kdc$.

Now assume k does divide i , so $i_0 = i/k$ and each non-trivial σ -orbit is a union of k distinct σ^k -orbits. In particular, $c > 1$ and we may assume x has associated σ -tuple $\mu = (l, a_1, \dots, a_t)$. For $k \leq 3$ we claim that

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{kd(1-\alpha)+\alpha} \left(\frac{q^k}{q^k-1} \right)^d q^{\frac{1}{k} \dim x^{\bar{G}}}, \quad (66)$$

where α is defined as before. Applying (65) and the lower bound on $\dim x^{\bar{G}}$ given in [4, 3.33], we find that (66) is sufficient with the exception of a handful of cases for which we can calculate

more accurate bounds. For example, if $(k, i, q) = (2, 2, 2)$ and $n = l + 2$ then the reader can check that the bounds

$$|x^G \cap H| \leq 2 \frac{|\mathrm{GL}_{n/2}(4)|}{|\mathrm{GL}_{n/2-1}(4)||\mathrm{GL}_1(4)|}, \quad |x^G| \geq \frac{|\mathrm{GL}_n(2)|}{|\mathrm{GL}_{n-2}(2)||\mathrm{GL}_1(2^2)|}$$

are sufficient for all $n \geq 4$. It remains to justify (66).

Proof of (66).

Modulo field and graph automorphisms, each B -class in $x^G \cap B$ is determined by a tk -tuple of the form

$$(b_{11}, \dots, b_{1k}, b_{21}, \dots, b_{2k}, \dots, b_{tk}),$$

where the b_{ij} are non-negative integers such that $\sum_j b_{ij} = a_i$ for each $1 \leq i \leq t$. Let \mathcal{B} denote the set of all such tk -tuples and for each $b \in \mathcal{B}$, let $x_b \in B$ represent the corresponding B -class in $x^G \cap B$ and fix $\hat{x}_b \in \hat{B}$ of order r such that $|x_b^B| = |\hat{x}_b^{\hat{B}}|$. Accounting for the possible effect of field and graph automorphisms, it follows that

$$|x^G \cap H| \leq 2 \log_2 q \cdot \sum_{b \in \mathcal{B}} |\hat{x}_b^{\hat{B}}|.$$

Assume for now that $k = 3$, so $|\hat{x}_b^{\hat{B}}| < 2^{3d(1-\alpha)+\alpha} q^{3 \dim x_b^{\bar{B}}}$ for all $b \in \mathcal{B}$. Let a be the number of terms a_j in μ which are not divisible by 3, so if

$$\Sigma := \sum_{b \in \mathcal{B}} q^{3 \dim x_b^{\bar{B}}} < 3^a \left(\frac{q^3}{q^3 - 1} \right)^d q^{3\zeta} \quad (67)$$

holds, where $\zeta = \max_{b \in \mathcal{B}} \dim x_b^{\bar{B}} = \frac{1}{9} \dim x^{\bar{G}} - \frac{2}{9} ac$, then

$$|x^G \cap H| < 2 \log_2 q \cdot 2^{3d(1-\alpha)+\alpha} \left(\frac{3}{q^{2c/3}} \right)^a \left(\frac{q^3}{q^3 - 1} \right)^d q^{\frac{1}{3} \dim x^{\bar{G}}}$$

and (66) follows. To establish (67) we argue by induction on d . Without loss of generality we may assume that $a_1 > 0$.

If $d = 1$ then for $0 \leq j \leq a_1$ define

$$\Sigma_j = \sum_{b \in \mathcal{B}_j} q^{3 \dim x_b^{\bar{B}}}, \quad \zeta_j = \max_{b \in \mathcal{B}_j} \dim x_b^{\bar{B}},$$

where a tuple $b \in \mathcal{B}$ lies in the subset \mathcal{B}_j if and only if $b_{11} = j$. Clearly $\Sigma = \Sigma_0 + \dots + \Sigma_{a_1}$. Next fix j and observe that if $b \in \mathcal{B}_j$ with $b_{12} = v$ then $\dim x_b^{\bar{B}} = f(v) = c_1 v^2 + c_2 v + c_3$ for some constants c_i , with $c_1 < 0$. Now $f(v)$ is even (it is the dimension of a \bar{B} -class) and thus

$$\Sigma_j < 2 \left(1 + (q^3)^2 + (q^3)^4 + \dots + (q^3)^{\zeta_j-2} \right) + \eta q^{3\zeta_j} < \eta \left(\frac{q^6 + 2 - \eta}{q^6 - 1} \right) q^{3\zeta_j},$$

where $\eta = 2$ if $a_1 - j$ is odd, otherwise $\eta = 1$. Now, if $a = 0$ then $\zeta = \zeta_j$ if and only if $j = \frac{1}{3} a_1$ and it follows that

$$\Sigma < 2^2 \left(\frac{q^6}{q^6 - 1} \right) \left(1 + (q^3)^2 + (q^3)^4 + \dots + (q^3)^{\zeta-2} \right) + \left(\frac{q^6 + 1}{q^6 - 1} \right) q^{3\zeta} < \left(\frac{q^3}{q^3 - 1} \right) q^{3\zeta}$$

since $\frac{2}{3} a_1$ is even. Similarly, if $a = 1$ then

$$\begin{aligned} \Sigma &< 2^2 \left(\frac{q^6}{q^6 - 1} \right) \left(1 + (q^3)^2 + (q^3)^4 + \dots + (q^3)^{\zeta-2} \right) + \left(2 \left(\frac{q^6}{q^6 - 1} \right) + \frac{q^6 + 1}{q^6 - 1} \right) q^{3\zeta} \\ &< 3 \left(\frac{q^3}{q^3 - 1} \right) q^{3\zeta} \end{aligned}$$

and we conclude that (67) holds when $d = 1$.

Now assume $d > 1$. For $0 \leq j \leq a_1$ define \mathcal{B}_j , Σ_j and ζ_j as before. If $a_1 \equiv 0(3)$ then the inductive hypothesis implies that

$$\Sigma_j < 3^a \left(\frac{q^3}{q^3 - 1} \right)^{d-1} q^{3\zeta_j},$$

and since $\Sigma = \Sigma_0 + \dots + \Sigma_{a_1}$ we conclude that

$$\Sigma < 3^a \left(\frac{q^3}{q^3 - 1} \right)^{d-1} \left(2(1 + (q^3)^2 + \dots + (q^3)^{\zeta-2}) + q^{3\zeta} \right) < 3^a \left(\frac{q^3}{q^3 - 1} \right)^d q^{3\zeta}.$$

The case $a_1 \not\equiv 0(3)$ is very similar. This establishes (67) and thus (66) holds when $k = 3$. The argument when $k = 2$ is similar (and shorter).

Finally, let us assume r is odd and $C_{\bar{G}}(x)$ is non-connected. Then the hypothesis $x^G \cap H \subseteq B$ implies that $r \neq k$, so rk divides n . If $k = 3$ then the bounds (14) and (60) are sufficient, so assume $k = 2$, in which case $\epsilon = +$. Define $i \geq 1$ as before and observe that our earlier arguments apply if $i > 1$. For example, if i is even then $|x^G| > \frac{1}{2}q^{n^2(1-1/r)}$ and (66) implies that

$$|x^G \cap H| < 2^{r-1} \left(\frac{q^2}{q^2 - 1} \right)^{\frac{1}{2}(r-1)} q^{\frac{1}{2}n^2(1-\frac{1}{r})}$$

since $d \leq \frac{1}{2}(r-1)$ and \mathcal{E}_x , the multiset of eigenvalues of $\hat{x} \in \text{GL}_n(q)$, is fixed by all automorphisms of G_0 . One can check that this bound with (14) is always sufficient. If $i = 1$ and x is \bar{G}_σ -conjugate to x_0 (in the notation of [4, 3.35]) then $x^G \cap H = x^H$ and the result follows via (14) since

$$|x^G \cap H| \leq \frac{|\text{GL}_{n/2}(q^2)|}{|\text{GL}_{n/2r}(q^2)|^r} < 2^{r-1} q^{\frac{1}{2}n^2(1-\frac{1}{r})}.$$

On the other hand, if x is not \bar{G}_σ -conjugate to x_0 then

$$|x^G \cap H| \leq (r-1) \frac{|\text{GL}_{n/2}(q^2)|}{|\text{GL}_{n/2r}(q^{2r})|^r} < 2q^{\frac{1}{2}n^2(1-\frac{1}{r})}$$

and the result follows once again via (14).

Case 2. $x \in H - \text{PGL}(V)$

Let us begin by assuming $x \in G$ is a field automorphism of prime order r , so $q = q_0^r$ and $r \neq k$ since every element of order k in $H \cap \text{PGL}(V)$ lies in $B.\langle \phi \rangle \leq \text{PGL}(V)$, where $\text{PGL}(V)$ is the projective general semilinear group on V . Applying [4, 3.15, 3.43] we deduce that

$$|x^G \cap H| \leq \left(\frac{q^k - \epsilon}{q - \epsilon} \right) \frac{|\text{PGL}_{n/k}^\epsilon(q^k)|}{|\text{PGL}_{n/k}^\epsilon(q^{k/r})|} < 2 \left(\frac{q^k - 1}{q - 1} \right) q^{k(\frac{n^2}{k^2} - 1)(1-\frac{1}{r})}$$

and (37) is sufficient unless $(n, k, r) = (3, 3, 2)$. Here $\epsilon = +$ and we conclude that $f(x, H) < .812$ for all $q \geq 4$ since $|x^G \cap H| \leq q^2 + q + 1$ and $|x^G| > \frac{1}{6}q^4$. Similar reasoning applies when $x \in G$ is an involutory graph-field automorphism. (Note that k is odd since every involution in H lies in $\text{PGL}(V).\langle \gamma \rangle$ if $k = 2$, where γ is an involutory graph automorphism.)

To complete the proof, let us assume that $x \in G$ is an involutory graph automorphism. We begin by assuming $n \geq 3k$ and k is odd. Then $x^G \cap H \subseteq Bx$ and x induces an involutory graph automorphism on B such that $C_B(x)$ and $C_{G_0}(x)$ are of the same type. In particular, if n is even and $C_{G_0}(x)$ is symplectic then

$$|x^G \cap H| \leq \left(\frac{q^k - \epsilon}{q - \epsilon} \right) \frac{|\text{PGL}_{n/k}^\epsilon(q^k)|}{|\text{Sp}_{n/k}(q^k)|} < 2 \left(\frac{q^k - 1}{q - 1} \right) q^{\frac{n^2}{2k} - \frac{n}{2} - k}$$

and the result follows via (39). The non-symplectic case is very similar. Now assume k is odd and $n < 3k$. If $n = k$ then the bounds $|x^G \cap H| \leq (q-1)^{-1}(q^n - 1)$ and $|x^G| > \frac{1}{2}(q+1)^{-1}q^{(n^2+n-2)/2}$ are always sufficient. If $n = 2k$ then x induces an automorphism on $\hat{B} = \text{GL}_2^\epsilon(q^k)$ which restricts to an inner automorphism i_x of $\text{SL}_2(q^k)$; if i_x is non-trivial then $C_{G_0}(x)$ is non-symplectic and (39) is sufficient since

$$|x^G \cap H| \leq \left(\frac{q^k - \epsilon}{q - \epsilon} \right) \left(\frac{|\text{PGL}_2(q^k)|}{|\text{PGO}_2^+(q^k)|} + \frac{|\text{PGL}_2(q^k)|}{|\text{PGO}_2^-(q^k)|} \right) \leq \left(\frac{q^k - 1}{q - 1} \right) q^{2k};$$

if i_x centralizes $\text{SL}_2(q^k)$ then $C_{G_0}(x)$ is symplectic and again the result follows via (39) since $|x^G \cap H| \leq (q-1)^{-1}(q^k - 1)$.

Next assume $k = 2$ and $n \neq 4$. Then $\epsilon = +$ (see Table 3.1) and we observe that $C_{G_0}(x)$ is non-symplectic if $n \equiv 2(4)$. Therefore, for any n , we have $x^G \cap H \subseteq Bx \cup Bx\phi$ where x acts on B as an involutory graph automorphism such that $C_B(x)$ and $C_{G_0}(x)$ are of the same type and $x\phi$ induces an involutory graph-field automorphism on B . Now, if $n \equiv 0(4)$ and $C_{G_0}(x)$ is symplectic then using [4, 3.43] we deduce that

$$|x^G \cap H| \leq (q+1) \frac{|\text{PGL}_{n/2}(q^2)|}{|\text{Sp}_{n/2}(q^2)|} + \frac{|\text{PGL}_{n/2}(q^2)|}{|\text{PGU}_{n/2}(q)|} < (2q^{\frac{n}{2}+1} + q+1)q^{\frac{1}{4}n^2 - \frac{n}{2} - 2}$$

and $|x^G| > \frac{1}{2}q^{(n^2-n-4)/2}$. If we assume $n \geq 8$ then these bounds are sufficient unless $(n, q) = (8, 2)$, where $B = \text{GL}_4(4)$ and direct calculation yields $f(x, H) < .573$. The non-symplectic case is similar. Finally, if $n = 4$ and $C_{G_0}(x)$ is non-symplectic then $f(x, H) < .699$ for all $q \geq 2$ since

$$|x^G \cap H| \leq (q+1) \left(\frac{|\text{PGL}_2(q^2)|}{|\text{PGO}_2^+(q^2)|} + \frac{|\text{PGL}_2(q^2)|}{|\text{PGO}_2^-(q^2)|} \right) + \frac{|\text{PGL}_2(q^2)|}{|\text{PGU}_2(q)|} = q(q^4 + q^3 + q^2 + 1)$$

and $|x^G| \geq (4, q-1)^{-1}q^4(q^2-1)(q^3-1)$. On the other hand, if $C_{G_0}(x)$ is symplectic then

$$|x^G \cap H| \leq (q+1) + \frac{|\text{PGL}_2(q^2)|}{|\text{PGU}_2(q)|} = q^3 + 2q + 1, \quad |x^G| \geq (4, q-1)^{-1}q^2(q^3-1)$$

and it follows that $f(x, H) < 3/4$ unless $q = 2$, where $f(x, H) = (\log 13)/(\log 28) \approx .770^*$; this exceptional case is recorded in Table 1.1. \square

Proposition 3.2. *The conclusion to Theorem 1.1 holds in cases (iii)-(vi) of Table 3.1.*

Proof. These cases are all very similar and we only give details for (iii). Here the statement of Theorem 1.1 gives $\iota = 1/(n+2)$ if $k = 2$. Define $\bar{G} = \text{PSp}_n(K)$, $\bar{B} = \text{PSp}_{n/k}(K)$ and let σ be a Frobenius morphism of \bar{G} such that \bar{G}_σ has socle $G_0 = \text{PSp}_n(q)$. According to [4, 3.3], if $(n, p) = (4, 2)$ then we may assume G does not contain any graph-field automorphisms. (Similarly, in cases (iv) and (vi) we may assume that G does not contain a triality automorphism if $G_0 = \text{P}\Omega_8^+(q)$ - see [4, 3.3].)

According to [9, p.116] we have $H \cap \text{PGL}(V) \leq B.\langle \phi \rangle = \tilde{H}$, where ϕ acts on B as a field automorphism of order k and

$$B \cong \begin{cases} \langle z \rangle \times \text{PSp}_{n/2}(q^2) & \text{if } k = 2 \text{ and } p \neq 2 \\ \text{PGSp}_{n/k}(q^k) & \text{otherwise.} \end{cases}$$

Here $z \in \bar{G}_\sigma - G_0$ is an involution with $C_G(z)$ of type $\text{Sp}_{n/2}(q^2)$.

Now, if $x \in H - \text{PGL}(V)$ has prime order r then x is a field automorphism, so $q = q_0^r$, $r \neq k$ and the result follows since [4, 3.43, 3.48] imply that

$$|x^G \cap H| \leq |\text{Sp}_{n/k}(q^k) : \text{Sp}_{n/k}(q^{k/r})| < 2q^{\frac{n}{2k}(n+k)(1-\frac{1}{r})}, \quad |x^G| > \frac{1}{4}q^{\frac{1}{2}n(n+1)(1-\frac{1}{r})}.$$

For the remainder, let us assume $x \in H \cap \text{PGL}(V)$ of prime order r . Arguing as in the proof of the previous proposition, applying [4, 3.24, 3.38, 3.40], we quickly reduce to the case $k < 5$.

Case 1. $k < 5$, $x^G \cap (H - B) \neq \emptyset$

Here $r = k$ and applying [4, 3.43] we deduce that

$$|x^G \cap (H - B)| \leq (k-1) |\text{Sp}_{n/k}(q^k) : \text{Sp}_{n/k}(q)| < 2(k-1)q^{\frac{n}{2k}(k-1)(\frac{n}{k}+1)}. \quad (68)$$

Let us start by assuming $k = 3$. If $p = 3$ then x has associated partition $\lambda = (3^{n/3})$ (see (61)) and thus $|x^G| > \frac{1}{2}q^{n(n+1)/3}$. Furthermore, if $x^G \cap B$ is non-empty then $n \equiv 0(18)$ and $|x^G \cap B| < q^{n(n+3)/9}$ since each $y \in x^G \cap B$ is \bar{B} -conjugate to $[J_3^{n/9}]$. These bounds with (68) are always sufficient. The case $k = 3$ with $p \neq 3$ is very similar. Now assume $(k, p) = (2, 2)$. Then $|x^G| > \frac{1}{2}q^{n^2/4}$ since x is \bar{G} -conjugate to $a_{n/2}$. Furthermore, $x^G \cap B$ is either empty or $n \equiv 0(8)$ and $|x^G \cap B| < 2q^{n^2/8}$ since each $y \in x^G \cap B$ is \bar{B} -conjugate to $a_{n/4}$ (see (69) below). If we assume $n \geq 8$ then (68) is sufficient unless $(n, q) = (8, 2)$, where direct calculation yields $f(x, H) < .668$. If $n = 4$ then $|x^G \cap H| = |x^G \cap (H - B)| = q(q^2 + 1)$, $|x^G| = q^4 - 1$ and thus $f(x, H) < .851$ for all $q \geq 2$.

Finally, let us assume $k = 2$ and p is odd. Here $C_{\bar{G}}(x)$ is non-connected and there are four cases to consider. If $C_G(x)$ is of type $\text{Sp}_{n/2}(q^2)$ then $|x^G| > \frac{1}{4}q^{n^2/4}$, $x^G \cap B = \{z\}$ and we find that (68) is always sufficient if $n \geq 8$. If $n = 4$ then $f(x, H) < .774$ for all $q \geq 3$ since

$$|x^G \cap H| \leq 1 + \frac{1}{2} |\text{Sp}_2(q^2) : \text{Sp}_2(q)| = 1 + \frac{1}{2}q(q^2 + 1), \quad |x^G| = \frac{1}{2}q^2(q^2 - 1)$$

The case where $C_G(x)$ is of type $\text{Sp}_{n/2}(q)^2$ is similar. Now assume $C_G(x)$ is of type $\text{GL}_{n/2}^\epsilon(q)$. If $q \equiv \epsilon(4)$ then $x^G \cap B = (1, t)^B$, where $t \in \text{PSp}_{n/2}(q^2)$ is an involution with centralizer of type $\text{GL}_{n/4}(q^2)$ (note that $t \in \text{PSp}_{n/2}(q^2)$ since $q^2 \equiv 1(4)$ - see [8, Table 4.5.1]). Therefore

$$|x^G \cap B| \leq \frac{|\text{Sp}_{n/2}(q^2)|}{|\text{GL}_{n/4}(q^2)|2} < q^{\frac{1}{8}n(n+4)}, \quad |x^G| > \frac{1}{4}(q+1)^{-1}q^{\frac{1}{4}(n^2+2n+4)}$$

and (68) is sufficient unless $(n, q) = (4, 3)$, where direct calculation gives $f(x, H) < .732$. Similarly, if $q \equiv -\epsilon(4)$ then $x^G \cap B = (z, t)^B$ so the previous bounds hold and again it remains to deal with the case $(n, q) = (4, 3)$. This time direct calculation yields $f(x, h) < .651$.

Case 2. $k < 5$, $x^G \cap H \subseteq B$, $r = p$

First assume $p = 2$ and observe that the natural embedding $\text{Sp}_{n/k}(q^k) \hookrightarrow \text{Sp}_n(q)$ maps involution class representatives as follows:

$$a_l \mapsto a_{kl}, \quad c_l \mapsto c_{kl}, \quad b_l \mapsto \begin{cases} b_{kl} & \text{if } k \text{ is odd} \\ c_{kl} & \text{if } k = 2. \end{cases} \quad (69)$$

If x is G -conjugate to a_{kl} then $\dim x^{\bar{G}} = k^2 \dim x^{\bar{B}}$, [4, 3.22] implies that $|x^G \cap H| < 2q^{\dim x^{\bar{B}}}$, $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$ and the desired result follows since $\dim x^{\bar{G}} \geq 2n - 4$ and $n \geq 4k$. Similarly, if x is G -conjugate to b_{kl} or c_{kl} then the bounds $|x^G \cap H| < 2q^{l(n-k+l)}$ and $|x^G| > \frac{1}{2}q^{kl(n-k+l+1)}$ are sufficient unless $(k, n, l, q) = (2, 4, 1, 2)$. Here direct calculation yields $f(x, H) < .712$ since $|x^G \cap H| = 15$ and $|x^G| = 45$.

Now assume p is odd. Let $\lambda = (m^{ka_m}, \dots, 1^{ka_1}) \vdash n$ be the associated partition of x , where $m = n/k$. We claim that

$$|x^G \cap H| < 2^t q^{\left(\frac{1}{2} + \frac{\delta_{2,k}}{n+2}\right) \dim x^{\bar{G}}}, \quad |x^G| > \left(\frac{1}{2}\right)^{t+1} \left(\frac{q}{q+1}\right)^{t\delta_{2,k}} q^{\dim x^{\bar{G}}}, \quad (70)$$

where t is the number of non-zero terms a_j in λ . In view of [4, 3.18], it is sufficient to show that

$$\dim x^{\bar{B}} \leq \left(\frac{1}{2k} + \frac{\delta_{2,k}}{2n+4}\right) \dim x^{\bar{G}}. \quad (71)$$

Let $d = \sum_{i \text{ odd}} a_i$ and observe that $\dim x^{\bar{G}} = k^2 \dim x^{\bar{B}} - \frac{1}{2}(k-1)(n-kd)$. If $k = 3$ then [6, 2.3, 2.4] imply that $\dim x^{\bar{G}} \geq n^2/4 + n/2 - 9d^2/4 + 9d/2$ (minimal if $\lambda = (2^{n/2-3d/2}, 1^{3d})$) and (71) quickly follows since $n \geq 3d + 6$. Similar reasoning applies when $k = 2$ (note that we have equality in (71) if $\lambda = (2^{n/2})$).

Let us now apply (70). If $t = 1$ then $\dim x^{\bar{G}} \geq \frac{1}{4}n(n+2)$ and we are left to deal with the case $(n, k, q) = (4, 2, 3)$, where direct calculation yields $f(x, H) < .800$. Now assume $t \geq 2$. We claim that

$$\dim x^{\bar{G}} \geq g(n, t) = \begin{cases} \frac{3}{2}nt(t-1) - \frac{9}{8}t^4 + \frac{3}{4}t^3 + \frac{15}{8}t^2 - \frac{3}{4}t - \frac{3}{4} & \text{if } k = 3 \\ (t^2 - t)n - \frac{1}{2}t^4 + \frac{1}{3}t^3 + t^2 - \frac{1}{3}t - \frac{1}{2} & \text{if } k = 2. \end{cases} \quad (72)$$

If $k = 2$ then [6, 2.4] implies that $\dim x^{\bar{G}} \geq \dim y^{\bar{G}}$, where $y \in \bar{G}$ is unipotent with associated partition $(t^2, \dots, 2^2, 1^{n-t^2-t+2}) \vdash n$, and (72) follows from [6, 2.3]. Now assume $k = 3$ and define

$$f(\rho) = \frac{1}{2}n^2 + \frac{1}{2}n - \sum_{i < j} ia_i a_j - \frac{1}{2} \sum_i ia_i^2 - \frac{1}{2} \sum_{i \text{ odd}} a_i,$$

where $\rho = (n^{a_n}, \dots, 1^{a_1}) \vdash n$ is an arbitrary partition of n . Note that $f(\rho) = \dim y^{\bar{G}}$ if ρ is the associated partition of a unipotent element $y \in \bar{G}$, and $g(n, t) = f(\rho')$ where

$$\rho' = (t^3, (t-1)^3, \dots, 2^3, 1^{n-\frac{3}{2}t^2-\frac{3}{2}t+3}) \vdash n.$$

The claim now follows by arguing as in the proof of [4, 3.25].

If $k = 3$ then $n \geq \frac{3}{2}t(t+1)$ and (72) implies that the bounds in (70) are sufficient unless $(t, q) = (2, 3)$. Here $n \geq 12$ and (70) is good enough since $\dim x^{\bar{G}} \geq 3n - 6$ (minimal if $\lambda = (2^3, 1^{n-6})$). Now assume $k = 2$. Then $n \geq t(t+1)$ and if we assume $t \geq 3$ then (70) (with (72)) is sufficient unless $(t, q) = (3, 3)$. In this case $n \geq 20$ (since a_1 and a_3 must be positive multiples of 4) and the result follows via (70) since (72) gives $\dim x^{\bar{G}} \geq 6n - 24$. Finally, if $t = 2$ and $\lambda \neq (2^2, 1^{n-4})$ then $\dim x^{\bar{G}} \geq 4n - 12$ (minimal if $\lambda = (2^4, 1^{n-8})$) and (70) is sufficient. If $\lambda = (2^2, 1^{n-4})$ then $|x^G \cap H| < q^n$, $|x^G| > \frac{1}{4}(q+1)^{-1}q^{2n-1}$ and the desired result follows.

Case 3. $k < 5$, $x^G \cap H \subseteq B$, $r \neq p$

If $r = 2$ then the hypothesis $x^G \cap H \subseteq B$ implies that x is \bar{G} -conjugate to $[-I_{2ka}, I_{n-2ka}]$ for some $1 \leq a < n/4k$ and the subsequent bounds $|x^G \cap H| < 2q^{\frac{1}{k} \dim x^{\bar{G}}}$ and $|x^G| > \frac{1}{2}q^{\dim x^{\bar{G}}}$ are always sufficient since $\dim x^{\bar{G}} \geq 2k(n-2k)$. Now assume $r > 2$. Define the integers i and i_0 as in the proof of the previous proposition and observe that (64) holds. Let $\mu = (l, a_1, \dots, a_t)$ denote the associated σ -tuple of $x \in G$ and let d denote the number of non-zero parts a_j in μ . Note that (41) holds and that d is even if i is odd.

If k does not divide i then $i = i_0$ and thus σ - and σ^k -orbits coincide. Therefore each term in μ is divisible by k and we calculate that $k^2 \dim x^{\bar{B}} = \dim x^{\bar{G}} + \frac{1}{2}(n-l)(k-1)$, whence

$$|x^G \cap H| < \log_2 q \cdot 2^{\frac{d}{2}(e-1)} q^{\frac{1}{k} \dim x^{\bar{G}} + \frac{1}{2k}(n-l)(k-1)},$$

where $e = 2$ if i is odd, $e = 1$ if i is even, and

$$\dim x^{\bar{G}} \geq \frac{1}{2}(n^2 + n - l^2 - l - \frac{1}{ei}(n-l - ki(d-e))^2 - k^2 i(d-e)). \quad (73)$$

The result follows via (41). Now assume k divides i , so $i_0 = i/k$ and each non-trivial σ -orbit is a union of k distinct σ^k -orbits. If $k = 2$ and $i \equiv 2(4)$ then each term in μ must be even and we deduce that

$$|x^G \cap H| < \log_2 q \cdot 2^d q^{\frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n-l)},$$

where (73) holds and $n \geq l + 2di$. Then (41) is sufficient unless $(n, l, i, d) = (4, 0, 2, 1)$ and $q \in \{2, 4\}$, where direct calculation yields $f(x, H) < .813$. Now assume $(k, i \bmod 4) \neq (2, 2)$. Then arguing as in the proof of Proposition 3.1 (in particular, the proof of (66)) we deduce that

$$|x^G \cap H| < \log_2 q \cdot 2^{\frac{1}{2}kd(e-1)} \left(\frac{q^k}{q^k - 1} \right)^{\frac{d}{e}} q^{\frac{1}{k} \dim x^{\bar{G}} + \frac{1}{2k}(n-l)(k-1)}$$

and the desired result follows via (41) and the lower bound on $\dim x^{\bar{G}}$ given in [4, 3.33]. \square

Proposition 3.3. *The conclusion to Theorem 1.1 holds in cases (vii)-(ix) of Table 3.1.*

Proof. All three cases are very similar and we only give details for (viii) and (ix), which we deal with simultaneously; say H is of type $\mathrm{GL}'_{n/2}(q)$.2. Define $\bar{G} = \mathrm{PSO}_n(K)$, $\bar{B} = \mathrm{PSL}_{n/2}(K)$, where $n \geq 8$, and let σ be a Frobenius morphism of \bar{G} such that \bar{G}_σ has socle $G_0 = \mathrm{P}\Omega'_n(q)$. In addition, let σ' be a Frobenius morphism of \bar{B} such that $\bar{B}_{\sigma'} \cong \mathrm{PGL}'_{n/2}(q)$. Recall from the statement of Theorem 1.1 that $\iota = 1/(n-2)$ and note that we may assume G is without triality if $(n, \epsilon) = (8, +)$ (see [4, 3.3]). Also observe that $H \cap \mathrm{PGL}(V) \leq \tilde{H}$, where $\tilde{H} = C_{\tilde{G}}(z)$ for a suitable involution $z \in \bar{G}_\sigma$ if p is odd, and $\tilde{H} = \mathrm{GL}'_{n/2}(q) \cdot \langle \psi \rangle = B.2$ if $p = 2$ where ψ induces an involutory graph automorphism on B (see [9, 4.2.7, 4.3.18] for example).

If $x \in H - \mathrm{PGL}(V)$ has odd prime order r then x is a field automorphism, $q = q_0^r$ and [4, 3.48] states that $|x^G| > \frac{1}{4}q^{n(n-1)(1-1/r)/2}$. Moreover, [4, 3.15, 3.38] imply that

$$|x^G \cap H| \leq \frac{1}{2}(q - \epsilon') \frac{|\mathrm{PGL}'_{n/2}(q)|}{|\mathrm{PGL}'_{n/2}(q^{1/r})|} < (q+1)q^{\frac{1}{4}(n^2-4)(1-\frac{1}{r})}$$

and the result follows. If x is an involution then $q = q_0^2$ and $\epsilon' = +$ since every involution in H lies in $\mathrm{PGL}(V)$ if $\epsilon' = -$. Again, applying [4, 3.15, 3.38] we deduce that

$$|x^G \cap H| \leq \frac{1}{2}(q-1) \left(\frac{|\mathrm{PGL}_{n/2}(q)|}{|\mathrm{PGL}_{n/2}(q^{1/2})|} + \frac{|\mathrm{PGL}_{n/2}(q)|}{|\mathrm{PGU}_{n/2}(q^{1/2})|} \right) < 2(q-1)q^{\frac{1}{8}n^2 - \frac{1}{2}}$$

and the bound $|x^G| > \frac{1}{4}q^{n(n-1)/4}$ is always sufficient. Now assume $x \in H \cap \mathrm{PGL}(V)$. For the reader's convenience, we partition the proof into three cases.

Case 1. $r = p$

Let us start by assuming $p = 2$. If $x^G \cap H \subseteq B$ then x is \bar{G} -conjugate to a_{2l} for some $1 \leq l < n/4$ and the desired result follows since $|x^G \cap H| < 2q^{l(n-2l)}$ and $|x^G| > \frac{1}{2}q^{2l(n-2l-1)}$. Now assume $x^G \cap (H - B) \neq \emptyset$, so $\nu(x) = n/2$. If $n \equiv 0 \pmod{4}$ and x is \tilde{G} -conjugate to $a_{n/2}$ then [4, 3.22] implies that $|x^G \cap B| < 2q^{n^2/8}$, $|x^G| > \frac{1}{2}q^{n(n-2)/4}$ and we deduce that

$$|x^G \cap (H - B)| \leq |\mathrm{GL}'_{n/2}(q) : \mathrm{Sp}_{n/2}(q)| < 2(q+1)q^{\frac{1}{8}(n^2-2n-8)}$$

since each $y \in x^G \cap (H - B)$ acts on B as a symplectic-type graph automorphism. These bounds are sufficient unless $(n, q) = (8, 2)$, where direct calculation yields $f(x, H) < .721$. On the other hand, if x is G -conjugate to $b_{n/2}$ or $c_{n/2}$ (according to the parity of $n/2$) then $|x^G| > \frac{1}{2}q^{n^2/4}$ and $|x^G \cap H| < 2(q+1)q^{(n^2+2n-8)/8}$ since $x^G \cap B$ is empty and each $y \in x^G \cap (H - B)$ induces a non-symplectic graph automorphism on B . These bounds are always sufficient.

Now assume $p > 2$. Let $\lambda = (m^{2a_m}, \dots, 1^{2a_1}) \vdash n$ denote the associated partition of $x \in G$, where $m = n/2$, and let t denote the number of non-zero terms a_j . Then applying [6, Theorem 1] and [4, 3.21] we deduce that

$$|x^G \cap H| < 2^t q^{\left(\frac{1}{2} + \frac{1}{n-2}\right) \dim x^{\bar{G}}}, \quad |x^G| > \left(\frac{1}{2}\right)^{t+1} \left(\frac{q}{q+1}\right)^t q^{\dim x^{\bar{G}}}. \quad (74)$$

If $t = 1$ then [6, 2.4] implies that $\dim x^{\bar{G}} \geq \frac{1}{4}n(n-2)$ and thus (74) is sufficient unless $(n, q) = (8, 3)$, where direct calculation yields $f(x, H) < .707$. Now assume $t \geq 2$ and observe that $n \geq t(t+1)$ and $\dim x^{\bar{G}} \geq n(t^2-t) - t^4/2 + t^3/3 - t/3$ (minimal if $\lambda = (t^2, \dots, 2^2, 1^{n-t^2-t+2})$). If $t \geq 3$ then these bounds imply that (74) is sufficient unless $(t, q) = (3, 3)$ and $12 \leq n \leq 16$. These cases are easily settled through direct calculation. Now assume $t = 2$ and set $d = \sum_{i \text{ odd}} a_i$. If $d = 0$ then there exists a non-zero a_j with $j \geq 4$, hence $p \geq 5$, $\dim x^{\bar{G}} \geq n^2/4 + 3n/2 - 8$

(minimal if $\lambda = (4^2, 2^{n/2-4})$) and it is easy to check that (74) is always sufficient. Now assume $d > 0$ and observe that $n \equiv 0(4)$ if and only if d is even. Applying [6, 2.3, 2.4] we deduce that

$$\dim x^{\bar{B}} = \frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}n - \frac{1}{2}d, \quad \dim x^{\bar{G}} \geq \frac{1}{4}n^2 - \frac{1}{2}n - d^2 + d$$

(minimal if $\lambda = (2^{n/2-d}, 1^{2d})$). In particular, if $d = 1$ then $n \geq 10$ and the bounds

$$|x^G \cap H| < 2q^{\frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}n - \frac{1}{2}}, \quad |x^G| > \frac{1}{4}(q+1)^{-1}q^{\dim x^{\bar{G}}+1}$$

are always sufficient. Finally, if $d \geq 2$ then $n \geq 2d + 4$ and the desired result follows since $|x^G \cap H| < 2q^{\frac{1}{4}(2 \dim x^{\bar{G}} + n - 2d)}$ and $|x^G| > \frac{1}{4}q^{\dim x^{\bar{G}}}$.

Case 2. $r \neq p, r = 2$

If $\nu(x) < n/2$ then x is \bar{G} -conjugate to $[-I_{2a}, I_{n-2a}]$ for some $1 \leq a < n/4$ and the bounds $|x^G \cap H| < 2q^{a(n-2a)}$ and $|x^G| > \frac{1}{4}(q+1)^{-1}q^{2a(n-2a)+1}$ are sufficient without exception. Now assume $\nu(x) = n/2$. If $n \equiv 2(4)$ and x is an involutory graph automorphism of G_0 then $|x^G| > \frac{1}{4}q^{n^2/4}$ and the result follows since

$$|x^G \cap H| \leq \frac{1}{2}(q - \epsilon') \frac{|\text{PGL}_{n/2}^{\epsilon'}(q)|}{|\text{SO}_{n/2}(q)|} < (q+1)q^{\frac{1}{8}(n^2+2n-8)}.$$

On the other hand, if $n \equiv 2(4)$ and $C_G(x)$ is of type $\text{GL}_{n/2}^{\epsilon''}(q)$ then (54) holds and $\epsilon = \epsilon' = \epsilon''$ (see Table 3.1 and [4, Table 3.8]). Moreover, we have

$$|x^G \cap H| \leq \sum_{j=0}^{\frac{1}{4}(n-2)} \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_j^{\epsilon'}(q)||\text{GL}_{n/2-j}^{\epsilon'}(q)|} < 2 \left(\frac{q^2}{q^2-1} \right) q^{\frac{1}{8}n^2 - \frac{1}{2}}$$

and the desired result follows. Now assume $n \equiv 0(4)$, so $\epsilon = +$ (see Table 3.1). If $C_G(x)$ is of type $\text{O}_{n/2}^+(q^2)$ or $\text{O}_{n/2}^{\epsilon''}(q)^2$ then

$$\begin{aligned} |x^G \cap H| &\leq \frac{1}{2}(q - \epsilon') \left(\frac{|\text{PGL}_{n/2}^{\epsilon'}(q)|}{|\text{PGO}_{n/2}^+(q)|} + \frac{|\text{PGL}_{n/2}^{\epsilon'}(q)|}{|\text{PGO}_{n/2}^-(q)|} \right) + \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_{n/4}^{\epsilon'}(q)|^2 2} + \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_{n/4}(q^2)| 2} \\ &< ((q+1)q^{\frac{n}{4}-1} + 2)q^{\frac{1}{8}n^2} \end{aligned}$$

and the result follows since $|x^G| > \frac{1}{8}q^{n^2/4}$. Finally, let us assume $n \equiv 0(4)$ and $C_G(x)$ is of type $\text{GL}_{n/2}^{\epsilon''}(q)$. If $\epsilon' = \epsilon''$ then

$$\begin{aligned} |x^G \cap H| &\leq \frac{1}{2}(q - \epsilon') \frac{|\text{PGL}_{n/2}^{\epsilon'}(q)|}{|\text{Sp}_{n/2}(q)|} + \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_{n/4}^{\epsilon'}(q)|^2 2} + \sum_{j=0}^{\frac{1}{4}n-1} \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_j^{\epsilon'}(q)||\text{GL}_{n/2-j}^{\epsilon'}(q)|} \\ &< (q^{\frac{n}{4}+1} + q+1)q^{\frac{1}{8}n^2 - \frac{1}{4}n-1} + 2(q^2-1)^{-1}q^{\frac{1}{8}n^2} \end{aligned}$$

and (54) is sufficient unless $(n, q) = (8, 3)$, where direct calculation yields $f(x, H) < .681$. Similarly, if $\epsilon' = -\epsilon''$ then

$$|x^G \cap H| \leq \frac{1}{2}(q - \epsilon') \frac{|\text{PGL}_{n/2}^{\epsilon'}(q)|}{|\text{Sp}_{n/2}(q)|} + \frac{|\text{GL}_{n/2}^{\epsilon'}(q)|}{|\text{GL}_{n/4}(q^2)| 2} < (q^{\frac{n}{4}+1} + q+1)q^{\frac{1}{8}n^2 - \frac{1}{4}n-1}$$

and (54) is always sufficient.

Case 3. $r \neq p, r > 2$

Since r is odd, each $y \in x^G \cap H$ lifts to an element $\hat{y} \in \text{GL}_{n/2}^{\epsilon'}(q)$ of order r . Let $i \geq 1$ be minimal

such that $r|(q^i - 1)$, let $\mu = (l, a_1, \dots, a_t)$ denote the associated σ -tuple of x and let d denote the number of non-zero terms a_j in μ , so d is even if i is odd. Define the integer $c = c(i, \epsilon')$ as in the statement of [4, 3.33].

We begin by assuming c is even. Then each non-zero term in μ is even and

$$\dim x^{\bar{B}} = \frac{1}{4}(n^2 - l^2 - c \sum_j a_j^2) = \frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n - l).$$

In particular, we deduce that

$$|x^G \cap H| < \log_2 q \cdot 2^{\frac{d}{e}} \left(\frac{q+1}{q} \right)^{\frac{1}{2}(1-\epsilon')} q^{\frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n-l)}$$

and

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^{d(2-e)+1} q^{\dim x^{\bar{G}}}, \quad (75)$$

where

$$\dim x^{\bar{G}} \geq \frac{1}{2}(n^2 - n - l^2 + l - \frac{1}{e}i(n - l - 2i(d - e))^2 - 4i(d - e))$$

and $e = 2$ if i is odd, otherwise $e = 1$. Now $n \geq l + 2di$ and these bounds are sufficient with the exception of a handful of cases with which we can calculate directly.

Now assume c is odd. Then $\dim x^{\bar{B}} \leq \frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n - l)$ and we claim that

$$|x^G \cap H| < \log_2 q \cdot 2^{\frac{d}{2}(1+\epsilon')} \left(\frac{q+1}{q} \right)^{\frac{1}{2}(1-\epsilon')} \left(\frac{q}{q-1} \right)^{\frac{d}{e}} q^{\frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n-l)}. \quad (76)$$

To see this, suppose $\epsilon' = +$, in which case i is odd and d is even. Then modulo field automorphisms, each B -class in $x^G \cap B$ is determined by a choice of s -tuple (b_1, \dots, b_s) , where each $b_j \leq a_j$ is a non-negative integer and $s = (r - 1)/2c = t/2$. Let \mathcal{B} denote the set of all such s -tuples and for each $b \in \mathcal{B}$ let $x_b \in B$ represent the B -class corresponding to b . Then

$$|x^G \cap H| \leq \log_2 q \cdot \sum_{b \in \mathcal{B}} |\hat{x}_b^{\text{GL}_{n/2}(q)}|,$$

where $\hat{x}_b \in \text{GL}_{n/2}(q)$ has order r and $|x_b^B| = |\hat{x}_b^{\text{GL}_{n/2}(q)}|$, and thus (76) holds if

$$\Sigma := \sum_{b \in \mathcal{B}} q^{\dim x_b^{\bar{B}}} \leq \left(\frac{q}{q-1} \right)^{\frac{d}{2}} q^{\frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n-l)}.$$

If $a \geq 0$ is the number of terms a_j in μ which are odd then

$$\alpha := \max_{b \in \mathcal{B}} \dim x_b^{\bar{B}} = \frac{1}{2} \dim x^{\bar{G}} + \frac{1}{4}(n - l) - \frac{1}{4}ai$$

and so it suffices to show that

$$\Sigma \leq 2^{\frac{a}{2}} \left(\frac{q}{q-1} \right)^{\frac{d}{2}} q^{\alpha}.$$

We now proceed by induction on d . The argument is similar to the proof of (66) and we leave the details to the reader. The case $\epsilon' = -$ is very similar.

Now $n \geq l + di$ and if we apply (76), together with (75) and the lower bound on $\dim x^{\bar{G}}$ given in [4, 3.33], we find that we are left to deal with a handful of exceptional cases. For example, if $\epsilon' = +$ then it remains to deal with the cases $(n, q) \in \{(10, 4), (8, 8), (8, 7), (8, 4)\}$ for $(i, l, d) = (1, 0, 2)$. These are easily settled. For instance, if $(n, q) = (10, 4)$ then $r = 3$ and $f(x, H) < .631$ since

$$|x^G \cap H| \leq 2 + 2 \frac{|\text{GL}_5(4)|}{|\text{GL}_4(4)||\text{GL}_1(4)|} + 2 \frac{|\text{GL}_5(4)|}{|\text{GL}_3(4)||\text{GL}_2(4)|}, \quad |x^G| \geq |\Omega_{10}^+(4) : \text{GL}_5(4)|.$$

□

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